OZARKS TRANSPORTATION ORGANIZATION

A METROPOLITAN PLANNING ORGANIZATION

BOARD OF DIRECTORS

MEETING AGENDA

OCTOBER 17, 2019

12:00 - 1:30 PM

OTO CONFERENCE ROOM, SUITE 101

2208 W. CHESTERFIELD BLVD., SPRINGFIELD

OZARKS TRANSPORTATION ORGANIZATION
A METROPOLITAN PLANNING ORGANIZATION

Board of Directors Meeting Agenda
October 17, 2019
12:00 – 1:30 p.m.
OTO Conference Room
2208 W. Chesterfield Blvd, Suite 101, Springfield

A full agenda can be found on our website at: ozarkstransportation.org

Call to Order ....NOON

I. Administration

A. Introductions

B. Approval of Board of Directors Meeting Agenda
(2 minutes/Dixon)

BOARD OF DIRECTORS ACTION REQUESTED TO APPROVE THE AGENDA

C. Approval of August 15, 2019 Meeting Minutes .... Tab 1
(2 minutes/Dixon)

BOARD OF DIRECTORS ACTION REQUESTED TO APPROVE THE MEETING MINUTES

D. Public Comment Period for All Agenda Items.. Tab 2
(15 minutes/Dixon)
Individuals requesting to speak are asked to state their name and organization or address before making comments. Individuals and organizations have a combined 15 minutes which will be divided among those requesting to address the Board of Directors (not to exceed five minutes per individual). Any public comment received since the last meeting has been included in the agenda packet.

E. Executive Director's Report
(5 minutes/Fields)
A review of staff activities since the last Board of Directors meeting will be given.

F. MoDOT Update
(5 minutes/MoDOT)
A MoDOT staff member will give an update of MoDOT activities.

G. Legislative Reports
(5 minutes/Dixon)
Representatives from the OTO area congressional delegation will have an opportunity to give updates on current items of interest.

II. <u>Informational Items</u>

A. FY 2020-2023 Administrative Modifications 1 and 2 .... Tab 3
(1 minute/Dixon)
There is one change included with each Administrative Modification Numbers One and Two to the FY 2020-2023 Transportation Improvement Program, which are included for member review.

NO ACTION REQUIRED. INFORMATIONAL ONLY.

III. <u>New Business</u>

A. City of Springfield Presentation
(15 minutes/Smith)
The City of Springfield will present on transportation issues.

B. Amendment Number One to FY 2020-2023 TIP .... Tab 4
(5 minutes/Longpine)
There are five changes included with Amendment Number 1 to the FY 2020-2023 Transportation Improvement Program, which is included for member review.

BOARD OF DIRECTORS ACTION REQUESTED TO APPROVE AMENDMENT NUMBER ONE TO THE FY 2020-2023 TIP

C. Revised STIP Prioritization Criteria.. Tab 5
(5 minutes/Fields)
After the initial project scoring a working committee of the Technical Planning Committee has recommended a few revisions to the criteria to use in prioritizing projects for the STIP.

BOARD OF DIRECTORS ACTION REQUESTED TO APPROVE THE PROPOSED REVISED STIP PRIORITIZATION CRITERIA

D. 2021-2024 STIP Priorities…………………………………………………………………………………… Tab 6
(10 minutes/Fields)
A working committee of the Technical Planning Committee has recommended a prioritized list of projects for possible inclusion in the next Statewide Transportation Improvement Program.

BOARD OF DIRECTORS ACTION REQUESTED TO APPROVE THE PROPOSED 2021-2025 STIP PROJECT PRIORITIES

E. State of Transportation Report .... Tab 7
(10 minutes/Longpine)
Staff will provide an overview of the 2018 State of Transportation Report.

NO ACTION REQUESTED – INFORMATIONAL ONLY

F. Deferred Benefit Compensation Plan Resolution.. Tab 8
(5 minutes/Fields)
A proposed resolution for participation in ICMA-RC is included for member review.

BOARD OF DIRECTORS ACTION REQUESTED TO APPROVE THE DEFERRED BENEFIT COMPENSATION PLAN RESOLUTION IN ORDER TO JOIN ICMA-RC

IV. <u>Other Business</u>

A. Board of Directors Member Announcements
(5 minutes/Board of Directors Members)
Members are encouraged to announce transportation events being scheduled that may be of interest to OTO Board of Directors members.

B. Transportation Issues for Board of Directors Member Review
(5 minutes/Board of Directors Members)
Members are encouraged to raise transportation issues or concerns that they have for future agenda items or later in-depth discussion by the OTO Board of Directors.

C. Articles for Board of Directors Member Information .... Tab 9
(Articles attached)

V. <u>Adjourn</u>
Targeted for 1:30 PM. The next Board of Directors regular meeting is scheduled for Thursday, December 19, 2019 at 12:00 P.M. at the OTO Offices, 2208 W. Chesterfield Blvd, Suite 101.

Attachments

Pc: Ken McClure, Mayor, City of Springfield
Matt Morrow, President, Springfield Area Chamber of Commerce
Joelle Cannon, Senator Blunt's Office
Dan Wadlington, Senator Blunt's Office
Clayton Campbell, Senator Hawley's Office
Jeremy Pruett, Congressman Long's Office
Area News Media

Si usted necesita la ayuda de un traductor del idioma español, por favor comuníquese con la Andy Thomason al teléfono (417) 865-3042, cuando menos 48 horas antes de la junta.

Persons who require special accommodations under the Americans with Disabilities Act or persons who require interpreter services (free of charge) should contact Andy Thomason at (417) 865-3042 at least 24 hours ahead of the meeting.

If you need relay services, please call the following numbers: 711 - Nationwide relay service; 1-800-735-2966 - Missouri TTY service; 1-800-735-0135 - Missouri voice carry-over service.

OTO fully complies with Title VI of the Civil Rights Act of 1964 and related statutes and regulations in all programs and activities. For more information or to obtain a Title VI Complaint Form, see www.ozarkstransportation.org or call (417) 865-3042.

TAB 1

BOARD OF DIRECTORS AGENDA 10/17/2019; ITEM I.C.

August 15, 2019 Meeting Minutes

Ozarks Transportation Organization
(Springfield, MO Area MPO)

AGENDA DESCRIPTION:

Attached for Board member review are the minutes from the Board of Directors August 15, 2019 meeting. Please review these minutes prior to the meeting and note any changes that need to be made. The Chair will ask during the meeting if any member has any amendments to the attached minutes.

BOARD OF DIRECTORS ACTION REQUESTED:

A member of the Board of Directors is requested to make one of the following motions:

"Move to approve the Board of Directors August 15, 2019 meeting minutes."

OR

"Move to approve the Board of Directors August 15, 2019 meeting minutes with the following corrections…"

OZARKS TRANSPORTATION ORGANIZATION
BOARD OF DIRECTORS MEETING MINUTES
August 15, 2019

The Board of Directors of the Ozarks Transportation Organization met at its scheduled time of 12:00 p.m. in the Ozarks Transportation Organization Large Conference Room in Springfield, Missouri.

The following members were present:

Commissioner Harold Bengsch, Greene County
Mr. Chuck Branch, Citizen-at-Large
Mr. David Cameron, City of Republic (a)
Mr. Steve Childers, City of Ozark (a)
Mr. Jerry Compton, Citizen-at-Large
Mr. Travis Cossey, City of Nixa (a)
Commissioner Bob Dixon, Greene County
Ms. Debra Hickey, City of Battlefield
Mr. Skip Jansen, City Utilities
Mr. Andy Mueller, MoDOT (a)
Commissioner Ralph Phillips, Christian County
Ms. Martha Smartt, City of Strafford (a)
Mr. Dan Smith, City of Springfield (a)
Mr. Brian Weiler, Airport Board (a)

(a) *Denotes alternate given voting privileges as a substitute for voting member not present*

The following members were not present:

Mr. Mokhtee Ahmad, FTA
Mr. Chris Coulter, Greene County (a)
Mr. John Elkins, Citizen-at-Large (a)
Ms. Jan Fisk, City of Springfield
Mayor Ashley French, City of Strafford
Mayor Rick Gardner, City of Ozark
Mr. Brad Gray, City of Willard (a)
Mayor Corey Hendrickson, City of Willard
Mr. Michael Latuszek, FHWA (a)
Ms. Laurel McKean, MoDOT (a)
Mr. Bradley McMahon, FHWA
Mr. Daniel Nguyen, FTA (a)
Mr. Jim O'Neal, Citizen at Large
Mr. Mark Schenkelberg, FAA
Mr. Jeremiah Shuler, FTA (a)
Mayor Brian Steele, City of Nixa
Mayor Jeff Ussery, City of Republic
Mr. Richard Walker, Citizen-at-Large

Others Present: Mr. Steve Bodenhamer, City of Strafford; Mr. Chris Tabor, City of Republic; Mr. Dan Waddlington, Senator Roy Blunt's Office; Ms. Kimberly Cooper, Mr. Dave Faucett, Ms. Sara Fields, Ms. Natasha Longpine, Andy Thomason, and Mr. Brad Williams, Ozarks Transportation Organization.

Chair Dixon called the meeting to order at approximately 12:02 p.m.

I. Administration

A. Introductions

Those in attendance made self-introductions stating their name and the organization they represent.

B. Approval of Board of Directors Meeting Agenda

Mr. Weiler moved to approve the August 15, 2019 agenda. Mr. Cameron seconded the motion and it was unanimously approved.

C. Approval of the June 20, 2019 Meeting Minutes

Mr. Cossey moved to approve the June 20, 2019 meeting minutes. Mr. Jansen seconded the motion and it was unanimously approved.

D. Recognition of Service – Steve Bodenhamer, City of Strattord

Chair Dixon presented Steve Bodenhamer with a placque for his service on the Board of Directors. Ms. Fields thanked Mr. Bodenhamer for his participation on the board for seven years; he had served from 2012 to 2019.

E. Public Comment Period for All Agenda Items

The correspondence that had been received since distributing the packets for the meeting were laid at the places of the members. No one was present to speak to any item on the agenda. Ms. Fields made special note of a letter from MATA that had been received by Ozarks Greenways that was shared with both the Technical Planning Committee and the Board. The letter is in support of providing designated funding for trails. She also stated there had been a great deal of activity on the OTO Facebook page regarding the Highway 65 Rebuild.

F. Executive Director's Report

Sara Fields stated City Utilities had received a grant from the Federal Transit Administration in the amount of $1.5 million for the low/no emissions or electric buses.

Ms. Fields stated the VRO rescission is still occurring, with a date of July 1, 2020. She said it is looking as though $1 million will be lost between Greene and Christian Counties. She added the MoDOT District office is looking at finding a project that could be programmed quickly to use these funds.

Ms. Fields stated the Senate Environmental Public Works Committee came out with a bill, the American Investment Infrastructure Act. She noted the draft bill repeals the rescission, so the funds could be rescinded in July and then returned in October. She noted the bill is not funded, which has always been the problem with transportation. She said it would be up to the Finance Committee to determine how it will be funded. Ms. Fields briefly outlined other provisions of the bill, noting specific deadlines that were included.

Ms. Fields announced the Missouri Highway and Transportation Commission is meeting in Bolivar at SBU on September 6, 2019.

Ms. Fields stated the Missouri budget contained $50 million for the cost-share program, $50 million to MoDOT and $321 million to the Governor's Bridge Bonding program. She added this $321 million was contingent upon the State getting a federal grant, which they received. However, the federal grant was not for the total amount, so she is not sure where they will come up with the shortfall.

She added the Commission voted to allow MoDOT to compete in the Governor's cost-share program with the Rocheport Bridge. So that is going to be on the table coming forward. She outlined the timetable for the request for projects and the presentation of those to the Committee that will make the decision. She discussed the requirements for the projects, who would be making the decision, and the criteria that would be used in scoring the projects.

Ms. Fields suggested that since no member entity had a project they wanted to submit, the OTO support MoDOT using their cost-share monies for the Rocheport Bridge repair. Following a brief discussion, Mr. Cossey moved Ms. Fields write a letter of support on behalf of the Board for MoDOT to use the cost-share monies for the Rocheport Bridge repair. Mr. Childers seconded the motion and it was unanimously approved.

G. MoDOT Update

Andy Mueller discussed the rebuild project for Highway 65 and the reasons it is being done now when it doesn't appear to need major repair. He noted the contractor is 3 to 4 days ahead of schedule and may be able to open the lanes early. He noted the six-laning of 65 to Highway CC, is going well. He noted that contractors have been delayed in various other parts of the State due to weather and MoDOT was trying to give them some flexibility. He stated that as long as they can get the roadway open, if there is still construction that is happening, as long as it doesn't impact the flow of traffic, he believes the public understands.

Mr. Mueller stated that with the appointment of Steve Campbell as the Southwest District Engineer, there was a vacancy in the District Construction Materials Engineer. Mr. Mueller stated Greg Chapman has been appointed to fill this position.

H. Legislative Reports

Dan Waddlington, Senator Roy Blunt's Office, stated he had received a copy of a proposed Highway Bill. He stated it did not have a number on it, yet, and it was $297 million and 27% increase in spending, and no funding source. He noted he had not had an opportunity to discuss this bill with Senator Blunt. He added he anticipates there will be extensions of the existing bill.

II. <u>New Business</u>

A. City of Ozark Presentation

Sara Fields stated the Board thought it would be nice to hear from the members regarding transportation issues and what is happening in their jurisdictions. She said staff believed this would give some context to the prioritization that is used in planning projects.

Steve Childers thanked MoDOT for their assistance in helping the City to pass a ¾ cent tax in 2017. He stated they have a lot of big projects pending and have been able to get a lot done through cost-sharing. Mr. Childers explained to the Board how the citizens can use the City of Ozark's Website to find the projects that Ozark is planning. He briefly reviewed for the Board the key projects that are on their website. He stated that he believed that through the OTO, the jurisdictions have refined the process of working together to accomplish projects.

Mr. Childers briefly discussed the grants they had received and how they were used to complete projects that impacted several local streets, stormwater improvements, etc.

This item was presented for informational purposes only; no action was required.

B. Amendment Number Ten to *Transportation Plan 2040*
Natasha Longpine stated the proposed was a number of amendments to the Major Thoroughfare Plan for the City of Ozark and then one additional item for the City of Springfield. Ms. Longpine highlighted a few of the proposed amendments and explained what these amendments would accomplish.

Mr. Compton moved the Board of Directors approve Amendment Number 10 to the *Transportation Plan 2040*, pending additional public comments received through August 16, 2019. Mr. Jansen seconded the motion and it was unanimously approved.

C. Administrative Modifications 2, 3, and 4 to FY 2019-2022 TIP
Natasha Longpine stated the OTO policies allow minor modifications to the TIP to be made by staff without formal action of the Board. However, staff does provide the information to the Board so they are aware of what modifications are made. Ms. Longpine briefly reviewed the changes that were made via Modifications 2, 3, and 4.

This item was presented for informational purposes only; no action was required.

D. Amendment Number Seven to FY 2019-2022 TIP
Natasha Longpine stated that Amendment Number 7 is related to Administrative Modification 4, which had been requested by MoDOT, and was discussed previously. She added that as it was greater than a 15% change in cost, the Board was required to approve this amendment.

Mr. Cameron moved the Board of Directors approve Amendment Number Seven to the FY 2019-2022 TIP, pending additional pubic comment received through August 16, 2019. Mr. Compton seconded the motion and it was unanimously approved.

E. Draft FY 2020-2023 Transportation Improvement Program
Natasha Longpine briefly explained the process of how the Transportation Improvement Program (TIP) is completed. It is a four-year program that provides details on proposed transportation improvements, including anticipated costs, funding sources, and expected project phasing over each of the four years of the TIP.

Ms. Longpine briefly reviewed the projects that are included in the proposed FY 2020-2023 TIP. She noted that this document was available online and had been open for pubic comment since July 14, 2019. She noted that the Technical Planning Committee had recommended approval with changes as recommended by USDOT, MoDOT, and the Board of Directors.

Mr. Camereon moved the Board of Directors approve the FY 2020-2023 Transportation Improvement Program, with changes made since the Technical Planning Committee's recommendation, and with the elimination of the closing of the railroad crossing at Farm Road 93. Mr. Bengsch seconded the motion and it was unanimously approved.

F. Planning Process and Financial Capacity Certifications

Natasha Longpine stated this was the final step in the TIP adoption. She added the OTO must certify annually to the FHWA and the FTA, their compliance with federal, state, environmental, and civil rights regulations and that the transportation planning process is addressing the major transportation management issues facing the planning area.

In addition, this certifies that applicants for FTA Section 5307, 5309, and 5339 funding have the financial capacity to undertake their programmed projects. This applies to City Utilities only.

Mr. Cameron moved the Board of Directors approve the planning process and financial capacity certification. Mr. Cossey seconded the motion an it was unanimously approved.

G. STIP Prioritization Criteria

Sara Fields noted that for the past year the OTO staff have been working with different committees to rewrite the prioritization criteria for recommending projects for the STIP. She briefly reviewed for the Board the criteria and the changes that are being proposed. She noted the Technical Planning Committee has recommended approval of these criteria.

Mr. Bengsch moved the Board of Directors approve the proposed STIP Prioritization Criteria. Mr. Jansen seconded the motion and it was unanimously approved.

H. Additional Federal Funding

Sara Fields stated that as part of the 2019 Omnibus budget bill, Congress authorized an additional $1.6 million in federal funding for the OTO area. She added that staff is recommending that this be used for Transportation Alternates and fund only trails and trail planning services. She reminded the Board that last year there was $2.34 million that was used for sidewalks improvements and $219,000 was used for trails. She stated they are recommending this be sent to the Transportation Alternatives Program (TAP) Committee and they will make recommendations for the Board's consideration. Ms. Fields stated the Technical Planning Committee discussed this issue for two meetings and is recommending this money be used for funding trails and trail planning.

Mr. Childers asked if this money could be spent on acquiring of right-of-way and easements. He asked if there would be any relevancy to dedicate this money for acquiring right-of-way or easements. Ms. Fields stated that yes the money can be used for these issues, however, the OTO has always preferred to spend the money on the construction of trails.

Following a brief discussion, Mr. Cameron moved the additional $1,625,285 in available funding be awarded through the Transportation Alternatives Program grant process for trails and trail planning. Mr. Jansen seconded the motion and it was unanimously approved.

I. Fourth Quarter/Year End Financial Statements

Mr. Cameron stated the fourth quarter and year-end financial statement had been included in the packet. He briefly reviewed the information provided to the Board of Directors regarding expenses and revenue. He noted that there is the required amount in the reserves account. This amount is established by the bylaws and is three to six months of expenses. He stated he recommend the Board of Directors accept the fourth quarter/year-end report and the UPWP financial statements.

Mr. Cameron moved the Board of Directors accept the fourth quarter/year-end report and the UPWP financial statements as presented. Mr. Jansen seconded the motion and it was unanimously approved.

J. OTO Sunshine Law Custodian of Records

Kimberly Cooper stated the OTO has adopted a policy stating that it will comply with the Sunshine Law and Records Retention as set by the Statutes of the State of Missouri. She noted one of the requirements is that the policy designate someone to serve as the Custodial of Records. In the past, the Administrative Assistant position has been designated as the Custodian of Records. Recently, this title was changed to Communications Clerk. Therefore, staff is asking for the Board to update the policy to reflect this change.

Mr. Cossey moved the Board of Directors adopt the OTO Sunshine Law Resolution naming the Communications Clerk as the OTO Custodian of Records. Mr. Weiler seconded the motion and it was unanimously approved.

III. Other Business

A. Board of Directors Member Announcements

Mr. Mueller noted the next public meeting for Highway 60 and Route 125 will be held at the Logan Rogersville High School on Tuesday, August 20, 2019, from 4:30 pm to 6:00 pm. MoDOT will be presenting two options for public input.

B. Transportation Issues for Board of Directors Member Review

There were no transportation issues to be considered.

C. Articles for Board of Directors Member Information

Chair Dixon noted there were articles of interest included in the packet for the members to review as time allows.

IV. Closed Meeting

Mr. Weiler moved the Board of Directors hold a closed meeting to discuss individually identifiable personnel records, performance ratings or records pertaining to employees or applicants for employment; and this meeting, record, and vote shall be closed and the Board of Directors shall stand adjourned at the end of the closed meeting. Mr. Cameron seconded the motion and it was approved by the following roll call vote:

Ayes: Harold Bengsch, Chuck Branch, David Cameron, Steve Childers, Jerry Compton, Steve Cossey, Bob Dixon, Debra Hickey, Skip Jansen, Ralph Phillips, Martha Smartt, Dan Smith, and Brian Weiler.
Nays: None.

Mr. Cameron moved the Board of Directors approve the Performance Evaluation as presented. Mr. Cossey seconded the motion and it was approved by the following roll call vote:

Ayes: Harold Bengsch, Chuck Branch, David Cameron, Steve Childers, Jerry Compton, Steve Cossey, Bob Dixon, Debra Hickey, Skip Jansen, Ralph Phillips, Martha Smartt, Dan Smith, and Brian Weiler.
Nays: None.

Mr. Cameron moved to accept the Performance Objectives for 2019-2020 as amended by the Executive Committee. Mr. Cossey seconded the motion and it was approved by the following roll call vote:

Ayes: Harold Bengsch, Chuck Branch, David Cameron, Steve Childers, Jerry Compton, Steve Cossey, Bob Dixon, Debra Hickey, Skip Jansen, Ralph Phillips, Martha Smartt, Dan Smith, and Brian Weiler.
Nays: None.

Mr. Gray moved the Board of Directors approve a merit increase not to exceed 4% for the Executive Director. Mr. Cossey seconded the motion and it was approved by the following roll call vote:

Aye: Cameron, Childers, Cossey, and Gray.
Nay: None.
Absent: Dixon, Smith, and Weiler.

With no additional business to come before the Executive Committee, Mr. Cameron moved the meeting be adjourned. Mr. Childers seconded the motion and it was approved by the following roll call vote:

Aye: Cameron, Childers, Cossey, and Gray.
Nay: None.
Absent: Dixon, Smith, and Weiler.

TAB 2

Pedestrian Comment

"There are no sidewalks in this area. I drive this route everyday from home to work. At least once a week there is a pedestrian on a wheelchair using the road because there is no sidewalks. Some people have luggage and are walking to the airport. - Daivd Patillo"

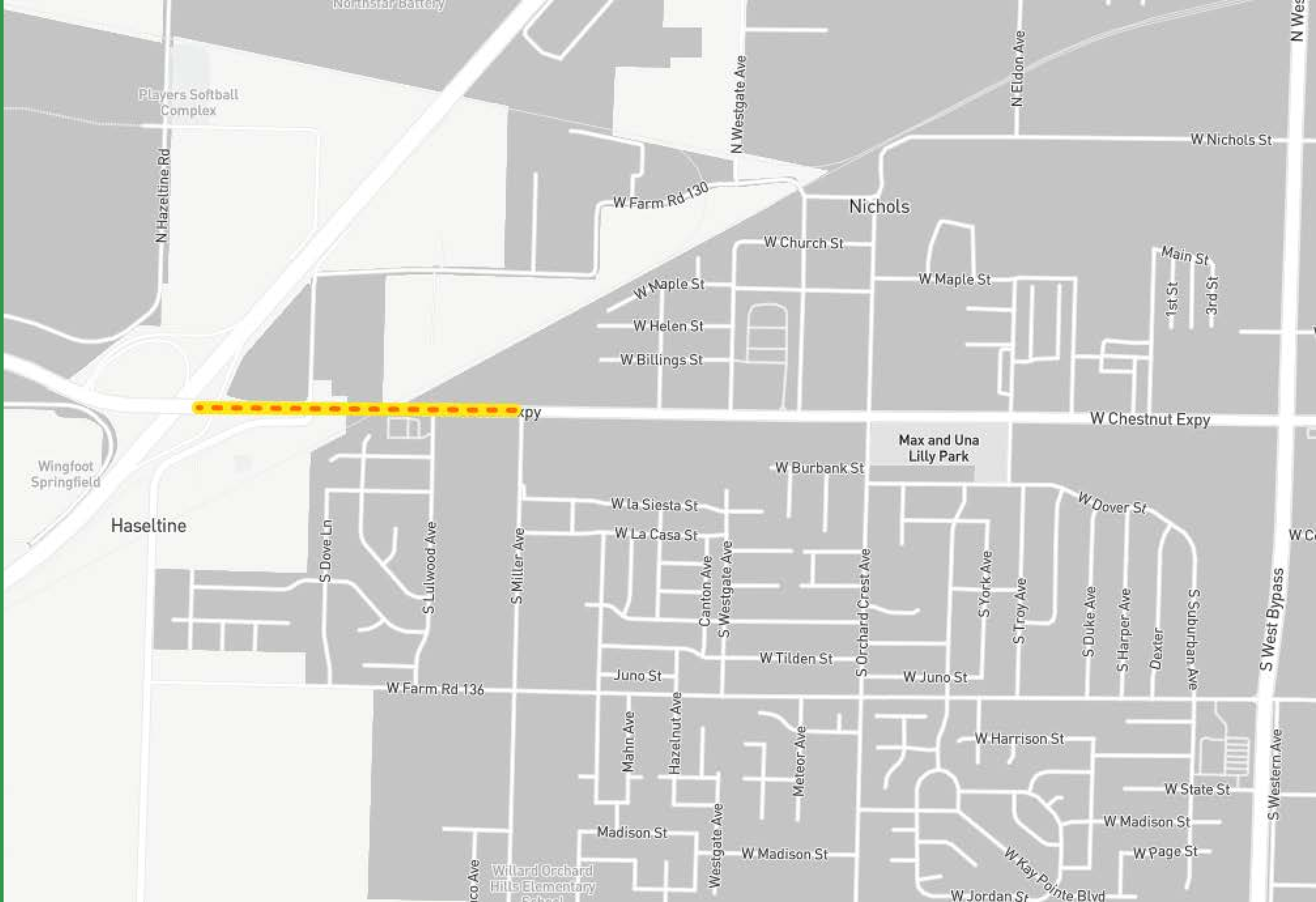
No one has liked this.
+ Like This

Name:

name

Comment (0/300 characters): *

SUBMIT CANCEL



Motor Vehicle Comment

"This section of road is still going to be very busy and clogged during rush hours especially after all of the housing construction is complete in Ozark. The current 65 construction will do little to alleviate traffic during rush hour. - Braden"

No one has liked this.

+ Like This

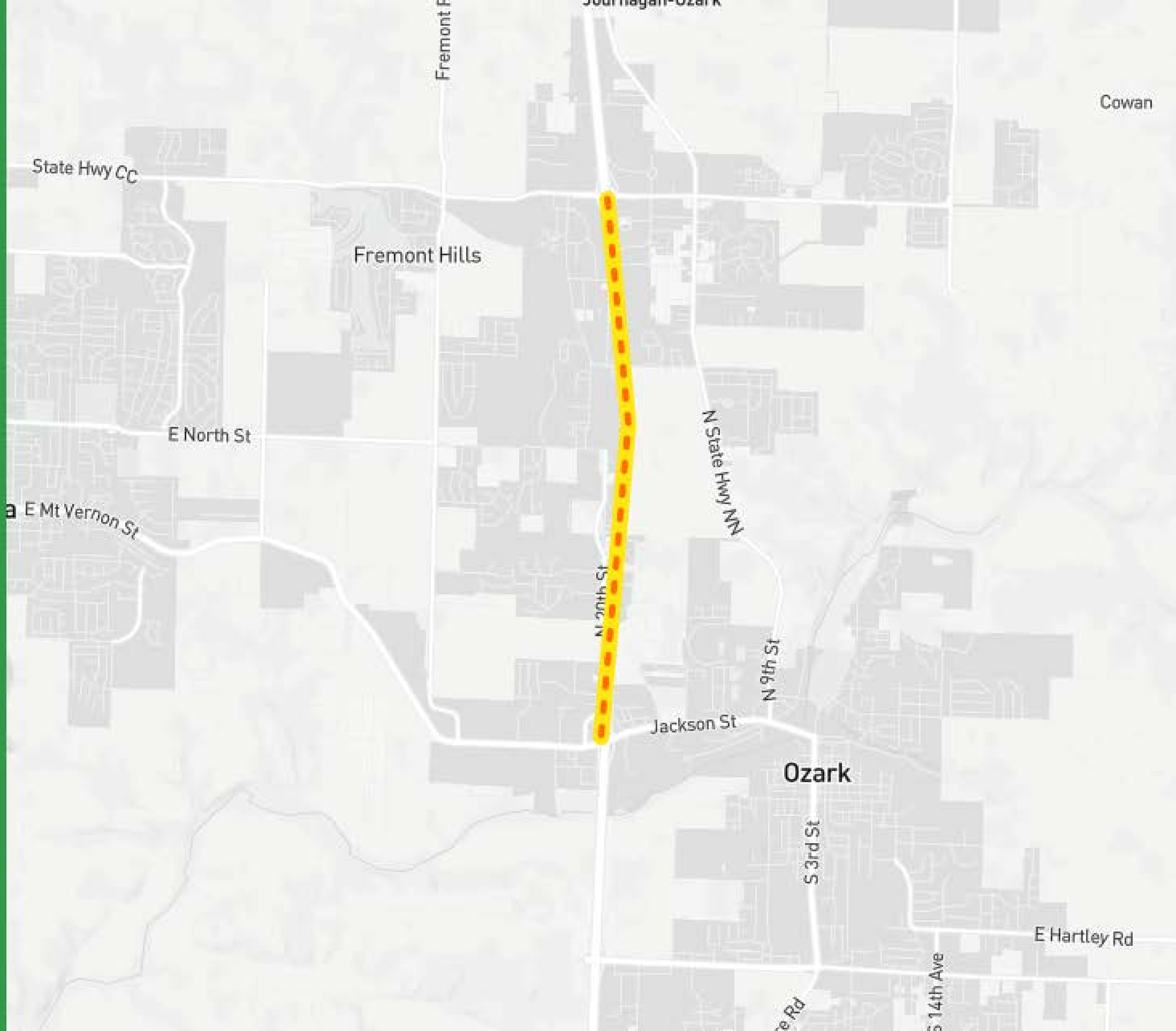
Name:

name

Comment (0/300 characters): *

SUBMIT

CANCEL



From:	Andy Thomason
To:	sfbermingham@live.com
Subject:	RE: Rt. ZZ and Farm Road 182
Date:	Tuesday, September 3, 2019 9:06:25 AM

Mr. Bermingham,

I would like to thank you for your comment. The intersection of Rt. ZZ and Farm Road 182 is currently on our list of priority projects. As Mr. Turner stated, we have not identified a funding source for any improvements at this location. Future funding is a possibility. Each year we rank our needs list, and MoDOT adds new projects to its construction budget based on our list. It is hard to predict which projects will ultimately receive funding, but identified needs remain on our list until the problem is addressed.

Again, thank you for your comment. We rely on citizens and our planning partners to help us identify and prioritize transportation needs.

Respectfully,

Andy Thomason, AICP
Senior Planner
Ozarks Transportation Organization
2208 W. Chesterfield Blvd., Suite 101
Springfield, MO 65807
Phone (417) 865-3047 x107
Fax (417) 862-6013
athomason@ozarkstransportation.org
www.OzarksTransportation.org
www.giveusyourinput.org/

Email: sfbermingham@live.com

Message: Eric Turner at the MO DOT indicated that I should contact you about the following concern as they do not have the budget to assist with this request. The intersection of W Farm Road 182 and State Highway ZZ are in dire need of a stop light to control traffic. Traffic northbound on State Highway ZZ is very heavy between the hours of 7:00 - 7:45am and causes a heavy backup of traffic on W Farm Road 182. Cars entering State Highway ZZ from W Farm Road 182 are primarily destined for Republic High School indicating a large number of inexperienced high school drivers relying on a stop sign and little patience to guide them onto a busy highway. The installation of a stop light at this intersection would not only create a safer transition of traffic at this intersection, but also provide needed breaks in traffic to also allow for traffic to enter State Highway ZZ from West Farm Road 178. Best Regards, Sean Bermingham

Andy Thomason

From: Andy Thomason
Sent: Tuesday, October 1, 2019 4:47 PM
To: mdeclue@dcoonline.com
Cc: Kimberly Cooper
Subject: Fleet Funding

Marisa,
Thank you for reaching out to the OTO. Finding funds for vehicle replacements is a well-documented challenge, but it is an area that we can typically offer some assistance. We help administer a grant program aimed specifically at seniors and individuals with disability. Ironically, the program is called *Enhancing Mobility for Senior and Individuals with Disabilities,* though it is also commonly referred to as *Section 5310* funding. It is a program of the Federal Transit Administration and is primarily used for capital (vehicle) purchases. While I expect this program to continue, we won't be making any additional funds available until the U.S. Congress passes a new transportation bill that reauthorizes the *Section 5310* program. The program is currently authorized through FY 2020, and we have awarded all these funds. Given the history of federal transportation bills and the upcoming presidential election, I don't expect to see a new transportation bill for a while. If I had to guess, we won't be in a position to accept applications until late 2020 or early 2021, and that may be optimistic.

I will make sure you are one of the people at DCO we notify when we do another call for projects. Please let me know if you have additional questions in the meantime.

Respectfully,

Andy Thomason, AICP
Senior Planner
Ozarks Transportation Organization
2208 W. Chesterfield Blvd., Suite 101
Springfield, MO 65807
Phone (417) 865-3047 x107
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athomason@ozarkstransportation.org
www.OzarksTransportation.org
www.giveusyourinput.org/

From: On behalf of Marisa DeClue <noreply@ozarkstransportation.org>
Sent: Monday, September 30, 2019 4:23 PM
To: Comment Comment <comment@ozarkstransportation.org>
Subject: New message from OTO - grant - RFP

- **Name:** Marisa DeClue
- **Email:** mdeclue@dcoonline.com

Developmental Center of the Ozarks (DCO) is seeking funding options to help us cover the costs associated with updating our fleet to better accommodate the changing/growing needs of the adults with developmental disabilities that we serve. I would like to visit with someone about opportunities to request grant funds or financial assistance.

TAB 3

BOARD OF DIRECTORS AGENDA 10/17/2019; ITEM II.A.

Administrative Modifications 1 and 2 to the FY 2020-2023 Transportation Improvement Program

Ozarks Transportation Organization
(Springfield, MO Area MPO)

AGENDA DESCRIPTION:

The following changes are included as part of Administrative Modifications One and Two to the FY 2020-2023 Transportation Improvement Program.

Administrative Modification 1 – Approved 8/16/2019

Replace Bridge #1690225 on Farm Road 169

From GR2009-20 to GR2009-20AM1

Minor Changes to funding sources between federal funding categories or between state and local sources:

Changing Federal funding source from BRO to STBG-Urban

Administrative Modification 2 – Approved 9/10/2019

Combine ADA Transition Plan Implementation on Glenstone (EN1802-18) into Operational, Safety, and ADA Improvements on Glenstone, St. Louis to 60 (SP2003-20)

From EN1802-18 and SP2003-20 to SP2003-20AM2

1. Combining two or more projects already in the TIP provided the cumulative, total amount of Federal funding in each funding category of the combined projects remains intact and the overall scope of work intended to be accomplished does not change; and
2. Minor Changes to funding sources between federal funding categories or between state and local sources.

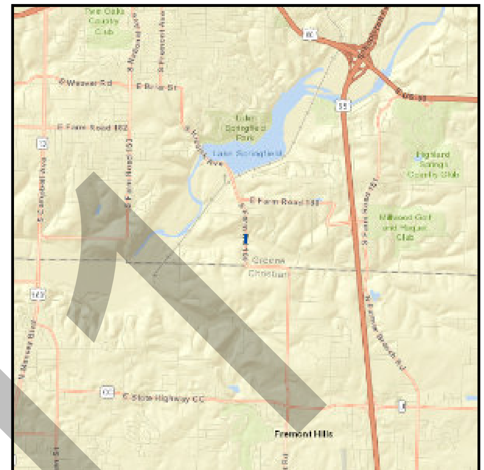
Two projects on Glenstone have been combined to create a new single project from Valley Water Mill to US 60. The aggregated scope and cost remain the same as the two original projects. To match the STIP, some STBG funding has been changed to SAFETY and STAP. The total combined programmed cost is $6,656,000.

BOARD OF DIRECTORS ACTION REQUESTED:

This item is included for informational purposes only. No action is required.

F) Roadways Section

TIP # GR2009-20 REPLACE BRIDGE #1690225 ON FARM ROAD 169



Route Farm Road 169
From Over Farmer's Branch
To
Location Greene County
Federal Agency FHWA
Project Sponsor Greene County
Federal Funding Category STBG-U
MoDOT Funding Category N/A
Bike/Ped Plan? Yes **EJ?** Yes
STIP #
Federal ID # BRO-B039

Project Description
Remove and replace existing bridge #1690225 on Farm Road 169 over Farmer's Branch and upgrade approaches.

Fund Code	Source	Phase	FY2020	FY2021	FY2022	FY2023	Total
FHWA (STBG-U)	Federal	CON	$440,000	$0	$0	$0	$440,000
LOCAL	Local	CON	$110,000	$0	$0	$0	$110,000
Totals			**$550,000**	**$0**	**$0**	**$0**	**$550,000**

Notes
Non-Federal Source of Funding: Greene County Road and Bridge Fund

Prior Cost	$0
Future Cost	$0
Total Cost	$550,000

F) Roadways Section

TIP # GR2009-20 REPLACE BRIDGE #1690225 ON FARM ROAD 169

Route Farm Road 169

From Over Farmer's Branch

To

Location Greene County

Federal Agency FHWA

Project Sponsor Greene County

Federal Funding Category BRO

MoDOT Funding Category N/A

Bike/Ped Plan? Yes **EJ?** Yes

STIP #

Federal ID # BRO-B039

Project Description

Remove and replace existing bridge #1690225 on Farm Road 169 over Farmer's Branch and upgrade approaches.

Fund Code	Source	Phase	FY2020	FY2021	FY2022	FY2023	Total
FHWA (BRO)	Federal	CON	$440,000	$0	$0	$0	$440,000
LOCAL	Local	CON	$110,000	$0	$0	$0	$110,000
Totals			**$550,000**	**$0**	**$0**	**$0**	**$550,000**

Notes

Non-Federal Source of Funding: Greene County Road and Bridge Fund

Prior Cost	$0
Future Cost	$0
Total Cost	$550,000

F) Roadways Section

TIP # SP2003-20AM2 OPERATIONAL, SAFETY, AND ADA IMPROVEMENTS ON GLENSTONE ST. LOUIS TO 60

Route Glenstone Avenue (BU 65)

From Valley Water Mill Road

To James River Freeway (Rte. 60)

Location City of Springfield

Federal Agency FHWA

Project Sponsor MoDOT

Federal Funding Category STBG

MoDOT Funding Category Taking Care of the System

Bike/Ped Plan? Yes **EJ?** Yes

STIP # 8S3160

Federal ID #

No Map Available

Project Description

Operational and safety improvements, upgrade sidewalk to comply with the ADA Transition Plan on Glenstone Ave. from Valley Water Mill Road to James River Freeway (Rte. 60) in Springfield. $527,000 Open Container funds. $313,000 Statewide Trans. Alt. funds.

Fund Code	Source	Phase	FY2020	FY2021	FY2022	FY2023	Total
FHWA (STBG)	Federal	ENG	$660,800	$775,200	$0	$0	$1,436,000
MoDOT	State	ENG	$165,200	$193,800	$0	$0	$359,000
FHWA (STBG)	Federal	ROW	$176,800	$0	$0	$0	$176,800
MoDOT	State	ROW	$44,200	$0	$0	$0	$44,200
FHWA (SAFETY)	Federal	CON	$0	$527,000	$0	$0	$527,000
FHWA (STAP)	Federal	CON	$0	$313,000	$0	$0	$313,000
FHWA (STBG)	Federal	CON	$0	$2,872,000	$0	$0	$2,872,000
MoDOT	State	CON	$0	$928,000	$0	$0	$928,000
Totals			**$1,047,000**	**$5,609,000**	**$0**	**$0**	**$6,656,000**

Notes

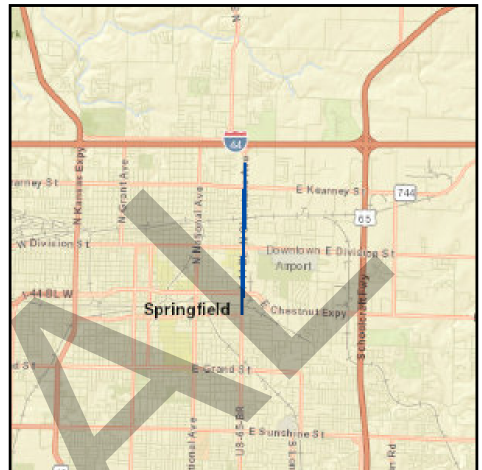
Non-Federal Funding Source: State Transportation Revenues

Prior Cost	$0
Future Cost	$0
Total Cost	$6,656,000

E) Bicycle & Pedestrian Section

TIP # EN1802-18 ADA TRANSITION PLAN IMPLEMENTATION ON GLENSTONE

Route Glenstone Avenue (LP 44)
From Various
To Various



Location City of Springfield
Federal Agency FHWA
Project Sponsor MoDOT
Federal Funding Category STBG
MoDOT Funding Category Taking Care of the System
Bike/Ped Plan? Yes **EJ?** Yes
STIP # 8P3139
Federal ID #

Project Description

Operational and safety improvements, upgrade pedestrian facilities to comply with the ADA Transition Plan on Glenstone Avenue at various locations from Valley Water Mill Road to 0.2 mile north of St. Louis Street in Springfield.

Fund Code	Source	Phase	FY2020	FY2021	FY2022	FY2023	Total
FHWA (STBG)	Federal	ENG	$253,600	$287,200	$0	$0	$540,800
MoDOT	State	ENG	$63,400	$71,800	$0	$0	$135,200
FHWA (STBG)	Federal	ROW	$80,000	$0	$0	$0	$80,000
MoDOT	State	ROW	$20,000	$0	$0	$0	$20,000
FHWA (STBG)	Federal	CON	$0	$1,352,000	$0	$0	$1,352,000
MoDOT	State	CON	$0	$338,000	$0	$0	$338,000
Totals			**$417,000**	**$2,049,000**	**$0**	**$0**	**$2,466,000**

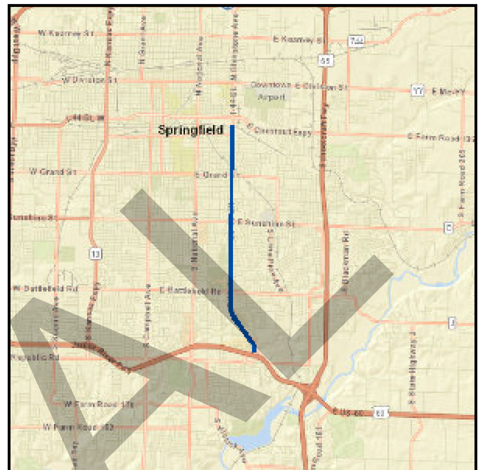
Notes

Non-Federal Funding Source: State Transportation Revenues

Prior Cost $40,000
Future Cost $0
Total Cost $2,506,000

F) Roadways Section

TIP # SP2003-20 OPERATIONAL, SAFETY, AND ADA IMPROVEMENTS ON GLENSTONE ST. LOUIS TO 60



Route Glenstone Avenue (BU 65)

From 0.2 mile north of St. Louis Street

To James River Freeway (Rte. 60)

Location City of Springfield

Federal Agency FHWA

Project Sponsor MoDOT

Federal Funding Category STBG

MoDOT Funding Category Taking Care of the System

Bike/Ped Plan? Yes **EJ?** Yes

STIP # 8S3160

Federal ID #

Project Description

Operational and safety improvements, upgrade sidewalk to comply with the ADA Transition Plan on Glenstone Ave. from 0.2 mile north of St. Louis St. to James River Freeway (Rte. 60) in Springfield. $527,000 Open Container funds. $313,000 Statewide Trans. Alt. funds.

Fund Code	Source	Phase	FY2020	FY2021	FY2022	FY2023	Total
FHWA (STBG)	Federal	ENG	$407,200	$488,000	$0	$0	$895,200
MoDOT	State	ENG	$101,800	$122,000	$0	$0	$223,800
FHWA (STBG)	Federal	ROW	$96,800	$0	$0	$0	$96,800
MoDOT	State	ROW	$24,200	$0	$0	$0	$24,200
FHWA (STBG)	Federal	CON	$0	$2,360,000	$0	$0	$2,360,000
MoDOT	State	CON	$0	$590,000	$0	$0	$590,000
Totals			**$630,000**	**$3,560,000**	**$0**	**$0**	**$4,190,000**

Notes

Non-Federal Funding Source: State Transportation Revenues

Prior Cost $0

Future Cost $0

Total Cost $4,190,000

TAB 4

BOARD OF DIRECTORS AGENDA 10/17/2019; ITEM III.B.

Amendment Number One to the FY 2020-2023 Transportation Improvement Program

Ozarks Transportation Organization
(Springfield, MO Area MPO)

<u>AGENDA DESCRIPTION</u>:
There are five items included as part of Amendment Number One to the FY 2020-2023 Transportation Improvement Program.

1. *Revised* Kansas Extension Phase I (GR1901-20A1)
   Right-of-Way funding has been moved to FY 2020 from FY 2019 to ensure continued availability after the new fiscal year.

2. *Revised* Kansas Extension Phase II (GR1902-20A1)
   Right-of-Way funding has been moved to FY 2020 from FY 2019 to ensure continued availability after the new fiscal year.

3. *New* Route ZZ and FR 182 Scoping (GR2010-20A1)
   MoDOT has requested to add a new scoping project for the intersection of Route ZZ and Farm Road 182, programming federal safety funds in the amount of $36,000 and a total project cost of $40,000 in Fiscal Years 2020 through 2023.

4. *New* Purchase Training Technology and Small Fleet Vehicles (CU2007-20A1)
   Due to receiving a grant for bus replacement, City Utilities has instead decided to use their FTA 5339 formula funding to purchase two bus training simulators and small fleet vehicles, programming $300,494 in 5339 funds and for a total project cost of $375,618.

5. *Revised* FY 2021 Purchase 2 Electric Fixed Route Buses (CU2111-20A1)
   City Utilities received a 5339(c) Low or No Emission Vehicle Program grant to purchase two electric fixed route buses and chargers, programming $1,496,329 in federal funding for a total project cost of $1,870,411.

<u>TECHNICAL PLANNING COMMITTEE ACTION TAKEN:</u>
At its regularly scheduled meeting on September 18, 2019, the Technical Planning Committee recommended that the Board of Directors approve Amendment 1 to the FY 2020-2023 Transportation Improvement Program.

<u>BOARD OF DIRECTORS ACTION REQUESTED:</u>
A member of the Board of Directors is requested to make one of the following motions:

“Move to approve Amendment 1 to the FY 2020-2023 Transportation Improvement Program.”

OR

“Move to approve Amendment 1 to the FY 2020-2023 Transportation Improvement Program, with these changes…”

K) Pending Amendment Section

TIP # GR1901-20A1 KANSAS EXTENSION PHASE I

Route Kansas Extension
From Republic Road
To Plainview
Location Greene County
Federal Agency FHWA
Project Sponsor Greene County
Federal Funding Category STBG-U
MoDOT Funding Category N/A
Bike/Ped Plan? Yes **EJ?**
STIP #
Federal ID #

No Map Available

Project Description
New roadway from Republic Road to Plainview with bicycle and pedestrian accommodations.

Fund Code	Source	Phase	FY2020	FY2021	FY2022	FY2023	Total
FHWA (STBG-U)	Federal	ROW	$1,356,075	$0	$0	$0	$1,356,075
LOCAL	Local	ROW	$339,018	$0	$0	$0	$339,018
FHWA (STBG-U)	Federal	CON	$14,735,589	$0	$0	$0	$14,735,589
LOCAL	Local	CON	$7,264,411	$0	$0	$0	$7,264,411
Totals			**$23,695,093**	**$0**	**$0**	**$0**	**$23,695,093**

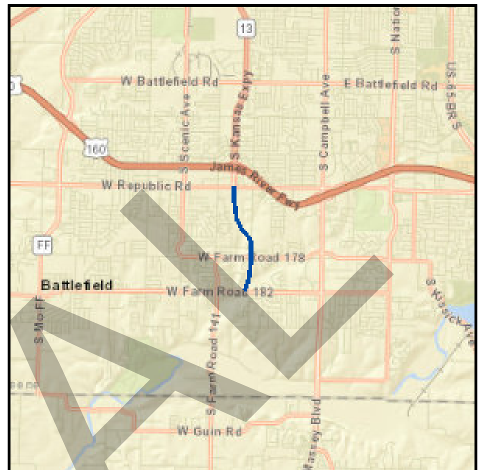
Notes
Non-Federal Funding Source: Greene County Highway Department Road and Bridge Fund.

Prior Cost $0
Future Cost $0
Total Cost $23,695,093

F) Roadways Section

TIP # GR1901-19 KANSAS EXTENSION PHASE I

Route Kansas Extension
From Republic Road
To Plainview
Location Greene County
Federal Agency FHWA
Project Sponsor Greene County
Federal Funding Category STBG-U
MoDOT Funding Category N/A
Bike/Ped Plan? Yes **EJ?**
STIP #
Federal ID #



Project Description
New roadway from Republic Road to Plainview with bicycle and pedestrian accommodations.

Fund Code	Source	Phase	FY2020	FY2021	FY2022	FY2023	Total
FHWA (STBG-U)	Federal	CON	$14,735,589	$0	$0	$0	$14,735,589
LOCAL	Local	CON	$7,264,411	$0	$0	$0	$7,264,411
Totals			**$22,000,000**	**$0**	**$0**	**$0**	**$22,000,000**

Notes
Non-Federal Funding Source: Greene County Highway Department Road and Bridge Fund.

Prior Cost	$1,695,093
Future Cost	$0
Total Cost	$23,695,093

K) Pending Amendment Section

TIP # GR1902-20A1 KANSAS EXTENSION PHASE II

Route Kansas Extension

From Plainview Road

To Farm Road 190 at Cox Road

Location Greene County

Federal Agency FHWA

Project Sponsor Greene County

Federal Funding Category STBG-U

MoDOT Funding Category N/A

Bike/Ped Plan? Yes **EJ?**

STIP #

Federal ID #

No Map Available

Project Description

New roadway from Plainview to Farm Road 190 at Cox Road with bicycle and pedestrian accommodations.

Fund Code	Source	Phase	FY2020	FY2021	FY2022	FY2023	Total
FHWA (STBG-U)	Federal	ROW	$2,935,796	$0	$0	$0	$2,935,796
LOCAL	Local	ROW	$733,949	$0	$0	$0	$733,949
FHWA (STBG-U)	Federal	CON	$0	$0	$3,246,479	$0	$3,246,479
LOCAL	Local	CON	$0	$0	$1,253,521	$4,000,000	$5,253,521
Totals			**$3,669,745**	**$0**	**$4,500,000**	**$4,000,000**	**$12,169,745**

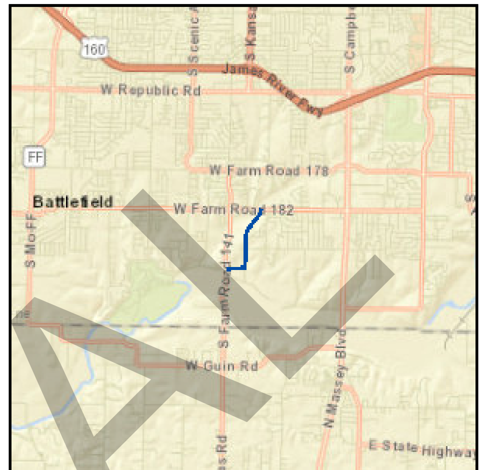
Notes

Non-Federal Funding Source: Greene County Highway Department Road and Bridge Fund.

Prior Cost	$0
Future Cost	$0
Total Cost	$12,169,745

F) Roadways Section

TIP # GR1902-19 KANSAS EXTENSION PHASE II



Route Kansas Extension
From Plainview Road
To Farm Road 190 at Cox Road
Location Greene County
Federal Agency FHWA
Project Sponsor Greene County
Federal Funding Category STBG-U
MoDOT Funding Category N/A
Bike/Ped Plan? Yes **EJ?**
STIP #
Federal ID #

Project Description
New roadway from Plainview to Farm Road 190 at Cox Road with bicycle and pedestrian accommodations.

Fund Code	Source	Phase	FY2020	FY2021	FY2022	FY2023	Total
FHWA (STBG-U)	Federal	CON	$0	$0	$3,246,479	$0	$3,246,479
LOCAL	Local	CON	$0	$0	$1,253,521	$4,000,000	$5,253,521
Totals			**$0**	**$0**	**$4,500,000**	**$4,000,000**	**$8,500,000**

Notes
Non-Federal Funding Source: Greene County Highway Department Road and Bridge Fund.

Prior Cost	$3,669,745
Future Cost	$0
Total Cost	$12,169,745

K) Pending Amendment Section

TIP # GR2010-20A1 ROUTE ZZ AND FR 182 SCOPING

Route ZZ
From FR 182
To FR 182
Location Greene County
Federal Agency FHWA
Project Sponsor MoDOT
Federal Funding Category STBG
MoDOT Funding Category Flexible and Other
Bike/Ped Plan? **EJ?**
STIP # 8S3194
Federal ID #

No Map Available

Project Description
Scoping for safety improvements on Wilson's Creek Boulevard at FR 182.

Fund Code	Source	Phase	FY2020	FY2021	FY2022	FY2023	Total
FHWA (SAFETY)	Federal	ENG	$9,000	$9,000	$9,000	$9,000	$36,000
MoDOT	State	ENG	$1,000	$1,000	$1,000	$1,000	$4,000
Totals			**$10,000**	**$10,000**	**$10,000**	**$10,000**	**$40,000**

Notes
Non-Federal Funding Source: State Transportation Revenues

Prior Cost	$0
Future Cost	$0
Total Cost	$40,000

K) Pending Amendment Section

TIP # CU2007-20A1 PURCHASE TRAINING TECHNOLOGY AND SMALL FLEET VEHICLES

Route N/A
From N/A
To N/A
Location City Utilities
Federal Agency FTA
Project Sponsor City Utilities
Federal Funding Category 5339
MoDOT Funding Category N/A
Bike/Ped Plan? **EJ?**
STIP #
Federal ID #

No Map Available

Project Description
Capital replacement of two bus training simulators and small fleet vehicles.

Fund Code	Source	Phase	FY2020	FY2021	FY2022	FY2023	Total
FTA (5339)	Federal	CAPITAL	$300,494	$0	$0	$0	$300,494
LOCAL	Local	CAPITAL	$75,124	$0	$0	$0	$75,124
Totals			**$375,618**	**$0**	**$0**	**$0**	**$375,618**

Notes
Federal Funding Source: FTA Section 5339 Discretionary Funding from FY 2019.

Non-Federal Funding Source: CU Farebox, Advertising, and Utility Ratepayers.

Prior Cost	$0
Future Cost	$0
Total Cost	$375,618

K) Pending Amendment Section

TIP # **CU2111-20A1** FY 2021 PURCHASE 2 ELECTRIC FIXED ROUTE BUSES

Route

From N/A

To N/A

Location City Utilities

Federal Agency FTA

Project Sponsor City Utilities

Federal Funding Category 5339

MoDOT Funding Category N/A

Bike/Ped Plan? **EJ?** Yes

STIP #

Federal ID #

OZARKS TRANSPORTATION ORGANIZATION
A METROPOLITAN PLANNING ORGANIZATION

Transit Project

Project Description

FY 2021 capital replacement of two, new 35-foot low-floor fixed route electric buses and chargers.

Fund Code	Source	Phase	FY2020	FY2021	FY2022	FY2023	Total
FTA (5339)	Federal	CAPITAL	$0	$1,496,329	$0	$0	$1,496,329
LOCAL	Local	CAPITAL	$0	$374,082	$0	$0	$374,082
Totals			**$0**	**$1,870,411**	**$0**	**$0**	**$1,870,411**

Notes

Federal Funding Source: FTA FY 2019 Section 5339(c) Low or No Emission Vehicle Program

Non-Federal Funding Source: CU Farebox, Advertising, and Utility Ratepayers

Prior Cost	$0
Future Cost	$0
Total Cost	$1,870,411

G) Transit Section

TIP # CU2111 FY 2021 PURCHASE 2 FIXED ROUTE BUSES

Route

From N/A

To N/A

Location City Utilities

Federal Agency FTA

Project Sponsor City Utilities

Federal Funding Category 5339

MoDOT Funding Category N/A

Bike/Ped Plan? **EJ?** Yes

STIP #

Federal ID #

OZARKS TRANSPORTATION ORGANIZATION

A METROPOLITAN PLANNING ORGANIZATION

Transit Project

Project Description

FY 2021 capital replacement of two, new 35-foot low-floor fixed route buses.

Fund Code	Source	Phase	FY2020	FY2021	FY2022	FY2023	Total
FTA (5339)	Federal	CAPITAL	$0	$706,998	$0	$0	$706,998
LOCAL	Local	CAPITAL	$0	$224,446	$0	$0	$224,446
Totals			**$0**	**$931,444**	**$0**	**$0**	**$931,444**

Notes

Federal Funding Source: FTA Section 5339 Discretionary Funding from FYs 2019 and 2020 and MoDOT Section 5339 Grant Transferred to CU from FY 2019 and 2021

Non-Federal Funding Source: CU Farebox, Advertising, and Utility Ratepayers

Prior Cost	$0
Future Cost	$0
Total Cost	$931,444

FINANCIAL SUMMARY

Roadways

YEARLY SUMMARY

	Federal									Local		State				
PROJECT	**FHWA (STBG-U)**	**FHWA (SAFETY)**	**FHWA (BRIDGE)**	**FHWA (I/M)**	**FHWA (130)**	**FHWA (BRO)**	**FHWA (NHPP)**	**FHWA (STBG)**	**FEMA**	**LOCAL**	**OTHER**	**MoDOT**	**MoDOT-GCSA**	**MoDOT-AC**	**SEMA**	**TOTAL**
2020																
BA1801-18	$0	$0	$0	$0	$0	$0	$413,600	$0	$0	$0	$0	$103,400	$0	$0	$0	**$517,000**
CC0901	$0	$0	$0	$0	$0	$0	$0	$8,000	$0	$0	$0	$2,000	$0	$0	$0	**$10,000**
CC1102	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
CC1703	$0	$0	$0	$0	$0	$0	$0	$4,000	$0	$0	$0	$1,000	$0	$0	$0	**$5,000**
CC1802	$0	$0	$0	$0	$0	$0	$40,000	$0	$0	$0	$0	$10,000	$0	$0	$0	**$50,000**
CC1803-18	$0	$1,800	$0	$0	$0	$0	$0	$0	$0	$0	$0	$200	$0	$0	$0	**$2,000**
CC1901-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$400	$0	$1,600	$0	**$2,000**
CC1902-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$400	$0	$1,600	$0	**$2,000**
CC2001-20	$0	$0	$0	$0	$0	$0	$6,400	$0	$0	$0	$0	$1,600	$0	$0	$0	**$8,000**
GR1403-18A1	$0	$0	$0	$0	$0	$0	$8,000	$0	$0	$0	$0	$2,000	$0	$0	$0	**$10,000**
GR1501	$16,000	$0	$0	$0	$0	$0	$0	$0	$0	$4,000	$0	$0	$0	$0	$0	**$20,000**
GR1703	$0	$0	$0	$0	$0	$0	$0	$36,160	$0	$0	$0	$9,040	$0	$0	$0	**$45,200**
GR1707-17A6	$0	$0	$0	$0	$0	$0	$0	$0	$0	$1,000	$0	$0	$0	$0	$0	**$1,000**
GR1801-18	$0	$22,500	$0	$0	$0	$0	$0	$0	$0	$0	$0	$2,500	$0	$0	$0	**$25,000**
GR1804-18	$0	$0	$0	$0	$0	$0	$537,600	$0	$0	$0	$0	$134,400	$0	$0	$0	**$672,000**
GR1901-20A1	$16,091,664	$0	$0	$0	$0	$0	$0	$0	$0	$7,603,429	$0	$0	$0	$0	$0	$23,695,093
GR1902-20A1	$2,935,796	$0	$0	$0	$0	$0	$0	$0	$0	$733,949	$0	$0	$0	$0	$0	$3,669,745
GR1903-19	$0	$0	$0	$0	$0	$0	$29,600	$0	$0	$0	$0	$7,400	$0	$0	$0	**$37,000**
GR1905-19	$0	$0	$0	$224,100	$0	$0	$0	$0	$0	$0	$0	$24,900	$0	$0	$0	**$249,000**
GR1906-19	$0	$0	$0	$0	$0	$0	$76,000	$0	$0	$0	$0	$19,000	$0	$0	$0	**$95,000**
GR1907-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$1,000	$0	$4,000	$0	**$5,000**
GR1908-19	$0	$0	$1,600	$0	$0	$0	$0	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
GR1909-19	$0	$0	$27,200	$0	$0	$0	$0	$0	$0	$0	$0	$6,800	$0	$0	$0	**$34,000**
GR1910-19	$0	$0	$0	$0	$0	$0	$0	$39,200	$0	$0	$0	$9,800	$0	$0	$0	**$49,000**
GR2001-20	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$128,400	$0	$513,600	$0	**$642,000**
GR2002-20	$0	$0	$0	$0	$0	$0	$848,000	$0	$0	$0	$0	$212,000	$0	$0	$0	**$1,060,000**
GR2003-20	$0	$0	$0	$0	$0	$0	$3,200	$0	$0	$0	$0	$800	$0	$0	$0	**$4,000**
GR2004-20	$0	$0	$0	$0	$0	$0	$8,000	$0	$0	$0	$0	$2,000	$0	$0	$0	**$10,000**
GR2005-20	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$8,800	$0	$35,200	$0	**$44,000**
GR2006-20	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$2,000	$0	$8,000	$0	**$10,000**
GR2007-20	$0	$0	$0	$0	$0	$0	$8,000	$0	$0	$0	$0	$2,000	$0	$0	$0	**$10,000**
GR2008-20	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$11,200	$0	$44,800	$0	**$56,000**
GR2009-20AM1	$440,000	$0	$0	$0	$0	$0	$0	$0	$0	$110,000	$0	$0	$0	$0	$0	**$550,000**
GR2010-20A1	$0	$9,000	$0	$0	$0	$0	$0	$0	$0	$0	$0	$1,000	$0	$0	$0	$10,000
MO1405	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$15,000	$0	$0	$0	**$15,000**
MO1719-18A5	$0	$0	$0	$0	$0	$0	$40,000	$0	$0	$0	$0	$10,000	$0	$0	$0	**$50,000**
MO1720	$0	$0	$0	$0	$0	$0	$4,000	$0	$0	$0	$0	$1,000	$0	$0	$0	**$5,000**
MO1721-18A5	$0	$54,000	$0	$0	$0	$0	$0	$0	$0	$0	$0	$6,000	$0	$0	$0	**$60,000**
MO1722	$0	$0	$0	$0	$0	$0	$40,000	$0	$0	$0	$0	$10,000	$0	$0	$0	**$50,000**
MO1723	$0	$0	$0	$0	$0	$0	$0	$40,000	$0	$0	$0	$10,000	$0	$0	$0	**$50,000**
MO1803-18	$0	$182,700	$0	$0	$0	$0	$0	$0	$0	$0	$0	$20,300	$0	$0	$0	**$203,000**
MO1804-18	$332,000	$0	$0	$0	$0	$0	$0	$800	$0	$83,000	$0	$200	$0	$0	$0	**$416,000**
MO1903-19	$0	$245,700	$0	$0	$0	$0	$0	$0	$0	$0	$0	$27,300	$0	$0	$0	**$273,000**
MO1904-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$400	$0	$1,600	$0	**$2,000**
MO1905-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$35,000	$0	$0	$0	**$35,000**
MO2001-20	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$21,900	$0	$197,100	$0	**$219,000**
MO2002-20	$0	$775,800	$0	$0	$0	$0	$0	$0	$0	$0	$0	$86,200	$0	$0	$0	**$862,000**
MO2003-20	$0	$0	$0	$0	$0	$0	$356,800	$0	$0	$0	$0	$89,200	$0	$0	$0	**$446,000**
MO2004-20	$0	$7,200	$0	$0	$0	$0	$0	$0	$0	$0	$0	$800	$0	$0	$0	**$8,000**
MO2005-20	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$181,200	$0	$724,800	$0	**$906,000**
MO2006-20	$0	$0	$0	$0	$0	$0	$0	$8,000	$0	$0	$0	$2,000	$0	$0	$0	**$10,000**
MO2007-20	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$26,000	$0	$104,000	$0	**$130,000**
MO2008-20	$0	$900	$0	$0	$0	$0	$0	$0	$0	$0	$0	$100	$0	$0	$0	**$1,000**
MO2010-20	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$10,000	$0	$90,000	$0	**$100,000**
MO2101-18	$0	$0	$0	$0	$0	$0	$0	$572,800	$0	$0	$0	$143,400	$0	$800	$0	**$717,000**
MO2103-19	$0	$181,800	$0	$0	$0	$0	$0	$0	$0	$0	$0	$20,200	$0	$0	$0	**$202,000**
NX1701	$0	$0	$0	$0	$0	$0	$168,000	$0	$0	$0	$0	$42,000	$0	$0	$0	**$210,000**
NX1704	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
NX1803-18A2	$584,000	$0	$0	$0	$0	$0	$424,000	$0	$0	$145,500	$0	$106,500	$0	$0	$0	**$1,260,000**
NX1901-19	$0	$0	$0	$0	$0	$0	$456,800	$0	$0	$0	$0	$114,200	$0	$0	$0	**$571,000**
NX1902-19	$0	$0	$0	$0	$0	$0	$71,200	$0	$0	$0	$0	$17,800	$0	$0	$0	**$89,000**
NX2001-20	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$120,000	$0	$480,000	$0	**$600,000**
OK1401-18AM4	$1,512,439	$0	$0	$0	$0	$0	$0	$1,372,151	$0	$378,111	$0	$343,037	$0	$0	$0	**$3,605,738**
OK1701	$0	$835,000	$0	$0	$0	$0	$0	$2,378,000	$0	$0	$0	$802,000	$0	$0	$0	**$4,015,000**
OK1802-19A3	$800,000	$0	$0	$0	$0	$350,151	$0	$0	$740,993	$595,814	$0	$0	$0	$0	$123,499	**$2,610,457**
OK1803	$105,200	$0	$0	$0	$0	$0	$2,674,800	$0	$0	$26,300	$0	$668,700	$0	$0	$0	**$3,475,000**
OK1901-19	$0	$0	$0	$0	$0	$0	$25,600	$0	$0	$0	$0	$6,400	$0	$0	$0	**$32,000**
OT1901-19A5	$210,000	$0	$0	$0	$0	$0	$0	$0	$0	$52,500	$0	$0	$0	$0	$0	**$262,500**
RG0901-18A1	$0	$748,800	$0	$0	$0	$0	$0	$0	$0	$0	$0	$83,200	$0	$0	$0	**$832,000**
RP1701	$0	$0	$0	$0	$0	$0	$8,000	$0	$0	$0	$0	$2,000	$0	$0	$0	**$10,000**
RP1703-17A3	$0	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$400	$0	$0	$0	**$2,000**
RP1704-17A3	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$400	$0	$1,600	$0	**$2,000**
RP1802-18	$0	$0	$0	$0	$0	$0	$1,234,400	$0	$0	$0	$0	$308,600	$0	$0	$0	**$1,543,000**
RP1803-18	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$171,200	$0	$684,800	$0	**$856,000**
RP1901-19A5	$0	$0	$0	$0	$0	$0	$1,356,800	$0	$0	$0	$0	$339,200	$0	$0	$0	**$1,696,000**
SP1401	$0	$0	$0	$0	$0	$0	$5,600	$0	$0	$0	$0	$1,400	$0	$0	$0	**$7,000**
SP1405-18A1	$0	$0	$0	$0	$0	$0	$40,000	$0	$0	$0	$0	$10,000	$0	$0	$0	**$50,000**
SP1413-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$400	$0	$1,600	$0	**$2,000**

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FINANCIAL SUMMARY

Roadways

YEARLY SUMMARY

	Federal									Local		State				
PROJECT	**FHWA (STBG-U)**	**FHWA (SAFETY)**	**FHWA (BRIDGE)**	**FHWA (I/M)**	**FHWA (130)**	**FHWA (BRO)**	**FHWA (NHPP)**	**FHWA (STBG)**	**FEMA**	**LOCAL**	**OTHER**	**MoDOT**	**MoDOT-GCSA**	**MoDOT-AC**	**SEMA**	**TOTAL**
2020 Continued																
SP1419-18A1	$0	$0	$0	$9,000	$0	$0	$0	$0	$0	$0	$0	$1,000	$0	$0	$0	**$10,000**
SP1708	$0	$0	$0	$0	$0	$0	$800	$0	$0	$0	$0	$200	$0	$0	$0	**$1,000**
SP1709	$0	$0	$0	$0	$0	$0	$16,000	$0	$0	$0	$0	$4,000	$0	$0	$0	**$20,000**
SP1710	$0	$0	$0	$0	$0	$0	$23,200	$0	$0	$0	$0	$5,800	$0	$0	$0	**$29,000**
SP1801-18	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
SP1802-18	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
SP1805-18	$0	$0	$0	$1,467,000	$0	$0	$0	$0	$0	$0	$0	$163,000	$0	$0	$0	**$1,630,000**
SP1809-18	$0	$0	$0	$0	$0	$0	$1,449,600	$0	$0	$0	$0	$362,400	$0	$0	$0	**$1,812,000**
SP1811-18	$0	$2,000	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	**$2,000**
SP1812-18	$0	$2,000	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	**$2,000**
SP1815-18A2	$0	$0	$0	$0	$0	$0	$28,000	$0	$0	$0	$0	$7,000	$0	$0	$0	**$35,000**
SP1816-18A2	$0	$0	$0	$0	$0	$0	$58,400	$0	$0	$0	$0	$14,600	$0	$0	$0	**$73,000**
SP1817-18A2	$0	$0	$0	$0	$0	$0	$55,200	$0	$0	$0	$0	$13,800	$0	$0	$0	**$69,000**
SP1818-19A3	$920,800	$0	$0	$0	$0	$0	$1,883,200	$0	$0	$573,200	$0	$470,800	$0	$0	$0	**$3,848,000**
SP1902-18A4	$1,120,000	$0	$0	$0	$0	$0	$0	$0	$0	$280,000	$0	$0	$0	$0	$0	**$1,400,000**
SP1903-19	$0	$0	$0	$0	$0	$0	$8,000	$0	$0	$0	$0	$2,000	$0	$0	$0	**$10,000**
SP1904-19	$0	$0	$0	$0	$0	$0	$14,400	$0	$0	$0	$0	$3,600	$0	$0	$0	**$18,000**
SP1906-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$400	$0	$1,600	$0	**$2,000**
SP1907-19	$0	$995,000	$0	$0	$0	$0	$16,865,800	$0	$0	$0	$0	$4,465,200	$0	$0	$0	**$22,326,000**
SP1908-19A2	$0	$0	$0	$0	$0	$0	$8,000	$0	$0	$0	$0	$2,000	$0	$0	$0	**$10,000**
SP1909-19A2	$0	$0	$0	$0	$0	$0	$40,000	$0	$0	$0	$0	$10,000	$0	$0	$0	**$50,000**
SP1910-19A2	$0	$0	$0	$0	$0	$0	$4,000	$0	$0	$0	$0	$1,000	$0	$0	$0	**$5,000**
SP1911-19A2	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
SP1912-19A5	$0	$0	$0	$0	$46,000	$0	$0	$0	$0	$0	$0	$0	$6,000	$0	$0	**$52,000**
SP2002-20	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
SP2003-20	$0	$0	$0	$0	$0	$0	$0	$504,000	$0	$0	$0	$126,000	$0	$0	$0	**$630,000**
SP2004-20	$0	$0	$0	$0	$0	$0	$1,221,600	$0	$0	$0	$0	$305,400	$0	$0	$0	**$1,527,000**
SP2005-20	$0	$0	$0	$0	$0	$0	$807,200	$0	$0	$0	$0	$201,800	$0	$0	$0	**$1,009,000**
SP2006-20	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$2,000	$0	$8,000	$0	**$10,000**
SP2007-20	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$8,000	$0	$32,000	$0	**$40,000**
SP2008-20	$0	$0	$0	$0	$0	$0	$8,000	$0	$0	$0	$0	$2,000	$0	$0	$0	**$10,000**
SP2009-20	$0	$0	$0	$0	$0	$0	$3,200	$0	$0	$0	$0	$800	$0	$0	$0	**$4,000**
SP2010-20	$0	$0	$0	$0	$0	$0	$2,373,600	$0	$0	$0	$0	$593,400	$0	$0	$0	**$2,967,000**
SP2011-20	$1,260,000	$0	$0	$0	$0	$0	$0	$0	$0	$315,000	$0	$0	$0	$0	$0	**$1,575,000**
SP2012-20	$2,160,000	$0	$0	$0	$0	$0	$0	$0	$0	$432,000	$0	$0	$0	$0	$0	**$2,592,000**
SP2013-20	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
ST1901-19AM2	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$13,400	$0	$53,600	$0	**$67,000**
SUBTOTAL	$28,487,899	$4,064,200	$28,800	$1,700,100	$46,000	$350,151	$33,763,800	$4,964,711	$740,993	$11,333,803	$0	$11,340,477	$6,000	$2,990,300	$123,499	$99,940,733
2021																
CC0901	$0	$0	$0	$0	$0	$0	$0	$8,000	$0	$0	$0	$2,000	$0	$0	$0	**$10,000**
CC1102	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
CC1703	$0	$0	$0	$0	$0	$0	$0	$4,000	$0	$0	$0	$1,000	$0	$0	$0	**$5,000**
CC1802	$0	$0	$0	$0	$0	$0	$252,800	$0	$0	$0	$0	$63,200	$0	$0	$0	**$316,000**
CC1803-18	$0	$1,800	$0	$0	$0	$0	$0	$0	$0	$0	$0	$200	$0	$0	$0	**$2,000**
CC1901-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$400	$0	$1,600	$0	**$2,000**
CC1902-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$400	$0	$1,600	$0	**$2,000**
CC2001-20	$0	$0	$0	$0	$0	$0	$476,000	$0	$0	$0	$0	$119,000	$0	$0	$0	**$595,000**
GR1403-18A1	$0	$0	$0	$0	$0	$0	$8,000	$0	$0	$0	$0	$2,000	$0	$0	$0	**$10,000**
GR1703	$0	$0	$0	$0	$0	$0	$0	$565,600	$0	$0	$0	$141,400	$0	$0	$0	**$707,000**
GR1707-17A6	$0	$0	$0	$0	$0	$0	$0	$0	$0	$1,000	$0	$0	$0	$0	$0	**$1,000**
GR1801-18	$0	$1,800	$0	$0	$0	$0	$0	$0	$0	$0	$0	$200	$0	$0	$0	**$2,000**
GR1903-19	$0	$0	$0	$0	$0	$0	$1,864,800	$0	$0	$0	$0	$466,200	$0	$0	$0	**$2,331,000**
GR1905-19	$0	$0	$0	$3,842,100	$0	$0	$0	$0	$0	$0	$0	$426,900	$0	$0	$0	**$4,269,000**
GR1906-19	$0	$0	$0	$0	$0	$0	$1,178,400	$0	$0	$0	$0	$294,600	$0	$0	$0	**$1,473,000**
GR1907-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$4,600	$0	$18,400	$0	**$23,000**
GR1908-19	$0	$0	$237,600	$0	$0	$0	$0	$0	$0	$0	$0	$59,400	$0	$0	$0	**$297,000**
GR1909-19	$0	$0	$1,144,800	$0	$0	$0	$0	$0	$0	$0	$0	$286,200	$0	$0	$0	**$1,431,000**
GR1910-19	$0	$0	$0	$0	$0	$0	$0	$534,400	$0	$0	$0	$133,600	$0	$0	$0	**$668,000**
GR1912-19	$0	$0	$0	$0	$200,000	$0	$0	$0	$0	$0	$0	$0	$50,000	$0	$0	**$250,000**
GR2003-20	$0	$0	$0	$0	$0	$0	$3,200	$0	$0	$0	$0	$800	$0	$0	$0	**$4,000**
GR2004-20	$0	$0	$0	$0	$0	$0	$12,800	$0	$0	$0	$0	$3,200	$0	$0	$0	**$16,000**
GR2005-20	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$139,800	$0	$559,200	$0	**$699,000**
GR2006-20	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$82,200	$0	$328,800	$0	**$411,000**
GR2007-20	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
GR2008-20	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$177,000	$0	$708,000	$0	**$885,000**
GR2010-20A1	$0	$9,000	$0	$0	$0	$0	$0	$0	$0	$0	$0	$1,000	$0	$0	$0	$10,000
GR2101-20	$0	$0	$0	$0	$240,000	$0	$0	$0	$0	$0	$0	$0	$60,000	$0	$0	**$300,000**
MO1405	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$15,000	$0	$0	$0	**$15,000**
MO1719-18A5	$0	$0	$0	$0	$0	$0	$40,000	$0	$0	$0	$0	$10,000	$0	$0	$0	**$50,000**
MO1720	$0	$0	$0	$0	$0	$0	$3,200	$0	$0	$0	$0	$800	$0	$0	$0	**$4,000**
MO1721-18A5	$0	$54,000	$0	$0	$0	$0	$0	$0	$0	$0	$0	$6,000	$0	$0	$0	**$60,000**
MO1722	$0	$0	$0	$0	$0	$0	$40,000	$0	$0	$0	$0	$10,000	$0	$0	$0	**$50,000**
MO1723	$0	$0	$0	$0	$0	$0	$0	$40,000	$0	$0	$0	$10,000	$0	$0	$0	**$50,000**
MO1904-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$20,000	$0	$80,000	$0	**$100,000**
MO1905-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$12,000	$0	$0	$0	**$12,000**
MO2004-20	$0	$457,200	$0	$0	$0	$0	$0	$0	$0	$0	$0	$50,800	$0	$0	$0	**$508,000**

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FINANCIAL SUMMARY

Roadways

YEARLY SUMMARY

	Federal									Local		State				
PROJECT	**FHWA (STBG-U)**	**FHWA (SAFETY)**	**FHWA (BRIDGE)**	**FHWA (I/M)**	**FHWA (130)**	**FHWA (BRO)**	**FHWA (NHPP)**	**FHWA (STBG)**	**FEMA**	**LOCAL**	**OTHER**	**MoDOT**	**MoDOT-GCSA**	**MoDOT-AC**	**SEMA**	**TOTAL**
2021 Continued																
MO2006-20	$0	$0	$0	$0	$0	$0	$0	$40,000	$0	$0	$0	$10,000	$0	$0	$0	**$50,000**
MO2008-20	$0	$183,600	$0	$0	$0	$0	$0	$0	$0	$0	$0	$20,400	$0	$0	$0	**$204,000**
MO2010-20	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$10,000	$0	$90,000	$0	**$100,000**
MO2101-18	$332,000	$0	$0	$0	$0	$0	$0	$0	$0	$83,000	$0	$0	$0	$0	$0	**$415,000**
MO2104-19	$0	$0	$0	$0	$0	$0	$0	$515,200	$0	$0	$0	$128,800	$0	$0	$0	**$644,000**
MO2105-20	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$22,500	$0	$202,500	$0	**$225,000**
NX1701	$0	$0	$0	$0	$0	$0	$5,661,600	$0	$0	$0	$0	$1,415,400	$0	$0	$0	**$7,077,000**
NX1704	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
OK1901-19	$0	$0	$0	$0	$0	$0	$1,637,600	$0	$0	$0	$0	$409,400	$0	$0	$0	**$2,047,000**
OT1901-19A5	$220,500	$0	$0	$0	$0	$0	$0	$0	$0	$55,125	$0	$0	$0	$0	$0	**$275,625**
RG0901-18A1	$0	$1,618,200	$0	$0	$0	$0	$0	$0	$0	$0	$0	$179,800	$0	$0	$0	**$1,798,000**
RP1701	$0	$0	$0	$0	$0	$0	$8,000	$0	$0	$0	$0	$2,000	$0	$0	$0	**$10,000**
RP1703-17A3	$0	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$400	$0	$0	$0	**$2,000**
RP1704-17A3	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$400	$0	$1,600	$0	**$2,000**
SP1401	$0	$0	$0	$0	$0	$0	$6,400	$0	$0	$0	$0	$1,600	$0	$0	$0	**$8,000**
SP1405-18A1	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
SP1413-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$400	$0	$1,600	$0	**$2,000**
SP1419-18A1	$0	$0	$0	$9,000	$0	$0	$0	$0	$0	$0	$0	$1,000	$0	$0	$0	**$10,000**
SP1708	$0	$0	$0	$0	$0	$0	$6,400	$0	$0	$0	$0	$1,600	$0	$0	$0	**$8,000**
SP1709	$0	$0	$0	$0	$0	$0	$16,000	$0	$0	$0	$0	$4,000	$0	$0	$0	**$20,000**
SP1710	$0	$0	$0	$0	$0	$0	$860,000	$0	$0	$0	$0	$215,000	$0	$0	$0	**$1,075,000**
SP1802-18	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
SP1811-18	$0	$2,000	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	**$2,000**
SP1812-18	$0	$2,000	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	**$2,000**
SP1815-18A2	$44,800	$0	$0	$0	$0	$0	$74,400	$0	$0	$11,200	$0	$18,600	$0	$0	$0	**$149,000**
SP1816-18A2	$0	$0	$0	$0	$0	$0	$44,000	$0	$0	$0	$0	$11,000	$0	$0	$0	**$55,000**
SP1817-18A2	$0	$0	$0	$0	$0	$0	$56,000	$0	$0	$0	$0	$14,000	$0	$0	$0	**$70,000**
SP1903-19	$0	$0	$0	$0	$0	$0	$636,800	$0	$0	$0	$0	$159,200	$0	$0	$0	**$796,000**
SP1904-19	$0	$0	$0	$0	$0	$0	$1,016,800	$0	$0	$0	$0	$254,200	$0	$0	$0	**$1,271,000**
SP1906-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$400	$0	$1,600	$0	**$2,000**
SP1908-19A2	$0	$0	$0	$0	$0	$0	$303,200	$0	$0	$0	$0	$75,800	$0	$0	$0	**$379,000**
SP1909-19A2	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
SP1910-19A2	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
SP1911-19A2	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
SP2002-20	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
SP2003-20	$0	$0	$0	$0	$0	$0	$0	$2,848,000	$0	$0	$0	$712,000	$0	$0	$0	**$3,560,000**
SP2006-20	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$2,000	$0	$8,000	$0	**$10,000**
SP2007-20	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$130,000	$0	$520,000	$0	**$650,000**
SP2008-20	$0	$0	$0	$0	$0	$0	$11,200	$0	$0	$0	$0	$2,800	$0	$0	$0	**$14,000**
SP2009-20	$0	$0	$0	$0	$0	$0	$5,600	$0	$0	$0	$0	$1,400	$0	$0	$0	**$7,000**
SP2013-20	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
SUBTOTAL	$597,300	$2,329,600	$1,382,400	$3,851,100	$440,000	$0	$14,239,200	$4,556,800	$0	$150,325	$0	$6,348,000	$110,000	$2,522,900	$0	$36,527,625
2022																
CC0901	$0	$0	$0	$0	$0	$0	$0	$8,000	$0	$0	$0	$2,000	$0	$0	$0	**$10,000**
CC1102	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
CC1802	$0	$0	$0	$0	$0	$0	$3,104,800	$0	$0	$0	$0	$776,200	$0	$0	$0	**$3,881,000**
CC1803-18	$0	$1,800	$0	$0	$0	$0	$0	$0	$0	$0	$0	$200	$0	$0	$0	**$2,000**
CC1901-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$400	$0	$1,600	$0	**$2,000**
CC1902-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$400	$0	$1,600	$0	**$2,000**
GR1707-17A6	$0	$0	$0	$0	$0	$0	$0	$0	$0	$1,000	$0	$0	$0	$0	$0	**$1,000**
GR1801-18	$0	$1,800	$0	$0	$0	$0	$0	$0	$0	$0	$0	$200	$0	$0	$0	**$2,000**
GR1902-19	$3,246,479	$0	$0	$0	$0	$0	$0	$0	$0	$1,253,521	$0	$0	$0	$0	$0	**$4,500,000**
GR1907-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$418,000	$0	$1,672,000	$0	**$2,090,000**
GR2003-20	$0	$0	$0	$0	$0	$0	$3,200	$0	$0	$0	$0	$800	$0	$0	$0	**$4,000**
GR2004-20	$0	$0	$0	$0	$0	$0	$1,307,200	$0	$0	$0	$0	$326,800	$0	$0	$0	**$1,634,000**
GR2007-20	$0	$0	$0	$0	$0	$0	$20,000	$0	$0	$0	$0	$5,000	$0	$0	$0	**$25,000**
GR2010-20A1	**$0**	**$9,000**	**$0**	**$0**	**$0**	**$0**	**$0**	**$0**	**$0**	**$0**	**$0**	**$1,000**	**$0**	**$0**	**$0**	**$10,000**
MO1405	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$15,000	$0	$0	$0	**$15,000**
MO1719-18A5	$0	$0	$0	$0	$0	$0	$40,000	$0	$0	$0	$0	$10,000	$0	$0	$0	**$50,000**
MO1721-18A5	$0	$54,000	$0	$0	$0	$0	$0	$0	$0	$0	$0	$6,000	$0	$0	$0	**$60,000**
MO1722	$0	$0	$0	$0	$0	$0	$40,000	$0	$0	$0	$0	$10,000	$0	$0	$0	**$50,000**
MO1723	$0	$0	$0	$0	$0	$0	$0	$40,000	$0	$0	$0	$10,000	$0	$0	$0	**$50,000**
MO1904-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$101,200	$0	$404,800	$0	**$506,000**
MO1905-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$23,500	$0	$0	$0	**$23,500**
MO2006-20	$0	$0	$0	$0	$0	$0	$0	$569,600	$0	$0	$0	$142,400	$0	$0	$0	**$712,000**
MO2104-19	$336,000	$0	$0	$0	$0	$0	$0	$0	$0	$84,000	$0	$0	$0	$0	$0	**$420,000**
MO2201-20	$0	$24,000	$0	$0	$0	$0	$0	$0	$0	$0	$0	$3,000	$0	$0	$0	**$27,000**
NX1704	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
OT1901-19A5	$231,525	$0	$0	$0	$0	$0	$0	$0	$0	$57,881	$0	$0	$0	$0	$0	**$289,406**
RG0901-18A1	$0	$13,194,900	$0	$0	$0	$0	$0	$0	$0	$0	$0	$1,466,100	$0	$0	$0	**$14,661,000**
RP1703-17A3	$0	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$400	$0	$0	$0	**$2,000**
RP1704-17A3	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$400	$0	$1,600	$0	**$2,000**
SP1401	$0	$0	$0	$0	$0	$0	$8,000	$0	$0	$0	$0	$2,000	$0	$0	$0	**$10,000**
SP1405-18A1	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
SP1413-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$34,400	$0	$137,600	$0	**$172,000**
SP1708	$0	$0	$0	$0	$0	$0	$748,000	$0	$0	$0	$0	$187,000	$0	$0	$0	**$935,000**
SP1802-18	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
SP1811-18	$0	$2,000	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	**$2,000**

FY 2022 continued on next page

FINANCIAL SUMMARY

Roadways

YEARLY SUMMARY

	Federal									Local		State				
PROJECT	FHWA (STBG-U)	FHWA (SAFETY)	FHWA (BRIDGE)	FHWA (I/M)	FHWA (130)	FHWA (BRO)	FHWA (NHPP)	FHWA (STBG)	FEMA	LOCAL	OTHER	MoDOT	MoDOT-GCSA	MoDOT-AC	SEMA	TOTAL
2022 Continued																
SP1812-18	$0	$2,000	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	**$2,000**
SP1815-18A2	$960,000	$0	$0	$0	$0	$0	$702,400	$0	$0	$240,000	$0	$175,600	$0	$0	$0	**$2,078,000**
SP1816-18A2	$0	$0	$0	$0	$0	$0	$4,000	$0	$0	$0	$0	$1,000	$0	$0	$0	**$5,000**
SP1817-18A2	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
SP1906-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$800	$0	$3,200	$0	**$4,000**
SP1908-19A2	$0	$0	$0	$0	$0	$0	$2,782,400	$0	$0	$0	$0	$695,600	$0	$0	$0	**$3,478,000**
SP1909-19A2	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
SP1910-19A2	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
SP1911-19A2	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
SP2002-20	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
SP2006-20	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$297,800	$0	$1,191,200	$0	**$1,489,000**
SP2008-20	$0	$0	$0	$0	$0	$0	$1,423,200	$0	$0	$0	$0	$355,800	$0	$0	$0	**$1,779,000**
SP2009-20	$0	$0	$0	$0	$0	$0	$780,000	$0	$0	$0	$0	$195,000	$0	$0	$0	**$975,000**
SP2013-20	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
SP2201-20	$0	$0	$0	$0	$800,000	$0	$0	$0	$0	$0	$0	$0	$200,000	$0	$0	**$1,000,000**
SUBTOTAL	$4,774,004	$13,289,500	$0	$0	$800,000	$0	$10,979,200	$619,200	$0	$1,636,402	$0	$5,268,000	$200,000	$3,413,600	$0	$40,979,906
2023																
CC0901	$0	$0	$0	$0	$0	$0	$0	$8,000	$0	$0	$0	$2,000	$0	$0	$0	**$10,000**
CC1102	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
CC1802	$0	$0	$0	$0	$0	$0	$8,268,800	$0	$0	$0	$0	$2,067,200	$0	$0	$0	**$10,336,000**
CC1901-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$400	$0	$1,600	$0	**$2,000**
CC1902-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$400	$0	$1,600	$0	**$2,000**
GR1502	$0	$0	$0	$0	$0	$0	$0	$0	$0	$1,000,000	$0	$0	$0	$0	$0	**$1,000,000**
GR1707-17A6	$0	$0	$0	$0	$0	$0	$0	$0	$0	$1,000	$0	$0	$0	$0	$0	**$1,000**
GR1801-18	$0	$1,800	$0	$0	$0	$0	$0	$0	$0	$0	$0	$200	$0	$0	$0	**$2,000**
GR1902-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$4,000,000	$0	$0	$0	$0	$0	**$4,000,000**
GR2003-20	$0	$0	$0	$0	$0	$0	$16,000	$0	$0	$0	$0	$4,000	$0	$0	$0	**$20,000**
GR2007-20	$0	$0	$0	$0	$0	$0	$1,984,000	$0	$0	$0	$0	$496,000	$0	$0	$0	**$2,480,000**
GR2010-20A1	**$0**	**$9,000**	**$0**	**$0**	**$0**	**$0**	**$0**	**$0**	**$0**	**$0**	**$0**	**$1,000**	**$0**	**$0**	**$0**	**$10,000**
MO1405	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$15,000	$0	$0	$0	**$15,000**
MO1719-18A5	$0	$0	$0	$0	$0	$0	$40,000	$0	$0	$0	$0	$10,000	$0	$0	$0	**$50,000**
MO1721-18A5	$0	$54,000	$0	$0	$0	$0	$0	$0	$0	$0	$0	$6,000	$0	$0	$0	**$60,000**
MO1722	$0	$0	$0	$0	$0	$0	$40,000	$0	$0	$0	$0	$10,000	$0	$0	$0	**$50,000**
MO1723	$0	$0	$0	$0	$0	$0	$0	$40,000	$0	$0	$0	$10,000	$0	$0	$0	**$50,000**
MO1904-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$518,000	$0	$2,072,000	$0	**$2,590,000**
MO1905-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$12,000	$0	$0	$0	**$12,000**
MO2301-20	$336,000	$0	$0	$0	$0	$0	$0	$0	$0	$84,000	$0	$0	$0	$0	$0	**$420,000**
NX1704	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
OT1901-19A5	$243,101	$0	$0	$0	$0	$0	$0	$0	$0	$60,775	$0	$0	$0	$0	$0	**$303,876**
SP1401	$0	$0	$0	$0	$0	$0	$425,600	$0	$0	$0	$0	$106,400	$0	$0	$0	**$532,000**
SP1405-18A1	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
SP1413-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$142,200	$0	$568,800	$0	**$711,000**
SP1802-18	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
SP1906-19	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$0	$265,400	$0	$1,061,600	$0	**$1,327,000**
SP1909-19A2	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
SP1910-19A2	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
SP1911-19A2	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
SP2002-20	$0	$0	$0	$0	$0	$0	$2,400	$0	$0	$0	$0	$600	$0	$0	$0	**$3,000**
SP2013-20	$0	$0	$0	$0	$0	$0	$1,600	$0	$0	$0	$0	$400	$0	$0	$0	**$2,000**
SUBTOTAL	$579,101	$64,800	$0	$0	$0	$0	$10,789,600	$48,000	$0	$5,145,775	$0	$3,670,000	$0	$3,705,600	$0	$24,002,876
GRAND TOTAL	$34,438,304	$19,748,100	$1,411,200	$5,551,200	$1,286,000	$350,151	$69,771,800	$10,188,711	$740,993	$18,266,305	$0	$26,626,477	$316,000	$12,632,400	$123,499	$201,451,140

FINANCIAL CONSTRAINT

Roadways

	Federal Funding Source														
	STBG-U	Safety	Bridge	I/M	130	BRO	NHPP	STBG	FEMA	TOTAL Federal Funds	Local	MoDOT Programmed Funds	Other	State Operations and Maintenance	TOTAL
2020 Funds Programmed	$28,487,899	$4,064,200	$28,800	$1,700,100	$46,000	$350,151	$33,763,800	$4,964,711	$740,993	$74,146,654	$11,333,803	$14,336,777	$123,499	$5,380,129	**$105,320,862**
2021 Funds Programmed	$597,300	$2,329,600	$1,382,400	$3,851,100	$440,000	$0	$14,239,200	$4,556,800	$0	$27,396,400	$150,325	$8,980,900	$0	$5,476,971	**$42,004,596**
2022 Funds Programmed	$4,774,004	$13,289,500	$0	$0	$800,000	$0	$10,979,200	$619,200	$0	$30,461,904	$1,636,402	$8,881,600	$0	$5,575,557	**$46,555,463**
2023 Funds Programmed	$579,101	$64,800	$0	$0	$0	$0	$10,789,600	$48,000	$0	$11,481,501	$5,145,775	$7,375,600	$0	$5,675,917	**$29,678,793**
Total	**$34,438,304**	**$ 19,748,100**	**$ 1,411,200**	**$ 5,551,200**	**$ 1,286,000**	**$ 350,151**	**$69,771,800**	**$ 10,188,711**	**$ 740,993**	**$143,486,459**	**$ 18,266,305**	**$ 39,574,877**	**$ 123,499**	**$22,108,574**	**$223,559,714**

	Prior Year	FY 2020	FY 2021	FY 2022	FY 2023	TOTAL
Available State and Federal Funding	$10,127,993	$ 53,386,192	$36,352,872	$40,069,500	$ 26,219,000	**$166,155,557**
Available Operations and Maintenance Funding	$0	$5,380,129	$5,476,971	$5,575,557	$5,675,917	**$22,108,574**
Funds from Other Sources (inc. Local)	$123,499	$11,333,803	$150,325	$1,636,402	$5,145,775	**$18,389,804**
Available Suballocated Funding	$27,323,332	$3,124,142	$6,826,962	$6,963,501	$7,102,771	**$51,340,707**
TOTAL AVAILABLE FUNDING	$37,574,824	$73,224,266	$48,807,130	$54,244,960	$44,143,463	**$257,994,642**
Prior Year Funding		$37,574,824	$5,478,227	$12,280,761	$19,970,258	--
Programmed State and Federal Funding		($105,320,862)	($42,004,596)	($46,555,463)	($29,678,793)	**($223,559,714)**
TOTAL REMAINING	**$37,574,824**	**$5,478,227**	**$12,280,761**	**$19,970,258**	**$34,434,928**	**$34,434,928**

Additional Funds from Other Sources include one-time FEMA and SEMA grant funding for the Riverside Bridge Replacement.

Available State and Federal Funding shown here does not include Funding Available shown on Bike/Ped Financial Constraint Page.

See Table H.9 for details on Local Share Financial Capacity.

FINANCIAL SUMMARY

Transit

YEARLY SUMMARY

	Federal			Local	State	
PROJECT	**FTA (5307)**	**FTA (5310)**	**FTA (5339)**	**LOCAL**	**MoDOT**	**TOTAL**
2020						
CU1808-17A5	$0	$115,846	$0	$220,782	$0	**$336,628**
CU2000-17A2	$1,744,193	$0	$0	$5,594,535	$42,500	**$7,381,228**
CU2001-17A2	$775,200	$0	$0	$199,890	$0	**$975,090**
CU2004-17A2	$171,360	$0	$0	$44,100	$0	**$215,460**
CU2005-17A2	$26,907	$0	$0	$6,930	$0	**$33,837**
CU2006	$0	$0	$755,919	$233,783	$0	**$989,702**
CU2007-20A1	**$0**	**$0**	**$300,494**	**$75,124**	**$0**	**$375,618**
MO1729-19A4	$0	$10,954	$0	$2,739	$0	**$13,693**
MO1901-17A5	$0	$14,192	$0	$0	$0	**$14,192**
MO1910-19A4	$0	$141,768	$0	$35,442	$0	**$177,210**
SUBTOTAL	$2,717,660	$282,760	$1,056,413	$6,413,325	$42,500	$10,512,658
2021						
CU2100	$1,779,077	$0	$0	$5,706,426	$42,500	**$7,528,003**
CU2101	$790,704	$0	$0	$203,888	$0	**$994,592**
CU2104	$174,787	$0	$0	$44,982	$0	**$219,769**
CU2105	$27,445	$0	$0	$7,069	$0	**$34,514**
CU2111-20A1	**$0**	**$0**	**$1,496,329**	**$374,082**	**$0**	**$1,870,411**
MO1729-19A4	$0	$159,237	$0	$39,809	$0	**$199,046**
MO1901-17A5	$0	$14,476	$0	$0	$0	**$14,476**
SUBTOTAL	$2,772,013	$173,713	$1,496,329	$6,376,256	$42,500	$10,860,811
2022						
CU2200-19	$1,814,658	$0	$0	$5,820,554	$42,500	**$7,677,712**
CU2201-19	$806,518	$0	$0	$207,966	$0	**$1,014,484**
CU2202-19	$178,283	$0	$0	$45,882	$0	**$224,165**
CU2203-19	$27,994	$0	$0	$7,210	$0	**$35,204**
CU2204-19	$0	$228,283	$0	$358,149	$0	**$586,432**
MO1729-19A4	$0	$162,422	$0	$40,605	$0	**$203,027**
MO1901-17A5	$0	$14,766	$0	$0	$0	**$14,766**
SUBTOTAL	$2,827,453	$405,471	$0	$6,480,366	$42,500	$9,755,790

FINANCIAL SUMMARY

Transit

YEARLY SUMMARY

	Federal			Local	State	
PROJECT	**FTA (5307)**	**FTA (5310)**	**FTA (5339)**	**LOCAL**	**MoDOT**	**TOTAL**
2023						
CU2300-20	$1,850,951	$0	$0	$5,820,554	$42,500	**$7,714,005**
CU2301-20	$822,648	$0	$0	$207,966	$0	**$1,030,614**
CU2302-20	$181,850	$0	$0	$45,882	$0	**$227,732**
CU2303-20	$28,554	$0	$0	$7,354	$0	**$35,908**
MO1729-19A4	$0	$165,670	$0	$41,418	$0	**$207,088**
MO1901-17A5	$0	$15,061	$0	$0	$0	**$15,061**
SUBTOTAL	$1,033,052	$180,731	$0	$302,620	$0	$9,230,408
GRAND TOTAL	$9,350,178	$1,042,675	$2,552,742	$19,572,567	$127,500	$40,359,667

FINANCIAL CONSTRAINT

Transit

	Federal Funding Source					
	5307	5310	5339	Local	MoDOT	TOTAL
PRIOR YEAR						
Balance	$ -	$ 555,612	$ 2,585,441	$ -	$ -	**$ 3,141,053**
FY 2020						
Funds Anticipated	$ 2,717,660	$ 283,845	$ 389,993	$ 8,116,029	$ 42,500	**$11,550,027**
Funds Programmed	($2,717,660)	($282,760)	($1,056,413)	($6,413,325)	($42,500)	**($10,512,658)**
Running Balance	**$0**	**$556,697**	**$1,919,021**	**$1,702,704**	**$0**	**$4,178,422**
FY 2021						
Funds Anticipated	$ 2,772,013	$ 289,521	$ 396,792	$ 8,805,809	$ 42,500	**$12,306,635**
Funds Programmed	($2,772,013)	($173,713)	($1,496,329)	($6,376,256)	($42,500)	**($10,860,811)**
Running Balance	**$0**	**$672,505**	**$819,484**	**$4,132,257**	**$0**	**$5,624,246**
FY 2022						
Funds Anticipated	$ 2,827,453	$ 295,312	$ 403,728	$ 9,689,405	$ 42,500	**$13,258,398**
Funds Programmed	($2,827,453)	($405,471)	$ -	($6,480,366)	($42,500)	**($9,755,790)**
Running Balance	**$0**	**$562,346**	**$1,223,212**	**$7,341,296**	**$0**	**$9,126,854**
FY 2023						
Funds Anticipated	$ 2,861,385	$ 301,218	$ 411,803	$ 10,294,218	$ -	**$13,868,624**
Funds Programmed	($1,033,052)	($180,731)	$ -	($302,620)	$ -	**($1,516,403)**
Running Balance	**$1,828,333**	**$682,833**	**$1,635,015**	**$17,332,894**	**$0**	**$21,479,075**

Advertising

City Utilities Transit receives over $100,000 per year on their transit advertising contract. Advertisements are sold on buses, inside the fixed route buses, bus shelters with ad panels, and bus benches.

Utility Ratepayers

The City Utilities Customers for Electric, Gas, Water, and SpringNet provide the local match for public transportation in Springfield, Missouri. The net amount absorbed by the Utility customers varies from year to year based on the amount of budgeted expenditures for operations, maintenance, and capital expenditures.

Human Service Providers

FTA Section 5310 funding is competitively awarded on a regular basis to area Human Service Transportation providers. The 5310 awards are administered by MoDOT as set forth in an MOU and the Program Management Plan. The responsibility is on MoDOT to confirm financial capacity in administering these projects. As part of the application process and in executing vehicle purchase agreements with MoDOT, awardees are required to demonstrate financial capacity for both the match and the maintenance of any vehicle purchased. Sources for this funding depends upon the agency, but projects are not awarded to those agencies who cannot provide the requisite match.

PROJECTED REVENUES

In an effort to demonstrate that the local jurisdictions and agencies are able to fund the projects programmed in the TIP, in addition to maintaining the federal aid system, the following revenue estimates are included. OTO is not using any inflation in these revenue projections as the sources are fuel taxes, sales taxes, and property taxes, rather, the projections are adjusted each year with the revised TIP. The TIP financial element is consistent with the OTO Long Range Transportation Plan, *Transportation Plan 2040*.

STATE AND FEDERAL

Table H.1 Summary	2020	2021	2022	2023	Total
MoDOT State/Federal Funding	$60,230,000	$42,020,000	$43,902,500	$27,859,000	$174,011,500

*Includes Engineering and Rail funding

Table H.2	STBG-Urban	TAP	5307	5310	5339
Carryover Balance through FY2019	**$27,323,331.75**	**$853,353.32**	**$0**	**$555,612**	**$2,585,441**
Anticipated Allocation FY2020	*$6,693,099.69*	*$421,887.06*	*$2,717,660*	*$283,845*	*$389,993*
Anticipated Allocation FY2021	*$6,826,961.68*	*$430,324.80*	*$2,772,013*	*$289,521*	*$396,792*
Anticipated Allocation FY2022	*$6,963,500.92*	*$438,931.30*	*$2,827,453*	*$295,312*	*$403,728*
Anticipated Allocation FY2023	*$7,102,770.93*	*$447,709.92*	*$2,861,385*	*$301,218*	*$411,803*
Total Anticipated Allocation	**$27,586,333.22**	**$1,738,853.08**	**$11,178,511**	**$1,169,896**	**$1,602,316**
Programmed through FY2023	**($38,007,262.00)**	**($1,215,847.00)**	**($9,350,178)**	**($1,042,675)**	**($2,552,742)**
Estimated Carryover Balance Through FY 2023	**$16,902,402.97**	**$1,376,359.40**	**$1,828,333**	**$682,833**	**$1,635,015**

Table H.9 Local Share Financial Capacity	2020	2021	2022	2023
City of Battlefield				
Total Available Revenue	$380,610.00	$380,610.00	$380,610.00	$380,610.00
Carryover Balance from Prior Year	--	$168,136.00	$525,991.66	$883,437.75
Estimated Operations and Maintenance Expenditures	($22,352.00)	($22,754.34)	($23,163.91)	($23,580.86)
Estimated TIP Project Expenditures	($190,122.00)	$0.00	$0.00	$0.00
Amount Available for Local Projects	**$168,136.00**	**$525,991.66**	**$883,437.75**	**$1,240,466.89**
City of Nixa				
Total Available Revenue	$2,137,719.00	$2,137,719.00	$2,137,719.00	$2,137,719.00
Carryover Balance from Prior Year	--	$1,708,973.64	$3,608,778.94	$5,536,910.36
Estimated Operations and Maintenance Expenditures	($202,241.36)	($205,881.70)	($209,587.58)	($213,360.15)
Estimated TIP Project Expenditures	($226,504.00)	($32,032.00)	$0.00	$0.00
Amount Available for Local Projects	**$1,708,973.64**	**$3,608,778.94**	**$5,536,910.36**	**$7,461,269.21**
City of Ozark				
Total Available Revenue	$1,889,656.00	$1,889,656.00	$1,889,656.00	$1,889,656.00
Carryover Balance from Prior Year	--	$708,554.17	$2,573,066.75	$4,437,126.76
Estimated Operations and Maintenance Expenditures	($24,698.84)	($25,143.41)	($25,596.00)	($26,056.72)
Estimated TIP Project Expenditures	($1,156,403.00)	$0.00	$0.00	$0.00
Amount Available for Local Projects	**$708,554.17**	**$2,573,066.75**	**$4,437,126.76**	**$6,300,726.03**
City of Republic				
Total Available Revenue	$2,033,343.00	$2,033,343.00	$2,033,343.00	$2,033,343.00
Carryover Balance from Prior Year	--	$1,862,516.45	$3,721,958.03	$5,578,269.38
Estimated Operations and Maintenance Expenditures	($170,826.55)	($173,901.42)	($177,031.65)	($180,218.22)
Estimated TIP Project Expenditures	$0.00	$0.00	$0.00	$0.00
Amount Available for Local Projects	**$1,862,516.45**	**$3,721,958.03**	**$5,578,269.38**	**$7,431,394.16**
City of Springfield				
Total Available Revenue	$25,582,262.00	$25,582,262.00	$25,582,262.00	$25,582,262.00
Carryover Balance from Prior Year	--	$20,480,549.28	$43,346,555.08	$65,935,563.86
Estimated Operations and Maintenance Expenditures	($2,575,693.72)	($2,622,056.20)	($2,669,253.22)	($2,717,299.77)
Estimated TIP Project Expenditures	($2,526,019.00)	($94,200.00)	($324,000.00)	($84,000.00)
Amount Available for Local Projects	**$20,480,549.28**	**$43,346,555.08**	**$65,935,563.86**	**$88,716,526.09**

Table H.9 Local Share Financial Capacity cont.	2020	2021	2022	2023
City of Strafford				
Total Available Revenue	$115,568.00	$115,568.00	$115,568.00	$115,568.00
Carryover Balance from Prior Year	--	$63,598.00	$175,398.39	$287,130.96
Estimated Operations and Maintenance Expenditures	($3,701.00)	($3,767.61)	($3,835.43)	($3,904.47)
Estimated TIP Project Expenditures	($48,269.00)	$0.00	$0.00	$0.00
Amount Available for Local Projects	**$63,598.00**	**$175,398.39**	**$287,130.96**	**$398,794.49**
City of Willard				
Total Available Revenue	$484,421.00	$484,421.00	$484,421.00	$484,421.00
Carryover Balance from Prior Year		$381,887.44	$804,746.36	$1,226,497.15
Estimated Operations and Maintenance Expenditures	($60,473.56)	($61,562.08)	($62,670.20)	($63,798.27)
Estimated TIP Project Expenditures	($42,060.00)	$0.00	$0.00	$0.00
Amount Available for Local Projects	**$381,887.44**	**$804,746.36**	**$1,226,497.15**	**$1,647,119.89**
Christian County				
Total Available Revenue	$5,761,618.00	$5,761,618.00	$5,761,618.00	$5,761,618.00
Carryover Balance from Prior Year	--	$5,681,090.80	$11,360,732.11	$17,038,897.84
Estimated Operations and Maintenance Expenditures	($80,527.20)	($81,976.69)	($83,452.27)	($84,954.41)
Estimated TIP Project Expenditures	$0.00	$0.00	$0.00	$0.00
Amount Available for Local Projects	**$5,681,090.80**	**$11,360,732.11**	**$17,038,897.84**	**$22,715,561.43**
Greene County				
Total Available Revenue	$24,496,117.00	$24,496,117.00	$24,496,117.00	$24,496,117.00
Carryover Balance from Prior Year	$1,062,967.00	$17,564,435.81	$41,433,241.35	$64,037,252.28
Estimated Operations and Maintenance Expenditures	($615,237.19)	($626,311.46)	($637,585.07)	($649,061.60)
Estimated TIP Project Expenditures	($7,379,411.00)	($1,000.00)	($1,254,521.00)	($5,001,000.00)
Amount Available for Local Projects	**$17,564,435.81**	**$41,433,241.35**	**$64,037,252.28**	**$82,883,307.68**
City Utilities				
Total Available Revenue	$8,161,500.00	$8,850,500.00	$9,695,500.00	$10,299,500.00
Estimated Operations and Maintenance Expenditures	($5,845,455.00)	($5,962,365.00)	($6,081,612.00)	($6,081,756.00)
Available for TIP Project Expenditures	**$2,316,045.00**	**$2,888,135.00**	**$3,613,888.00**	**$4,217,744.00**
Carryover from Prior Year	--	$2,054,562.00	$4,718,251.00	$7,973,990.00
Estimated TIP Project Expenditures	($261,483.00)	($224,446.00)	($358,149.00)	$0.00
Amount Available for Local Projects	**$2,054,562.00**	**$4,718,251.00**	**$7,973,990.00**	**$12,191,734.00**

TAB 5

BOARD OF DIRECTORS AGENDA 10/17/2019; ITEM III.C.

Revised STIP Project Prioritization Criteria

Ozarks Transportation Organization
(Springfield, MO Area MPO)

AGENDA DESCRIPTION:

Over the past year, OTO committees have worked to rewrite the OTO prioritization criteria for recommending projects for the Statewide Transportation Improvement Program.

The OTO Board of Directors approved the criteria in August. At the first project prioritization meeting, the committee recommended additional modifications. These modifications included stratification of scoring in the high volumes corridors scores to provide a break between 30,000 and 40,000 vehicles per day and to include an additional break in the current volume to capacity scoring at the .92 ratio.

The prioritization criteria only serve as initial project scoring. It is up to the Technical Planning Committee to take the scores under advisement when ranking projects for recommendation to the full Board.

Included for review is the Revised Prioritization Glossary which defines the criteria that was used in the current round of prioritization. The 2021-2025 STIP deadline for the scoring and final prioritization to be completed is the November Technical Planning Committee and the December Board of Directors. However, we are ahead of schedule.

The process to prioritize and program is outlined in the following schedule:

- June through July 2019 – OTO staff **Score Projects**
- July through August 2019 – Subcommittee meetings to **Review Scoring and Prioritize Projects**
- September-December 2019 – OTO Approval of **STIP Priorities**
- January through March 2020 – MoDOT updates on proposed project programming (NEW)
- Spring 2020 – Missouri Highway and Transportation Commission drafts the Statewide Transportation Improvement Program
- June 2020 – OTO Board requested to **Endorse the STIP**
- July 2020 – Missouri Highway and Transportation Commission approves the Statewide Transportation Improvement Program
- June-August 2020 – OTO **TIP Programming** of STIP Projects
- Fall 2020- FHWA and FTA **Approve TIP** and authorize projects for obligation as planned in the STIP/TIP

TECHNICAL PLANNING COMMITTEE ACTION TAKEN:

At its regularly scheduled meeting on September 18, 2019, the Technical Planning Committee recommended that the Board of Directors approve the Revised STIP Project Prioritization Criteria.

BOARD OF DIRECTORS ACTION REQUESTED:

A member of the Board of Directors is requested to make one of the following motions:

“Move to approve the Revised STIP Project Prioritization Criteria.”

OR

“Move to recommend that…”

FY 2021-2025 STIP Project Prioritization Glossary

1. **High Volume Corridors. Corridors that have high volumes will be awarded additional points.**

   Corridors are scored based upon AADT. This data is obtained annually from MoDOT. The most recent data is used.

   Over 40,000 = 6 Points
   30,000 to 40,000 = 5 Points
   20,000 to 30,000 = 4 Points
   10,000 to 20,000 = 3 Points
   0 to 10,000 = 2 Points

2. **Safety**

   Safety Scores for Project Segments and Intersections (40 points possible)

   The MoDOT Actual Accident Rate, 3-Year Fatality Average, and 3-Year Injury Average for State System (SS) Roadway Segments in the SW District were included in an additive combination to produce the priority safety scores for proposed projects. Accident data for the 3-year period from 2015 to 2017 were provided by the MoDOT Central Office in the SS Segment file. The actual accident rate for segments were calculated by MoDOT using a standard formula from the FHWA's *Roadway Departure Safety: A Manual for Local Rural Road Owners* as follows:

$$\frac{\text{Crashes*100,000,000}}{\text{3 [yrs]* 365[days]* [AADT] * [Length]}}$$

   Fatality and injury rate calculations for segments use the same formula but only consider fatal crashes or injury crashes in the numerator. Actual Accident, Fatality, and Injury rates are calculated by MoDOT for State System Intersections according to the following formula:

$$\frac{\text{Crashes*1,000,000}}{\text{3 [yrs]* 365[days]* [ENTERING\_VOLUME]}}$$

   An average for all actual accident rates by roadway type was calculated for state system segments within the MoDOT SW District area. Averages were calculated for intersections with the same number of approach legs. Individual rates for segments and intersections were then divided by the average for either roadway type or number of approach legs District-wide. This produced a value above or below one. Values above one indicated how many times greater the individual segment or intersection rate was above its type average. Conversely, values below one indicated that the segment or intersection rate was less than the average for its type in the SW District. Ultimately, this created a symmetrical value among all types suitable for reclassification. The fatality and injury averages by roadway or approach leg values were classed in to four quartiles based on percentile rank accordingly for these metrics:

Actual Rate by Type		*3-Year Fatality Avg.*		*3-Year Injury Avg.*
=> 1.5 = 4	*+*	*75th – 100th = 4*	*+*	*75th – 100th = 4*
> 1.5 and => 1 = 3	*+*	*50th – 75th = 3*	*+*	*50th – 75th = 3*
> 1 and => 0.5 = 2	*+*	*25th – 50th = 2*	*+*	*25th – 50th = 2*
> .5 – 0 = 1	*+*	*0th – 25th = 1*	*+*	*0th – 25th = 1*

The reclassed rank values for Actual Accident, Fatality, and Injury rate were then added together creating a range of safety scores from 3 to 12. The safety scores are then rescaled from 1 – 10 corresponding to the original scale of 3 – 12. A multiplier of 4 was applied to the rescaled value of 1 – 10 to award safety points as depicted below:

Safety Score Value →	*Rescaled Safety Score →*	*Safety Score Multiplier →*	*Safety Points Awarded*
3	*1*	*x 4*	*4*
4	*2*	*X4*	*8*
5	*3*	*X4*	*12*
6	*4*	*X4*	*16*
7	*5*	*X4*	*20*
8	*6*	*X4*	*24*
9	*7*	*X4*	*28*
10	*8*	*X4*	*32*
11	*9*	*X4*	*36*
12	*10*	*X4*	*40*

3. Improvement or Removal of At-Grade Railroad Crossing

Yes = 5
No = 0
If a project improves or removes an at-grade railroad crossing, it received five points.

4. Congestion Management Current

Current volume-to-capacity greater than or equal to 0.86 = 7 Points
Current volume-to-capacity greater than or equal to 0.92 = 11 Points
Current Volume-to-Capacity Greater than or equal to 1 = 14 Points

A volume-to-capacity ratio for roadways in the OTO region was calculated using 2018 Average Annual Daily Traffic totals and percentage of bus and combo semi-trailer traffic obtained from the MoDOT Central Office. A passenger car equivalent volume was calculated by multiplying the roadway AADT by the percent of bus and semi traffic. This value was subtracted from the AADT value, multiplied by 3 and then added back to the AADT value. The passenger car equivalent value was compared to roadway capacities stored in the travel demand model to determine the current V/C scoring. Capacity for roadway segments along Hwy 14, Route MM, US Hwy 60 east of US Hwy 65 and through Republic were revised using 24-hour capacities determined via a roadway capacity analysis conducted for the OTO by CJW Consultants. Capacities at other locations of known improvements, e.g. auxiliary lanes added to segments along James River Freeway were revised by OTO staff. The travel demand model no-build scenario for 2040 includes projects committed through 2018. The projected volume to capacity ratio for the 2040 no-build scenario is used for the future V/C scoring. The ratio of 0.86 is considered Level of Service E (or at capacity).

Current volume-to-capacity ratios were calculated for total roadway volumes including all directions of travel. A project was awarded points based on the highest v/c ratio intersecting the project road segment or intersection. Projects with segments less than 0.86, current or future, received 0 points.

5. **Congestion Management Future**

    Future (2040 or most recent model run) volume-to-capacity greater than or equal to 0.86 = 5 Points. Future volume-to-capacity ratios were calculated for opposing directions. The segment with the highest future v/c ratio intersecting the project area was used to determine the score.

6. **Environmental Justice**

    Environmental Justice Tracts

    In order to adequately consider historically disadvantaged groups. Each of these categories has been mapped by Census Tract percentages from the 2012 – 2016 American Community Survey 5-Year Estimates. If the value for one of these categories is greater than the average Tract percentage for the MPO area, it is considered high percentage tract. If a proposed project intersects or is adjacent to one or both identified tracts is will be given points as follows:

    Intersecting or adjacent to tract consider to have a high percentage of minorities = 2 points
    Intersecting or adjacent to tract consider to have a high percentage of low income = 2 points

7. **Multi-Modal (maximum of 3 points)**

    Intermodal Benefit (Bike/Ped/Transit and Truck/Rail)
    No intermodal potential = 1 points
    Facilitates transfer or intermodal potential between 1 to 2 modes = 1 point x number of modes
    In this category, one point is awarded for each mode connected. A single-mode project receives one point in this category. One point is awarded for each additional mode connected.

8. **Freight Corridor Statewide Freight Plan**

    Project is on a corridor that is identified as a Tier I or Tier II facility in the State Freight Plan
    Tier 1 = 2 Points
    Tier 2 = 1 Point

9. **Percentage Freight Traffic**

    Greater than 20% = 3

    Between 15% and 20% =2

    Between 10 and 15% = 1

10. **Travel Time**

The OTO employs Acyclica wifi sensors and INRIX/HERE travel time data which utilizes mobile signals contained in the Regional Integrated Transportation Information System (RITIS) to develop travel time analytics at locations along roadways in the OTO area. Travel time data are collected for all weekdays during April. The collection period for the AM peak is from 7:15 AM – 8:15 AM for all roadways. The collection period for the PM peak varies from 5:00 PM – 6:00 PM for Freeways and Springfield arterials to 5:30 PM – 6:30 PM for arterials outside of Springfield. Travel times are converted to miles per hour and subtracted from the posted speed limit. Points are awarded for travel delay along roadway segments during either AM or PM peak periods according to the following scales:

Arteriais

20.0 mph or more Below the Speed Limit = 14
10.0 to 19.9 mph Below the Speed Limit = 10
5.0 to 9.9 mph Below the Speed Limit = 4
Above the Speed Limit to 4.9 mph Below = 0

Freeways

10 mph or more Below the Speed Limit = 14
9.9 to 5 mph Below the Speed Limit = 10
4.9 to 0.1 mph Below the Speed Limit = 4
Equal to or Above the Speed Limit = 0

11. Bridge Condition (4 points possible)

Project corridor includes a structurally deficient bridge determined to be poor or very poor by MoDOT.

Yes = 4 Points
No = 0 Points

TAB 6

BOARD OF DIRECTORS AGENDA 10/17/2019; ITEM III.D.

2021-2024 STIP Priorities

Ozarks Transportation Organization
(Springfield, MO Area MPO)

AGENDA DESCRIPTION:

In early 2020, MoDOT is expected to develop funding estimates for use in the 2021-2025 Statewide Transportation Improvement Program. Once those estimates are developed, there is a very short window to add projects to the program. Therefore, MoDOT has asked for a list of prioritized projects to begin estimating project costs. Projects will only be considered after the funding of the asset management plan ensuring that pavement and bridges are kept in good condition.

While funding projections dramatically decreased with MoDOT's updated funding formula, the Governor's bridge program will result in additional funds to be spent in the OTO area. The expectation is that there will be funding to add projects to state fiscal years 2023 through 2025 (July 2022 through June 2025).

Once adopted by the Board, the list will be forwarded to MoDOT for consideration. The projects would be considered in the order that the Ozarks Transportation Organization prioritizes them.

The proposed list has impacts from existing projects which will limit the consideration of projects in order. Please be aware that if a top project cannot be ready, costs more than the funding available, or is being impacted by a planned construction project, the next project would be considered. MoDOT also has the flexibility to decide that a project doesn't meet the warrants for improvement or that the proposed improvement does not meet a benefit cost analysis or will not meet the identified need. There are cases where projects can be constructed together and therefore should be advanced. This list serves as OTO's request, not a final expected listing of projects.

There are many different project needs in the STIP. The first and foremost is taking care of the system. MoDOT must ensure that the current system is adequately maintained prior to considering any other type of project. This category includes pavement repair and rehabilitation, bridge repair or replacement, ITS operations, signal maintenance, ADA improvements, etc. The next set of needs are safety related. This includes guardrail and guard cable maintenance, site distance issues, and possibly intersection improvements at which accidents are very high. Finally, any remaining funding would go to fund the projects that are being prioritized.

This year the criteria was revised to primarily shift from the Priority Projects of Regional Significance to consider additional factors such as freight and traffic volumes. A working group of the Technical Planning Committee has met to review a list of projects and to determine priority order using the revised prioritization criteria, the group recommended the order as shown in the attached spreadsheet.

FUTURE STEPS

1. OTO Board makes recommendation to MoDOT SW District (October)
2. MoDOT refines project cost estimates and proposes projects for programming in the STIP (February)
3. OTO TPC and Board review the proposed STIP and make recommendation for approval to MoDOT
4. Missouri Highway and Transportation Commission adopts Statewide Transportation Improvement Program (July)
5. OTO adopts the Transportation Improvement Program incorporating approved STIP projects (August)
6. FHWA and FTA authorize projects for obligation as planned in the STIP/TIP

TECHNICAL PLANNING COMMITTEE ACTION TAKEN:

At its regularly scheduled meeting on September 18, 2019, the Technical Planning Committee recommended that the presented list of priorities to the Board of Directors for consideration by MoDOT for inclusion in the 2021 – 2025 STIP.

BOARD OF DIRECTORS ACTION REQUESTED:

A member of the Board of Directors is requested to make one of the following motions:

"Move to approve the presented list of priorities for consideration by MoDOT for inclusion in the 2021-2025 STIP."

OR

"Move to approve the list of priorities as revised for consideration by MoDOT for inclusion in the 2021-2025 STIP."

TAB 7

BOARD OF DIRECTORS AGENDA 10/17/2019; ITEM III.E.

2018 State of Transportation Report

Ozarks Transportation Organization
(Springfield, MO Area MPO)

AGENDA DESCRIPTION:

As another step to inform the public of transportation concerns in the region, OTO has produced a State of Transportation Report, which includes achievements and statistics from 2018. This report is produced annually and made available at public events and on the OTO website. Accompanying the report is an infographic showing progress on the performance measures from the long range transportation plan, *Transportation Plan 2040*. The infographic and State of Transportation report are included for member review.

BOARD OF DIRECTORS ACTION REQUESTED:

This item is included for informational purposes only. No action is required.

2018 PERFORMANCE MEASURES

11 MEASURES WITH TARGETS FOR 2035

Ozarks Transportation Organization's long-range transportation plan sets performance measures as a way for OTO to monitor the success of the regional transportation system.

01 Daily Vehicle Miles Traveled per Capita
A lower value is better.

2018	16.43
2017	16.71
2016	16.46
2015	16.03
2014	15.67

Target: Less than 19.0 by 2035

02 Percent Driving Alone
A lower value is better.

2011-2015	83%
2012-2016	82%
2013-2017	83%

Target: Decrease to less than 75% by 2035

03 Bicycle/Pedestrian Network Completion
A higher value is better.

	2016	2017	2018
TRAIL MILES	62.60	64.51	64.51
ROADWAY WITH SIDEWALKS	31.1%	32.07%	32.07%

Target: More than 35% roads with sidewalks and more than 80 miles of greenway by 2035

04 Crash Rate For Disabling Injuries & Fatalities
A lower value is better.

2014	11.04
2015	11.16
2016	11.24
2017	12.10
2018	11.14

Target: Downward Trend

05 On-Time Performance of Transit System
A higher value is better.

2016	81%
2017	78%
2018	81%

Target: Under Review

06 Percent of Housing Units within ¼-mile of a Bus Route
A higher value is better.

OTO Area		CU Service Area
45%	2018	83%
47%	2010	84%

2010 2017

Target: Upward Trend

07 Change in Average Commute Time from 2012-2016 to 2013-2017
A lower value is better.

CHRISTIAN COUNTY	-0.2
GREENE COUNTY	0.1
BATTLEFIELD	-0.6
FREMONT HILLS	0.9
NIXA	-0.9
OZARK	-0.2
REPUBLIC	-0.4
SPRINGFIELD	0.2
STRAFFORD	-0.5
WILLARD	-0.8
AVERAGE OF OTO CITIES	-0.3

Average OTO Commute Time is 22.4 minutes.

Target: Keep the average commute time less than 25 minutes by 2035

08 Significantly Delayed Roadways
A lower value is better.

AM		PM
2%	2018	16%
6%	2017	19%
2%	2016	17%
7%	2012	14%
10%	2008	13%

Target: Less than 20%

09 Percent of Roadways in Good Condition
A higher value is better.

2018	97%
2014	96%
2011	94%
2008	89%

COMPLETED AS PROMISED

Target: More than 85% of Major Roads in Good Condition

10 Bridges in Fair or Better Condition
A higher value is better.

2018	94.31%
2016	95.20%
2014	95.47%
2012	94.82%
2010	92.41%

Target: Bridges in fair or better condition over 90%

11 Ozone Levels
A lower value is better.

2016-2018	61
2015 Standard	70
2013-2015	61
2010-2012	74
2008 Standard	75
2006-2008	73

Target: Stay below standard of 70 ppb

OZARKS TRANSPORTATION ORGANIZATION

A METROPOLITAN PLANNING ORGANIZATION | FULL REPORT AVAILABLE AT: OZARKSTRANSPORTATION.ORG

BOARD OF DIRECTORS AGENDA 10/17/2019; ITEM III.F.

2020 Legislative Priorities

Ozarks Transportation Organization
(Springfield, MO Area MPO)

AGENDA DESCRIPTION:

Annually, the OTO establishes a list of Legislative Priorities for use when communicating with area legislators. It proves to be very valuable and is well received.

Included for member review and input is a draft list of priorities for 2020.

EXECUTIVE COMMITTEE ACTION:

At its meeting on September 11, 2019, the Executive Committee recommended adoption the 2020 Legislative Priorities by the Board of Directors.

TECHNICAL PLANNING COMMITTEE ACTION TAKEN:

At its regularly scheduled meeting on September 18, 2019, the Technical Planning Committee recommended adoption of the 2020 Legislative Priorities.

BOARD OF DIRECTORS ACTION REQUESTED:

A member of the Board of Directors is requested to make one of the following motions:

"Move to adopt the 2020 Legislative Priorities."

OR

"Move to adopt the 2020 Legislative Priorities with the following changes…"

Ozarks Transportation Organization

TOP 5 Transportation Investment Projects

Keeping Traffic Moving Safely and Efficiently Throughout the Region

Capacity and Safety Improvements on Highway 13 (Kansas Expressway) through Springfield

US60 Improvements through the OTO Region

- Upgrade to Freeway Standards east of Springfield
- Expand James River Freeway to six lanes
- Increase Capacity between Springfield and Republic
- US 60/MM Intersection and Rail Crossing Improvements

I-44- Capacity Improvements through the OTO area

State Highway 14 Improvements East of Bus 65 in Ozark

Intelligent Transportation System Improvements to include signal fiber connection and advanced signal detection systems

Locally Significant Investment Priorities

Improving Sense of Place and Quality of Life for Local Residents

Galloway Street Improvements in Springfield

Grant Street Multimodal Corridor connecting Wonders of Wildlife to Downtown

Mill Street Improvements in Ozark

Chadwick Flyer Trail Construction connecting Springfield and Ozark

Safety Improvements to the Wilson's Creek Battlefield Entrance

LIVE Republic Pedestrian and Multimodal Improvements to include a pedestrian bridge over US60 and essential community trail connections.

OTO 2020 Federal Legislative Priorities

Short Term Priorities

OTO supports the timely passage of transportation reauthorization legislation that includes increased funding levels for roads, bridges, bicycling and pedestrian infrastructure and transportation planning.

OTO requests additional transportation infrastructure funding to support the OTO Priorities which include safety and congestion relieving projects, as well as bicycle and pedestrian infrastructure to support the continued development of a healthy region.

OTO supports an increase in the FAA Passenger Facility Charge to assist funding airport facilities.

OTO supports the streamlining of environmental review processes including one federal decision and reductions in authorization decision timelines to extend to Environmental Assessments as well Environment Impact Statements.

Longer Term Priorities

OTO supports long term sustainable funding for transportation in order to strengthen the United States Highway Trust Fund program and ensure funding is available for the country's critical transportation infrastructure projects.

OTO supports federal operating assistance for the Springfield area's transit systems including efforts to secure capital funds for bus replacement and multimodal infrastructure.

OTO supports more direct allocations to state, regional, and local governments with less federal oversight and streamlined regulations.

OTO 2020 State Legislative Priorities

OTO supports alternative sources of funding for transportation infrastructure to ensure increased investment in the statewide system.

- OTO supports raising the motor fuel tax within the confines of the Hancock Amendment.
- OTO supports Modifying Missouri's timely sales tax filing discount for retailers and directing the savings to fund transportation infrastructure.
- OTO supports an equitable miles per gallon motor vehicle registration model that supports increasing fuel efficiencies while maintaining revenues from registration fees.
- OTO supports partnerships between MoDOT, local governments and the private sector

OTO supports Increased funding for multimodal transportation to improve port, rail, aviation and a dedicated source of state transit funding for Missouri's public transit providers.

OTO supports repeal of the prevailing wage requirement for public works projects.

OTO opposes the transfer of state-owned roadways to local ownership and maintenance with or without funding to offset the cost.

OTO supports legislation that provides cities and counties the right to collect sales and use taxes from internet sales regardless of the location of the business providing the good or service.

TAB 8

BOARD OF DIRECTORS AGENDA 10/17/2019; ITEM III.G.

Resolution for 457 Deferred Compensation Plan

Ozarks Transportation Organization
(Springfield, MO Area MPO)

AGENDA DESCRIPTION:

Ozarks Transportation Organization has recently learned that the organization is eligible for a 457 Deferred Compensation Plan through the ICMA (International City/County Management Association) Retirement Corporation. They provide a low fee way to administer a 457 plan.

In order to participate the Board of Directors must adopt a resolution, which is included. Also included in the plan document provided by ICMA Retirement Corporation.

OTO is proposing to contribute a maximum of $10 per pay period per employee if the employee elects at least $10 per pay period in deferred compensation. This proposal will cost up $1,560 annually. This is in addition to the LAGERS retirement plan.

Attachments:
Resolution to Participate
457 Governmental Deferred Compensation Plan and Trust Document
457 Deferred Compensation Plan Information Sheet
Administrative Services Agreement
ICMA – RC 457 Optional Provisions Elections
Vantage Trust Fee and Revenue Disclosure

EXECUTIVE COMMITTEE ACTION TAKEN:

At its meeting on September 11, 2019, the Executive Committee recommended that the Board of Directors adopt the Resolution for 457 Deferred Compensation Plan."

BOARD OF DIRECTORS ACTION REQUESTED:

A member of the Board of Directors is requested to make one of the following motions:

"Move to adopt the Resolution for 457 Deferred Compensation Plan."

OR

"Move to recommend that…"

Ozarks Transportation Organization Resolution 001-2019

Account No. 300691

OZARKS TRANSPORTATION ORGANIZATION RESOLUTION TO PARTICIPATE IN A 457 DEFERRED COMPENSATION PLAN

Whereas, the Ozarks Transportation Organization has employees rendering valuable services; and

WHEREAS, the establishment of a deferred compensation plan for such employees serves the interests of the Ozarks Transportation Organization by enabling it to provide reasonable retirement security for its employees, by providing increased flexibility in its personnel management system and by assisting in the attraction and retention of competent personnel; and

WHEREAS, the Ozarks Transportation Organization has determined that the establishment of the deferred compensation plan to be administered by the ICMA Retirement Corporation serves the above objectives; and

WHEREAS, the Ozarks Transportation Organization desires that its deferred compensation plan be administered by the ICMA Retirement Corporation, and that some or all the funds held under the plan be vested in Vantage Trust, a trust established by public employers for the collective investment of funds held under their retirement and deferred compensation plans;

NOW THEREFORE BE IT RESOLVED that the Ozarks Transportation Organization hereby adopts the deferred compensation plan ("The Plan") in the form of The ICMA Retirement Corporation Deferred Compensation Plan and Trust, referred to as Appendix A

BE IT FURTHER RESOLVED that the Ozarks Transportation Organization hereby adopts the Declaration of Trust of VantageTrust, attached hereto as Appendix B, intending this adoption to be operative with respect to any retirement or deferred compensation plan subsequently established by the Ozarks Transportation Organization, if the assets of the plan are to be invested in Vantage Trust.

BE IT FURTHER RESOLVED that the assets of the Plan shall be held in trust, with the Ozarks Transportation Organization serving as the trustee, for the exclusive benefit of the Plan participants and their beneficiaries, and the assets shall not be diverted for any other purpose.

BE IT FURTHER RESOLVED that the Ozarks Transportation Organization hereby agrees to be the trustee under the Plan.

BE IT FURTHER RESOLVED that the Executive Director shall be the coordinator for this program; shall receive necessary reports, notices, etc. from the ICMA Retirement Corporation or VantageTrust; Administrative duties to carry out the plan, may be assigned to the appropriate departments, and is authorized to execute all necessary agreements with ICMA Retirement Corporation incidental to the administration of the Plan.

I, Steve Childers, Secretary of the Ozarks Transportation Organization, do hereby certify that the foregoing resolution was duly passed and adopted at the regular meeting thereof assembled this ____ day of _________________, 20___, by the following vote:

AYES:

NAYS

ABSENT:

____

Steve Childers

Secretary of the Ozarks Transportation Organization Board of Directors

Employer: ______Ozarks Transportation Organization_______

Program Coordinator: ____Sara Fields_____________________

State: _________Missouri_______________________________

Plan Number: ________300691___________________________

457,Custom

ICMA RC
BUILDING PUBLIC SECTOR RETIREMENT SECURITY

PARTICIPANTS PLAN SPONSORS CONSULTANTS PRODUCTS & SERVICES ABOUT US

457 Deferred Compensation Plans

A 457 deferred compensation plan allows you to save and invest money for retirement with tax benefits.

Contributions | Investments | Withdrawals | Survivor Benefits

Contributions are made to an account in your name for the exclusive benefit of you and your beneficiaries. The value of the account is based on the contributions made and the investment performance over time.

A 457 plan is designed to supplement your retirement income. While a pension and/or Social Security may go a long way, they are unlikely to be enough. Saving to your 457 plan can help you maintain your desired standard of living.

Learn more about your 457 program

Why your 457 plan matters

Who's in charge of your retirement? Get to know your 457 plan

Am I on track?

Get advice for your 457 plan

Learn more about Guided Pathways®

Contributions

Pre-tax contributions you make reduce your taxable income for the year. These contributions and all associated earnings are then not subject to tax until you withdraw them. You also may be able to make after-tax Roth contributions which allow for potentially *tax-free* earnings.

See Contribution limits for the current calendar year.

You can enroll online in your 457 plan. Depending on your plan rules, you may also be able to change your contribution amounts online. The following paper form is also available; contact your employer or your ICMA-RC representative to confirm you can use this:

- 457 Plan Contribution Form - to initiate contributions at time of enrollment, resume contributions if you previously enrolled, or to increase or decrease current contributions.

Investments

You control how your account is invested, choosing from options selected by your employer.

A typical plan includes a wide range of options, from more conservative stable value funds to more aggressive bond and stock funds. You may choose to build a diversified portfolio of various funds, select a simple yet diversified target-date or target-risk fund, or rely on specific investment advice through Guided Pathways.

- To review investment options for your plan, log in to your account.
- To learn more about investing for retirement, visit www.icmarc.org/invest.

Withdrawals

You can make withdrawals from your account when you leave employment. You have the ability to take payments as needed or request scheduled automatic payments. You maintain control over your investments and continue to benefit from tax deferral even after you leave your employer.

During employment, subject to your employer and IRS rules, you may also be able to make withdrawals after age 70½ or due to an unforeseeable emergency. A loan option may also be available.

Withdrawals are generally taxable but, unlike other retirement accounts, the 10% penalty tax does not apply to distributions prior to age 59½ (the penalty tax may apply to distributions of assets that were transferred to the 457 plan from other types of retirement accounts). For detailed tax information, view Special Tax Notice Regarding Plan Payments.

Have a plan for taking withdrawals from your account - both to manage the tax bill and to provide for your future needs. For guidance, view Turning Your 457 Plan Savings into Income and our Retirement Education Center website, or contact your ICMA-RC representative.

To request a withdrawal from your ICMA-RC account:

- Log in to your account to see if your employer allows online withdrawals.
- Or, complete and submit the forms in the 457 Plan Benefit Withdrawal Packet. To obtain a copy, contact Investor Services.

Survivor Benefits

You designate a beneficiary, or beneficiaries, to receive any remaining assets upon your death. Designating beneficiaries can help ensure your assets are paid per your wishes, avoid the potential costs and delays of probate, and allow non-spouse beneficiaries to receive additional tax benefits.

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Governmental 457 Plan and Trust
Optional Provisions Election Form

Employers should execute this form to make elections, or change prior elections, related to optional provisions contained in the ICMA Retirement Corporation *457 Governmental Deferred Compensation Plan and Trust* document. This form may also be used by plan sponsors utilizing an individually designed plan document.

Plan Number: 30 0691 Employer Plan Name: Ozarks Transportation Organization

I. PLAN DOCUMENT (If you are establishing a new plan, please skip this section.)

Our Plan currently uses:

✔ ICMA-RC's model plan document

An individually designed plan document

II. PLAN YEAR

The Plan Year will be (select one):

✔ January 1 – December 31 (***Default***); *or*

The 12 month period beginning ______________ _____

Month *Day*

III. ELIGIBILITY REQUIREMENTS

The following group or groups of Employees are eligible to participate in the Plan:

All Employees ***(Default)***

✔ All Full Time Employees

Salaried Employees

Non-union Employees

Management

Public Safety Employees

General Employees

✔ Other Employees (specify the group(s) of eligible employees):

Part-Time Permanent Employees

The group specified must correspond to a group of the same designation that is defined in the statutes, ordinances, rules, regulations, personnel manuals or other material in effect in the state or locality of the Employer.

IV. LOANS

Loans are allowed under the Plan.

Yes ✔ No *(Default)*

If you select "Yes" above, you must also complete and return the *Loan Guidelines Agreement* in the Loan Implementation Package for 457/401 Plan Sponsors.

V. DISTRIBUTIONS

a. Distributions while employed with the Employer (in-service distributions) at 70½ will be allowed.

✔ Yes *(Default)* No

b. In-service distributions of rollovers are allowed at any time.

✔ Yes No *(Default)*

c. Tax-free distributions for the payment of qualifying insurance premiums for eligible retired public safety officers are available under the Plan.

Yes ✔ No *(Default)*

d. Unforeseeable emergency withdrawals are permitted.

✔ Yes *(Default)* No

In applying the rules for unforeseeable emergency withdrawals, the determination of any unforeseen emergency shall include circumstances applying to a Primary Beneficiary.

✔ Yes *(Default)* No

VI. ROTH PROVISIONS

a. The Plan will offer Designated Roth Accounts as described in Article X.

✔ Yes No *(Default)*

[If No is selected, skip the remainder of this Section VI]

b. The Plan will allow In-Plan Roth Conversions as provided in Section 9.05.

✔ Yes *(Default)* No

c. Designated Roth Accounts will be available as a source for loans under the Plan.

Yes ✔ No or N/A *(Default)*

VII. AUTOMATIC ENROLLMENT

The Plan will offer automatic enrollment.

Yes ✔ No *(Default)*

If you select "Yes" above, further steps are required to implement this feature, including completing implementation forms. We will contact you.

VIII. DEFERRAL OF SICK PAY, VACATION AND BACK PAY (CHOOSE ANY/ALL THAT APPLY)

Participants may elect to defer

Accumulated Sick Pay

Accumulated Vacation Pay

Back Pay

Note: If no election is made, a Participant will not be able to defer any of these.

The Participant's election to defer accumulated sick pay, accumulated vacation pay, or back pay must be made before the beginning of the month in which these amounts would otherwise be paid or made available to the employee.

IX. EMPLOYER MATCH

Employer will match Elective Deferrals and Default Elective Deferrals ("Deferrals"), beginning with the first payroll period occurring 91 days after a Participant's first Deferral.

✔ Yes No *(Default)*

[If No is selected, skip the remainder of this Section IX. IF YES, COMPLETE ALL THAT APPLY]

Employer Percentage Match of Deferrals

The Employer shall contribute on behalf of each Participant an amount determined as follows (subject to the limitations of Article V of the Plan):

_________% of the Deferrals made on behalf of the Participant for the Plan Year (not including Deferrals exceeding _______% of Earnings or $ _________________);

PLUS __________% of the Deferrals made on behalf of the Participant for the Plan Year in excess of those included in the above paragraph (but not including Deferrals exceeding in the aggregate __________% of Earnings or $ __________________).

Employer matching contributions on behalf of a Participant for a Plan Year shall not exceed $ __________________ or _________% of Earnings, whichever is

(CHOOSE ONE) more less.

✔ **Employer Dollar Match of Deferrals**

The Employer shall contribute on behalf of each Participant an amount determined as follows (subject to the limitations of Article V of the Plan):

$ __________________for each ________ % of Earnings or $ 10.00 that the Employer contributes on behalf of the Participant as Deferrals for the Plan

Year (not including Deferrals exceeding _______% of Earnings or $_10__________);

PLUS $__________________for each _____________% of Earnings or $_that the Employer contributes on behalf of the Participant as Deferrals for the Plan Year in excess of those included in the above paragraph (but not including Deferrals exceeding in the aggregate % of Earnings or $________________).

Employer matching contributions on behalf of a Participant for a Plan Year shall not exceed $_270________________or __________% of Earnings, whichever is

(CHOOSE ONE) | more less.

X. MILITARY SERVICE ELECTIONS

a. Plan contributions shall be made under the plan for differential wage payments (i.e. payments made by the employer to an individual performing military service that represents all or a portion of the wages he/she would have received).

Yes *(Default)* ✔ No

If yes is selected, this is effective beginning January 1, 2009 (or if later, the effective date of the Plan), unless another effective date is filled in here: ________________________

b. A participant shall be deemed to have a severance from employment for purposes of eligibility for a distribution during any period of military service for more than 30 days.

Yes ✔ No *(Default)*

c. A participant who dies or becomes Disabled (as defined in the Plan) while performing qualified military service shall receive Plan contributions as if the individual had resumed employment on the day preceding death or disability and then terminated employment on the actual date of death or disability.

Yes ✔ No *(Default)*

If yes is selected, this is effective for participants who died or became disabled while performing military service on or after January 1, 2007 (or if later, the effective date of the Plan), unless another effective date is filled in here:
______________________ (date cannot be prior to January 1, 2007)

XI. SPOUSAL CONSENT (APPLIES ONLY TO COMMUNITY PROPERTY STATES).
If your state is not a community property state, skip the remainder of section xi.

Where spousal consent is required, it will apply to:

✔ Only to persons who are married *(Default)*

A person who is married, who is a domestic partner under state law, or who is a person in a civil union or other formally recognized personal partnership

A person who is married or who is a domestic partner under state law

A person who is married or is a person in a civil union or other formally recognized personal partnership

Note: This election applies only for Plans in community property states requiring the consent of a spouse to name someone other than the spouse as a beneficiary, and only for determining who is treated as a "spouse" for this purpose and not for any other Plan purposes.

XII. SUMMARY OF CHANGES. If you are making changes to an existing plan, please summarize the changes along with the effective dates of the changes below and identify the applicable Optional Provisions Election Form section number. If you are establishing a new plan, please skip this section.

a. ____ Effective Date:

b. ____ Effective Date:

c. ____ Effective Date:

d. ____ Effective Date:

XIII. EMPLOYER SIGNATURE

By signing, the employer confirms he or she is authorized to make the elections specified on this form.

Employer hereby appoints ICMA-RC as the non-discretionary Plan Administrator in accordance with the terms and conditions of the ICMA Retirement Corporation 457 Governmental Deferred Compensation Plan and Trust.

Employer hereby attests that it is a unit of state or local government or an agency or instrumentality of one or more units of state or local government.

Employer acknowledges that applicable state law may or may not allow for the addition of an Automatic Enrollment Feature in their 457(b) plan administered by ICMA-RC, and Employer assumes full responsibility for the decision to add such a feature to their plan.

Employer Signature: ____

Date (mm/dd/yyyy) ____/____/________

Name (Please Print): Sara Fields

Title: Executive Director

Preferred Phone Number: (417) 8653042

Email Address: sfields@ozarkstransportation.org

Plan Number: 300691

457 GOVERNMENTAL DEFERRED COMPENSATION PLAN AND TRUST

BUILDING PUBLIC SECTOR RETIREMENT SECURITY

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457 GOVERNMENTAL DEFERRED COMPENSATION PLAN AND TRUST

As Amended and Restated

Article I. Purpose

The Employer identified in Article 2.09 hereby establishes and maintains the Employer's Deferred Compensation Plan and Trust, hereafter referred to as the "Plan." The Employer is a State, political subdivision of a State, or an agency or instrumentality of a State or political subdivision, as described in Section 457(e)(1)(A) of the Internal Revenue Code ("the Code").

The primary purpose of this Plan is to provide retirement income and other deferred benefits to the Employees of the Employer and the Employees' Beneficiaries in accordance with the provisions of Section 457 of the Code.

The Employer has determined that the establishment of a deferred compensation plan for the Employees of the Employer serves the interests of the Employer by enabling it to provide reasonable retirement security for its employees, by providing increased flexibility in its personnel management system, and by assisting in the attraction and retention of competent personnel.

This Plan shall be an agreement solely between the Employer and participating Employees. The Plan and Trust forming a part hereof are established and shall be maintained for the exclusive benefit of Participants and their Beneficiaries. No part of the corpus or income of the Trust shall revert to the Employer or be used for or diverted to purposes other than the exclusive benefit of Participants and their Beneficiaries.

The Employer adopts the Group Trust created by the Declaration of Trust of VantageTrust Company.

Article II. Definitions

2.01 **Account.** The bookkeeping account maintained for each Participant reflecting the cumulative amount of the Participant's Deferred Compensation, including any income, gains, losses, or increases or decreases in market value attributable to the Employer's investment of the Participant's Deferred Compensation, and further reflecting any distributions to the Participant or the Participant's Beneficiary and any fees or expenses charged against such Participant's Deferred Compensation.

2.02 **Accounting Date.** For valuing the Trust's assets, as provided in Section 6.06, each business day that the New York Stock Exchange is open for trading.

2.03 **Administrator.** The person or persons named in writing to carry out certain nondiscretionary administrative functions under the Plan, as hereinafter described. The Employer may remove any person as Administrator upon seventy-five (75) days' advance notice in writing to such person, in which case the Employer shall name another person or persons to act as Administrator. The Administrator may resign upon seventy-five (75) days' advance notice in writing to the Employer, in which case the Employer shall name another person or persons to act as Administrator. Unless otherwise provided in the Plan, the Administrator shall act at the direction of the Employer and shall be fully protected in acting on such direction. The Employer may enter into a separate agreement with the Administrator detailing features of the Plan and any elections as to the administration of the Plan.

2.04 **Automatic Distribution Date.** April 1 of the calendar year after the year the Participant attains age 70½ or, if later, has a Severance Event.

2.05 **Beneficiary.** The person or persons named by the Participant in his or her Joinder Agreement who shall receive any benefits payable hereunder in the event of the Participant's death. In the event that the Participant names two or more Beneficiaries, each Beneficiary shall be entitled to equal shares of the benefits payable at the Participant's death, unless otherwise provided in the Participant's Joinder Agreement. If no Beneficiary is named in the Joinder Agreement, if the named Beneficiary predeceases the Participant, or if the named Beneficiary does not survive the Participant for a period of fifteen (15) days, then the estate of the Participant shall be the Beneficiary. If a married Participant resides in a community property state, the Participant shall be responsible for obtaining appropriate consent of his or her spouse in the event the Participant names someone other than his or her spouse as Beneficiary; provided, however that solely for purposes of this sentence, the term "spouse" shall have the meaning determined by the Employer.

For purposes of Section 7.09(c), relating to unforeseeable emergency withdrawals, the term Primary Beneficiary means an individual who is named as a Beneficiary under the Plan and who would have an unconditional right to all or a portion of the Participant's account balance under the Plan upon the death of the Participant (or Beneficiary who has inherited an account balance).

2.06 **Deferred Compensation.** The amount of Includible Compensation otherwise payable to the Participant that the Participant and the Employer mutually agree to defer hereunder (including pursuant to automatic enrollment in Section 4.03), any amount credited to a Participant's Account by reason of a transfer under Section 6.09 or 6.10, a rollover under Section 6.11, or any other amount the Employer agrees to credit to a Participant's Account.

2.07 **Dollar Limitation.** The applicable dollar amount within the meaning of Section 457(b)(2)(A) of the Code, as adjusted for the cost-of-living in accordance with Section 457(e)(15) of the Code.

2.08 **Employee.** Any individual who provides services for the Employer, whether as an employee of the Employer, as defined by state law, or as an independent contractor, and who has been designated by the Employer as eligible to participate in the Plan.

2.09 **Employer.** __________________________ which is a State, political subdivision of a State, or agency or instrumentality of a State, as described in Section 457(e)(1)(A) of the Code.

2.10 **457 Catch-Up Dollar Limitation.** Twice the Dollar Limitation.

2.11 **Includible Compensation.** Includible Compensation of a Participant means "compensation," as defined in Section 415(c)(3) of the Code, for services performed for the Employer. Includible Compensation shall be determined without regard to any community property laws. For purposes of a Participant's Joinder Agreement only and not for purposes of the limitations in Article V, Includible Compensation shall include pre-tax contributions (excluding direct employer contributions) to an integral part trust of the employer providing retiree health care benefits.

2.12 **Joinder Agreement.** An agreement entered into between an Employee and the Employer, including any amendments or modifications thereof, that fixes the amount of Deferred Compensation, specifies a preference among the investment alternatives designated by the Employer, names the Employee's Beneficiary or Beneficiaries, and incorporates the terms, conditions, and provisions of the Plan by reference. A Joinder Agreement includes amounts that an Employer agrees to credit to the Employee's account as "employer contributions."

2.13 **Normal Limitation.** The maximum amount of Deferred Compensation for any Participant for any taxable year (other than amounts referred to in Sections 6.09, 6.10, and 6.11).

2.14 **Normal Retirement Age.** Age 70½, unless the Participant has elected an alternate Normal Retirement Age by written instrument delivered to the Administrator prior to a Severance Event. A Participant's Normal Retirement Age determines the period during which a Participant may utilize the additional catch-up dollar limitation of Section 5.02(b) hereunder and determines the right to receive certain tax free distributions described in Section 7.14. Once a Participant has to any extent utilized the catch-up limitation of Section 5.02(b), his Normal Retirement Age may not be changed.

A Participant's alternate Normal Retirement Age may not be earlier than the earliest date that the Participant will become eligible to retire and receive immediate, unreduced retirement benefits under the Employer's basic defined benefit retirement plan covering the Participant (or a money purchase pension plan of the Employer in which the Participant also participates if the Participant is not eligible to participate in a defined benefit plan of the Employer), and may not be later than the date the Participant will attain age 70½. If the Participant will not become eligible to receive benefits under a basic defined benefit retirement plan (or money purchase pension plan, if applicable) maintained by the Employer, the Participant's alternate Normal Retirement Age may not be earlier than 65 and may not be later than age 70½ (except as provided in the next paragraph). Solely for purposes of the prior two sentences, a plan of the Employer includes a plan maintained by the state (or a political subdivision or agency or instrumentality of the state) in which the Employer is located. In no event may a Participant's normal retirement age be different than the normal retirement age under the Employer's other 457(b) plans, if any.

In the event the Plan has Participants that include qualified police or firefighters (as defined under Section 415(b)(2)(H)(ii)(I) of the Code), a normal retirement age may be designated for such qualified police or firefighters that is not earlier than age 40 or later than age 70½. Alternatively, qualified police or firefighters may be permitted to designate a normal retirement age that is between age 40 and age 70½.

2.15 **Participant.** Any Employee who has joined the Plan pursuant to the requirements of Article IV. Unless the context requires otherwise, the term Participant includes an Employee or former Employee of the Employer who has not yet received all of the payments of benefits to which he/she is entitled under the Plan.

2.16 **Percentage Limitation.** 100 percent of the Participant's Includible Compensation available to be contributed as Deferred Compensation for the taxable year.

2.17 **Plan Year.** The calendar year, unless otherwise elected by the Employer.

2.18 **Severance Event.** A severance of the Participant's employment with the Employer within the meaning of Section 457(d)(1)(A)(ii) of the Code.

In general, a Participant shall be deemed to have experienced a Severance Event for purposes of this Plan when, in accordance with the established practices of the Employer, the employment relationship is considered to have actually terminated. If the Plan does not allow participation by independent contractors of the Employer, a Participant shall also be deemed to have experienced a Severance Event for purposes of the Plan when, in accordance with the established practices of the Employer, the Participant ceases to be an employee and becomes an independent contractor. If the Plan allows participation by independent contractors of the

Employer, then in the case of a Participant who is an independent contractor of the Employer, a Severance Event shall be deemed to have occurred when the Participant's contract under which services are performed has completely expired and terminated, there is no foreseeable possibility that the Employer will renew the contract or enter into a new contract for the Participant's services, and it is not anticipated that the Participant will become an Employee of the Employer, or such other events as may be permitted under the Code.

2.19 **Trust.** The Trust created under Article VI of the Plan which shall consist of all compensation deferred under the Plan, plus any income and gains thereon, less any losses, expenses and distributions to Participants and Beneficiaries.

Article III. Administration

3.01 **Duties of the Employer.** The Employer shall have the authority to make all discretionary decisions affecting the rights or benefits of Participants that may be required in the administration of this Plan. The Employer's decisions shall be afforded the maximum deference permitted by applicable law.

3.02 **Duties of Administrator.** The Administrator, as agent for the Employer and subject to oversight by the Employer, shall perform nondiscretionary administrative functions in connection with the Plan, including the maintenance of Participants' Accounts, the provision of periodic reports of the status of each Account, and the disbursement of benefits on behalf of the Employer in accordance with the provisions of this Plan.

Article IV. Participation in the Plan

4.01 **Initial Participation.** An Employee that the Employer elects to be eligible for the Plan may become a Participant by entering into a Joinder Agreement (or by being treated as entering into a Joinder Agreement pursuant to Section 4.03) prior to the beginning of the calendar month in which the Joinder Agreement is to become effective to defer compensation not yet paid or made available, or such other date as may be permitted under the Code. A new employee may defer compensation in the calendar month during which he or she first becomes an employee if a Joinder Agreement is entered into on or before the first day on which the employee performs services for the Employer.

4.02 **Amendment of Joinder Agreement.** A Participant may amend an executed Joinder Agreement to change the amount of Includible Compensation not yet paid or made available that is to be deferred (including the reduction of such future deferrals to zero). Such amendment shall become effective as of the beginning of the calendar month commencing after the date the amendment is executed, or such other date as may be permitted under the Code. A Participant may at any time amend his or her Joinder Agreement to change the Beneficiary or specify investments, and such amendment shall become effective immediately.

4.03 **Automatic Enrollment.**

(a) *If elected by the Employer, the Plan will provide for automatic enrollment.* In this case, an Employee will become a Participant, shall be treated as entering into a Joinder Agreement, and shall have compensation deferred, at the amount equal to the percentage of compensation specified by the Employer, unless the Employee affirmatively elects a different amount (or elects not to enter into a Joinder Agreement) within the initial "opt-out" period specified by the Employer. The "opt-out" period shall be no less than thirty (30) days and no more than ninety (90) days. The Participant will be treated as having entered into a Joinder Agreement at the end of such opt-out period and Default Elective Deferrals shall begin on the first pay period of the following calendar month. Unless otherwise elected by the Employer, these automatic enrollment provisions will also apply when an Employee is rehired. An Employee who becomes a Participant pursuant to this Section 4.03 may amend the Joinder Agreement as provided in Section 4.02.

(b) **Definitions.** *The following definitions shall apply for this Section 4.03:*

(1) **Eligible Automatic Contribution Arrangement ("EACA").** An automatic contribution arrangement that satisfies the uniformity and notice requirements of this Section 4.03.

(2) **Automatic Contribution Arrangement.** An arrangement under which, in the absence of an affirmative election by a Covered Employee, a specified percentage of compensation will be withheld from the Covered Employee's pay and contributed to the Plan as Deferred Compensation.

(3) **Covered Employee.** A Participant identified by the Employer as being covered under the EACA. An independent contractor cannot be a Covered Employee.

(4) **Default Elective Deferrals.** The Deferred Compensation contributed to the Plan under the EACA on behalf of Covered Employees who do not have an affirmative election in effect regarding Deferred Compensation.

(5) **Default Rate.** The percentage of a Covered Employee's compensation contributed to the Plan as a Default Elective Deferral, per pay period, for a given Plan Year. The Default Rate is specified by the Employer.

(c) **Rules of Application**

(1) Default Elective Deferrals will be made on behalf of Covered Employees who do not have an affirmative election in effect regarding Deferred Compensation. The amount of Default Elective Deferrals made for a Covered Employee each pay period is equal to the Default Rate multiplied by the Covered Employee's compensation for that pay period. If the Employer elects, a Covered Employee's Default Elective Deferrals will increase each Plan Year by a designated percentage, per pay period, beginning with the second Plan Year that begins after the Default Rate first applies to the Covered Employee. The increase will be effective beginning with the first pay period that begins in such Plan Year.

(2) A Covered Employee will have a reasonable opportunity after receipt of the notice described in Section 4.03(e) to make an affirmative election regarding Deferred Compensation (either to have no Deferred Compensation contributed or to have a different amount of Deferred Compensation contributed) before Default Elective Deferrals are made on the Covered Employee's behalf. Default Elective Deferrals being made on behalf of a Covered Employee will cease as soon as administratively feasible after the Covered Employee makes an affirmative election. An affirmative election to have no Deferred Compensation contributed, made no later than ninety (90) days after Default Elective Deferrals are first withheld from a Covered Employee's pay, shall be deemed a request for distribution of the Covered Employee's Default Elective Deferrals under Section 4.03(f) of the Plan, unless the Covered Employee affirmatively elects otherwise.

(d) **Uniformity Requirement**

(1) Except as provided in (2), below, if the Employer has elected to have Covered Employees' Default

Elective Deferrals increase each Plan Year by a designated percentage, the same percentage of compensation will be withheld as a Default Elective Deferral from all Covered Employees subject to the Default Rate.

(2) Default Elective Deferrals will be reduced or stopped to meet the limitations under Section 457(b) of the Code, and to satisfy any suspension period required after a hardship distribution from another plan maintained by the Employer.

(e) **Notice Requirement**

(1) At least thirty (30) days, but not more than ninety (90) days, before the beginning of the Plan Year, the Employer will provide each Covered Employee a comprehensive notice of the Covered Employee's rights and obligations under the EACA, written in a manner calculated to be understood by the average Covered Employee. If an employee becomes a Covered Employee after the 90th day before the beginning of the Plan Year and does not receive the notice for that reason, the notice will be provided no more than ninety (90) days before the employee becomes a Covered Employee but no later than the date the employee becomes a Covered Employee.

(2) The notice must accurately describe:

(i) the amount of Default Elective Deferrals that will be made on the Covered Employee's behalf in the absence of an affirmative election;

(ii) the Covered Employee's right to elect to have no Deferred Compensation deferred on his or her behalf or to have a different amount of Deferred Compensation deferred;

(iii) how Default Elective Deferrals will be invested in the absence of the Covered Employee's investment instructions; and

(iv) the Covered Employee's right to make a withdrawal of Default Elective Deferrals and procedures for making such a withdrawal.

(f) **Withdrawal of Default Elective Deferrals**

(1) No later than ninety (90) days after Default Elective Deferrals are first withheld from a Covered Employee's pay, the Covered Employee may request a distribution of his or her Default Elective Deferrals. No spousal consent is required for withdrawal under this provision.

(2) The amount distributed from the Plan upon the Covered Employee's request is equal to the amount of Default Elective Deferrals made through the earlier of (a) the pay date for the second payroll period that begins after the Covered Employee's withdrawal request and (b) the first pay date that occurs after thirty (30) days following the Covered Employee's request, plus attributable earnings through the date of distribution. Any fee charged to the Covered Employee for the withdrawal may not be greater than any other fee charged for a cash distribution.

(3) Unless the Covered Employee affirmatively elects otherwise, any withdrawal request will be treated as an affirmative election to stop having Deferred Compensation deferred on the Covered Employee's behalf as of the date specified in Section 4.03(f)(2) above.

(4) Default Elective Deferrals distributed pursuant to this Section 4.03(f) are not counted towards the dollar limitation on Deferred Compensation contained in Section 457(b) of the Code. Matching contributions that might otherwise be allocated to a Covered Employee's account on behalf of Default Elective Deferrals will not be allocated to the extent the Covered Employee withdraws such Deferred Compensation pursuant to this Section 4.03(f) and any matching contributions already made on account of Default Elective Deferrals that are later withdrawn pursuant to this Section 4.03(f) will be forfeited.

4.04 Vesting of Employer Contributions. If a Participant's Joinder Agreement provides for the Employer to credit Deferred Compensation to a Participant's Account in the form of "employer contributions," such credits shall be immediately vested, except as provided in Section 4.03(f)(4).

Article V. Limitations on Deferrals

5.01 Normal Limitation. Except as provided in Section 5.02, the maximum amount of Deferred Compensation for any Participant for any taxable year, shall not exceed the lesser of the Dollar Limitation or the Percentage Limitation.

5.02 Catch-Up Limitations.

(a) Catch-up Contributions for Participants Age 50 and Over: A Participant who has attained the age of 50 before the close of the taxable year, and with respect to whom no other elective deferrals may be made to the Plan for the Plan Year by reason of the Normal Limitation of Section 5.01, may enter into a Joinder Agreement to make elective deferrals in addition to those permitted by the Normal Limitation in an amount not to exceed the lesser of:

(1) The applicable dollar amount as defined in Section 414(v)(2)(B) of the Code, as adjusted for the cost-of-living in accordance with Section 414(v)(2)(C) of the Code; or

(2) The excess (if any) of:

(i) The Participant's Includible Compensation for the year, or

(ii) Any other elective deferrals of the Participant for such year which are made without regard to this Section 5.02(a).

An additional contribution made pursuant to this Section 5.02(a) shall not, with respect to the year in which the contribution is made, be subject to any otherwise applicable limitation contained in Section 5.01 above, or be taken into account in applying such limitation to other contributions or benefits under the Plan or any other plan. This Section 5.02(a) shall not apply in any year to which a higher limit under Section 5.02(b) applies.

(b) Last Three Years Catch-up Contribution: For each of the last three (3) taxable years for a Participant ending the year before the year he or she attains (or will attain) Normal Retirement Age, the maximum amount of Deferred Compensation shall be the lesser of:

(1) The 457 Catch-Up Dollar Limitation, or

(2) The sum of

(i) The Normal Limitation for the taxable year, and
(ii) The Normal Limitation for each prior taxable year of the Participant commencing after 1978 less the amount of the Participant's Deferred Compensation for such prior taxable years. A prior taxable year shall be taken into account under the preceding sentence only if (x) the Participant was eligible to participate in the Plan for such year, and (y) compensation (if any) deferred under the Plan (or such other plan) was subject to the Normal Limitation.

Should the maximum Deferred Compensation under this Section 5.02(b) be lower in any of the three (3) years than the maximum Deferred Compensation under Section 5.02(a), the Participant may instead defer amounts under 5.02(a) if otherwise permitted and no further deferrals under Section 5.02(b) will be permitted.

5.03 **Sick, Vacation and Back Pay.** If the Employer so elects, a Participant may defer all or a portion of the value of the Participant's accumulated sick pay, accumulated vacation pay and/or back pay, provided that such deferral does not cause total deferrals on behalf of the Participant to exceed the Dollar Limitation or Percentage Limitation (including any catch-up dollar limitation) for the year of deferral. The election to defer such sick, vacation and/or back pay must be made in a manner and at a time permitted under Section 1.457-4(d) of the Income Tax Regulations.

For Plan Years beginning before January 1, 2009, pursuant to proposed IRS regulations issued under Section 415 of the Code, the Plan may permit deferrals from compensation, including sick, vacation and back pay, so long as the amounts are paid within 2½ months following severance from employment and the other requirements of Sections 457(b) and 415 of the Code are met. For Plan Years beginning on or after January 1, 2009, pursuant to final IRS regulations issued under Section 415 of the Code, the Plan may permit deferrals from compensation, including sick, vacation and back pay, so long as the amounts are paid by the later of: (i) 2½ months following severance from employment, and (ii) the end of the calendar year that includes the date of such severance from employment, and the other requirements of Sections 457(b) and 415 of the Code are met. Additionally, the agreement to defer such amounts must be entered into prior to the first day of the month in which the amounts otherwise would be paid or made available.

5.04 **Other Plans.** Notwithstanding any provision of the Plan to the contrary, the amount excludible from a Participant's gross income under this Plan or any other eligible deferred compensation plan under Section 457(b) of the Code shall not exceed the limits set forth in Sections 457(b) and 414(v) of the Code.

5.05 **Excess Deferrals.** Any amount that exceeds the maximum Dollar Limitation or Percentage Limitation (including any applicable catch-up dollar limitation) for a taxable year, shall constitute an excess deferral for that taxable year. Any excess deferral shall be distributed to the Participant in accordance with the requirements for excess deferrals under the Code and Section 1.457-4(e) of the Income Tax Regulations or other applicable Internal Revenue Service guidance.

5.06 **Protection of Person Who Serves in a Uniformed Service.** An Employee whose employment is interrupted by qualified military service under Section 414(u) of the Code or who is on leave of absence for qualified military service under Section 414(u) of the Code may elect to contribute additional Deferred Compensation upon resumption of employment with the Employer equal to the maximum Deferred Compensation that the Employee could have elected during that period if the Employee's employment with the Employer had continued (at the same level of Includible Compensation) without the interruption or leave, reduced by Deferred Compensation, if any, actually made for the Employee during the period of the interruption or leave. This right applies for five (5) years following the resumption of employment (or, if sooner, for a period equal to three (3) times the period of the interruption or leave).

5.07 Benefit Accruals with Respect to Qualified Military Service. Notwithstanding any provision of the Plan to the contrary, if the Employer so elects, Participants who die or become Disabled while performing qualified military service (as defined in Code Section 414(u)) with respect to the Employer shall receive Plan contributions as permitted under Code Section 414(u)(9).

5.08 Benefit Accruals with Respect to Differential Wage Payments. Unless otherwise elected by the Employer, Plan contributions shall be made based on differential wage payments (as such term is defined in Section 3401(h)(2) of the Code).

Article VI. Trust and Investment of Accounts

6.01 Investment of Deferred Compensation. A Trust described in Section 457(g) of the Code is hereby created to hold all the assets of the Plan for the exclusive benefit of Participants and Beneficiaries, except that expenses and taxes may be paid from the Trust as provided in Section 6.03. The trustee shall be the Employer or such other person that agrees with the consent of the Employer to act in that capacity hereunder.

6.02 Investment Powers. The trustee shall have the powers listed in this Section with respect to investment of Trust assets, except to the extent that the investment of Trust assets is directed by Participants, pursuant to Section 6.05 or to the extent that such powers are restricted by applicable law.

(a) To invest and reinvest the Trust without distinction between principal and income in common or preferred stocks, shares of regulated investment companies and other mutual funds, bonds, loans, notes, debentures, certificates of deposit, contracts with insurance companies including but not limited to insurance, individual or group annuity, deposit administration, guaranteed interest contracts, and deposits at reasonable rates of interest at banking institutions including but not limited to savings accounts and certificates of deposit. Assets of the Trust may be invested in securities that involve a higher degree of risk than investments that have demonstrated their investment performance over an extended period of time.

(b) To invest and reinvest all or any part of the assets of the Trust in any common, collective or commingled trust fund that is maintained by a bank or other institution and that is available to Employee plans described under Sections 457 or 401 of the Code, or any successor provisions thereto, and during the period of time that an investment through any such medium shall exist, to the extent of participation of the Plans, the declaration of trust of such commonly collective, or commingled, trust fund shall constitute a part of this Plan.

(c) To invest and reinvest all or any part of the assets of the Trust in any group annuity, deposit administration or guaranteed interest contract issued by an insurance company or other financial institution on a commingled or collective basis with the assets of any other 457 plan or trust qualified under Section 401(a) of the Code or any other plan described in Section 401(a)(24) of the Code, and such contract may be held or issued in the name of the Administrator, or such custodian as the Administrator may appoint, as agent and nominee for the Employer. During the period that an investment through any such contract shall exist, to the extent of participation of the Plan, the terms and conditions of such contract shall constitute a part of the Plan.

(d) To hold cash awaiting investment and to keep such portion of the Trust in cash or cash balances, without liability for interest, in such amounts as may from time to time be deemed to be reasonable and necessary to meet obligations under the Plan or otherwise to be in the best interests of the Plan.

(e) To hold, to authorize the holding of, and to register any investment to the Trust in the name of the Plan, the Employer, or any nominee or agent of any of the foregoing, including the Administrator, or in bearer form, to deposit or arrange for the deposit of securities in a qualified central depository even though, when so deposited, such securities may be merged and held in bulk in the name of the nominee of such depository with other securities deposited therein by any other person, and to organize corporations or trusts under the laws of any jurisdiction for the purpose of acquiring or holding title to any property for the Trust, all with or without the addition of words or other action to indicate that property is held in a fiduciary or representative capacity but the books and records of the Plan shall at all times show that all such investments are part of the Trust.

(f) Upon such terms as may be deemed advisable by the Employer or the Administrator, as the case may be, for the protection of the interests of the Plan or for the preservation of the value of an investment, to exercise and enforce by suit for legal or equitable remedies or by other action, or to waive any right or claim on behalf of the Plan or any default in any obligation owing to the Plan, to renew, extend the time for payment of, agree to a reduction in the rate of interest on, or agree to any other modification or change in the terms of any obligation owing to the Plan, to settle, compromise, adjust, or submit to arbitration any claim or right in favor of or against the Plans to exercise and enforce any and all rights of foreclosure, bid for property in foreclosure, and take a deed in lieu of foreclosure with or without paying consideration therefor, to commence or defend suits or other legal proceedings whenever any interest of the Plan requires it, and to represent the Plan in all suits or legal proceedings in any court of law or equity or before any body or tribunal.

(g) To employ suitable consultants, depositories, agents, and legal counsel on behalf of the Plan.

(h) To open and maintain any bank account or accounts in the name of the Plan, the Employer, or any nominee or agent of the foregoing, including the Administrator, in any bank or banks.

(i) To do any and all other acts that may be deemed necessary to carry out any of the powers set forth herein.

The trustee may authorize the Administrator to exercise these powers as an agent for the trustee, subject to the oversight of the trustee.

6.03 Taxes and Expenses. All taxes of any and all kinds whatsoever that may be levied or assessed under existing or future laws upon the Plan, or in respect to the Trust, or the income thereof, and all commissions or acquisitions or dispositions of securities and similar expenses of investment and reinvestment of the Trust, shall be paid from the Trust. Such reasonable compensation of the Administrator, as may be agreed upon from time to time by the Employer and the Administrator, and reimbursement for reasonable expenses incurred by the Administrator in performance of its duties hereunder (including but not limited to fees for legal, accounting, investment and custodial services) shall also be paid from the Trust.

6.04 Payment of Benefits. The payment of benefits from the Trust in accordance with the terms of the Plan may be made by the Administrator, or by any custodian or other person so authorized by the Employer to make such disbursement. The Administrator, custodian or other person shall not be liable with respect to any distribution of Trust assets made at the direction of the Employer.

6.05 Investment Funds. In accordance with uniform and nondiscriminatory rules established by the Employer and the Administrator, the Participant may direct his or her Accounts to be invested in one (1) or more investment funds available under the Plan (including a fund or investment that consists of or is available through an open brokerage window); provided, however, that the Participant's investment directions shall not violate any investment restrictions established by the Employer. Neither the Employer, the Administrator, nor any other person shall be liable for any losses incurred by virtue of following such directions or with any reasonable administrative delay in implementing such directions.

6.06 Valuation of Accounts. As of each Accounting Date, the Plan assets held in each investment fund offered shall be valued at fair market value and the investment income and gains or losses for each fund shall be determined. Such investment income and gains or losses shall be allocated proportionately among all Account balances on a fund-by-fund basis. The allocation shall be in the proportion that each such Account balance as of the immediately preceding Accounting Date bears to the total of all such Account balances as of that Accounting Date. For purposes of this Article, all Account balances include the Account balances of all Participants and Beneficiaries.

6.07 Participant Loan Accounts. Participant loan accounts shall be invested in accordance with Section 8.03 of the Plan. Such Accounts shall not share in any investment income and gains or losses of the investment funds described in Sections 6.05 and 6.06.

6.08 Crediting of Accounts. The Participant's Account shall reflect the amount and value of the investments or other property obtained by the Employer through the investment of the Participant's Deferred Compensation pursuant to Sections 6.05 and 6.06. It is anticipated that the Employer's investments with respect to a Participant will conform to the investment preference specified in the Participant's Joinder Agreement, but nothing herein shall be construed to require the Employer to make any particular investment of a Participant's Deferred Compensation. Each Participant shall receive periodic reports, not less frequently than annually, showing the then current value of his or her Account.

6.09 Post-Severance Transfers Among Eligible Deferred Compensation Plans.

(a) *Incoming Transfers:* A transfer may be accepted from an eligible deferred compensation plan maintained by another employer and credited to a Participant's or Beneficiary's Account under the Plan if:

(1) In the case of a transfer for a Participant, the Participant has had a Severance Event with that employer and become an Employee of the Employer;

(2) The other employer's plan provides that such transfer will be made; and

(3) The Participant or Beneficiary whose deferred amounts are being transferred will have an amount immediately after the transfer at least equal to the deferred amount immediately before the transfer.

The Employer may require such documentation from the predecessor plan as it deems necessary to effectuate the transfer in accordance with Section 457(e)(10) of the Code, to confirm that such plan is an eligible deferred compensation plan within the meaning of Section 457(b) of the Code, and to assure that transfers are provided for under such plan. The Employer may refuse to accept a transfer in the form of assets other than cash, unless the Employer and the Administrator agree to hold such other assets under the Plan.

(b) *Outgoing Transfers:* An amount may be transferred to an eligible deferred compensation plan maintained by another employer, and charged to a Participant's or Beneficiary's Account under this Plan, if:

(1) In the case of a transfer for a Participant, the Participant has a Severance Event with the Employer and becomes an employee of the other employer;

(2) The other employer's plan provides that such transfer will be accepted;

(3) The Participant or Beneficiary and the employers have signed such agreements as are necessary to assure that the Employer's liability to pay benefits to the Participant has been discharged and assumed by the other employer; and

(4) The Participant or Beneficiary whose deferred amounts are being transferred will have an amount immediately after the transfer at least equal to the deferred amount immediately before the transfer.

The Employer may require such documentation from the other plan as it deems necessary to effectuate the transfer, to confirm that such plan is an eligible deferred compensation plan within the meaning of Section 457(b) of the Code, and to assure that transfers are provided for under such plan. Such transfers shall be made only under such circumstances as are permitted under Section 457 of the Code and the regulations thereunder.

6.10 Transfers Among Eligible Deferred Compensation Plans of the Employer.

(a) *Incoming Transfers.* A transfer may be accepted from another eligible deferred compensation plan maintained by the Employer and credited to a Participant's or Beneficiary's Account under the Plan if:

(1) The Employer's other plan provides that such transfer will be made;

(2) The Participant or Beneficiary whose deferred amounts are being transferred will have an amount immediately after the transfer at least equal to the deferred amount immediately before the transfer; and

(3) The Participant or Beneficiary whose deferred amounts are being transferred is not eligible for additional annual deferrals in the Plan unless the Participant or Beneficiary is performing services for the Employer.

(b) *Outgoing Transfers.* An amount may be transferred to another eligible deferred compensation plan maintained by the Employer and credited to a Participant's or Beneficiary's Account under the Plan if:

(1) The Employer's other plan provides that such transfer will be accepted;

(2) The Participant or Beneficiary whose deferred amounts are being transferred will have an amount immediately after the transfer at least equal to the deferred amount immediately before the transfer; and

(3) The Participant or Beneficiary whose deferred amounts are being transferred is not eligible for additional annual deferrals in the Employer's other eligible deferred compensation plan unless the Participant or Beneficiary is performing services for the Employer.

6.11 Eligible Rollover Distributions.

(a) *Incoming Rollovers:* An eligible rollover distribution may be accepted from an eligible retirement plan and credited to a Participant's Account under the Plan. The Employer may require such documentation from the distributing plan as it deems necessary to effectuate the rollover in accordance with Section 402 of the Code and to confirm that such plan is an eligible retirement plan within the meaning of Section 402(c)(8)(B) of the Code. The Plan shall separately account (in one (1) or more separate accounts) for eligible rollover distributions from any eligible retirement plan.

(b) *Outgoing Rollovers:* Notwithstanding any provision of the Plan to the contrary that would otherwise limit a distributee's election under this Section, a distributee may elect, at the time and in the manner prescribed by the Administrator, to have any portion of an eligible rollover distribution paid directly to an eligible retirement plan specified by the distributee in a direct rollover.

(c) *Definitions:*

(1) Eligible Rollover Distribution: An eligible rollover distribution is any distribution of all or any portion of the balance to the credit of the distributee, except that an eligible rollover distribution does not include: any distribution that is one of a series of substantially equal periodic payments (not less frequently than annually) made for the life (or life expectancy) of the distributee or the joint lives (or joint life expectancies) of the distributee and the distributee's named beneficiary, or for a specified period of ten years or more; any distribution to the extent such distribution is required under Sections 401(a)(9) and 457(d)(2) of the Code; and any distribution made as a result of an unforeseeable emergency of the employee. Subject to Section 9.04 (related to rollovers of Roth amounts), for purposes of distributions from other eligible retirement plans rolled over into this Plan, the term eligible rollover distribution shall not include the portion of any distribution that is not includible in gross income (determined without regard to the exclusion for net unrealized appreciation with respect to employer securities), such as after-tax contributions.

(2) Eligible Retirement Plan: An eligible retirement plan is an individual retirement account described in Section 408(a) of the Code, an individual retirement annuity described in Section 408(b) of the Code, an annuity plan described in Sections 403(a) or 403(b) of the Code, a qualified trust described in Section 401(a) of the Code, or an eligible deferred compensation plan described in Section 457(b) of the Code which is maintained by an eligible governmental employer described in Section 457(e)(1)(A) of the Code, that accepts the distributee's eligible rollover distribution. Effective for distributions after December 31, 2007, a Participant may elect to have any portion of an Eligible Rollover Distribution paid directly to a Roth IRA described in Section 408A of the Code. Such a direct payment, as a qualified rollover distribution described in Section 408A(e)(1) of the Code, would be taxable to the Participant to the extent required by Section 408A(d)(3) of the Code.

(3) Distributee: A distributee includes an Employee or former Employee. In addition, the Employee's or former Employee's surviving spouse and the Employee's or former Employee's spouse or former spouse who is the alternate payee under a qualified domestic relations order, as defined in Section 414(p) of the Code, are distributees with regard to the interest of the spouse or former spouse. For distributions after December 31, 2006 (unless the Employer elected a different effective date in a prior plan document, a distributee includes the Employee's or former Employee's nonspouse designated Beneficiary, in which case, the distribution can only be transferred to a traditional or Roth IRA established on behalf of the nonspouse designated Beneficiary, in the Participant's name, for the purpose of receiving the distribution.

(4) Direct Rollover: A direct rollover is a payment by the plan to the eligible retirement plan specified by the distributee.

(d) *Rollover by a Non-Spouse Designated Beneficiary*

(1) Unless otherwise elected by the Employer, for distributions in Plan Years beginning after December 31, 2006 but on or before December 31, 2009, a non-spouse Beneficiary who qualifies as a

"designated beneficiary" under Code Section 401(a)(9)(E) may establish an individual retirement plan that will be treated as an inherited IRA pursuant to the provisions of Code Section 402(c)(11) into which all or a portion of a death benefit distribution from this Plan can be transferred directly. A trust maintained for the benefit of one (1) or more designated beneficiaries shall be treated in the same manner as a designated beneficiary.

(2) Notwithstanding subsection (1), for distributions in Plan Years beginning after December 31, 2009, a non-spouse Beneficiary who qualifies as a "designated beneficiary" under Code Section 401(a)(9)(E) may establish an individual retirement plan that will be treated as an inherited IRA pursuant to the provisions of Code Section 402(c)(11) into which all or a portion of a death benefit distribution from this Plan can be transferred directly. A trust maintained for the benefit of one (1) or more designated beneficiaries shall be treated in the same manner as a designated beneficiary.

(3) Notwithstanding anything herein to the contrary, a death benefit distribution shall not be eligible for transfer to an inherited IRA to the extent such distribution is a required minimum distribution under Code Section 401(a)(9).

(4) If the dates noted above are modified by the Employer's prior plan document, the December 31, 2009 dates in subsections (1) and (2), above, will be modified, as applicable, by the Employer's prior plan document.

6.12 **Trustee-to-Trustee Transfers to Purchase Permissive Service Credit.** All or a portion of a Participant's Account may be transferred directly to the trustee of a defined benefit governmental plan (as defined in Section 414(d) of the Code) if such transfer is (a) for the purchase of permissive service credit (as defined in Section 415(n)(3)(A) of the Code) under such plan, or (b) a repayment to which Section 415 of the Code does not apply by reason of subsection (k)(3) thereof, within the meaning of Section 457(e)(17) of the Code.

6.13 **Treatment of Distributions of Amounts Previously Rolled Over From 401(a) and 403(b) Plans and IRAs.** For purposes of Section 72(t) of the Code, a distribution from this Plan shall be treated as a distribution from a qualified retirement plan described in Section 4974(c)(1) of the Code to the extent that such distribution is attributable to an amount transferred to an eligible deferred compensation plan from a qualified retirement plan (as defined in Section 4974(c) of the Code).

6.14 **Employer Liability.** In no event shall the Employer's liability to pay benefits to a Participant under this Plan exceed the value of the amounts credited to the Participant's Account; neither the Employer nor the Administrator shall be liable for losses arising from depreciation or shrinkage in the value of any investments acquired under this Plan.

Article VII. Benefits

7.01 Retirement Benefits and Election on Severance Event.

(a) *General Rule:* Except as otherwise provided in this Article VII, the distribution of a Participant's Account shall commence as of a Participant's Automatic Distribution Date, and the distribution of such benefits shall be made in accordance with one of the payment options described in Section 7.02. Notwithstanding the foregoing, but subject to the following paragraphs of this Section 7.01, the Participant may elect following a Severance Event to have the distribution of benefits commence on a fixed determinable date other than that described in the preceding sentence, but not later than April l of the year following the year of the Participant's retirement or attainment of age 70½, whichever is later. The Participant's right to change his or her election with respect to commencement of the distribution of benefits shall not be restrained by this Section 7.01. Notwithstanding the foregoing, the Administrator, in order to ensure the orderly administration of this provision, may establish a deadline after which such election to defer the commencement of distribution of benefits shall not be allowed for those benefits administered by Administrator.

(b) *Loans:* Notwithstanding the foregoing provisions of this Section 7.01, no election to defer the commencement of benefits after a Severance Event shall operate to defer the distribution of any amount in the Participant's loan account in the event of a default of the Participant's loan.

7.02 **Payment Options.** As provided in Sections 7.01 and 7.04, a Participant may elect to have the value of the Participant's Account distributed in accordance with one of the following payment options, provided that such option is consistent with the limitations set forth in Section 7.03:

(a) Equal monthly, quarterly, semi-annual or annual payments in an amount chosen by the Participant, continuing until his or her Account is exhausted;

(b) One (1) lump-sum payment;

(c) Approximately equal monthly, quarterly, semi-annual or annual payments, calculated to continue for a period certain chosen by the Participant;

(d) Annual Payments equal to the minimum distributions required under Section 401(a)(9) of the Code, including the incidental death benefit requirements of Section 401(a)(9)(G), over the life expectancy of the Participant or over the life expectancies of the Participant and his or her Beneficiary;

(e) Payments equal to payments made by the issuer of a retirement annuity policy acquired by the Employer;

(f) A split distribution under which payments under options (a), (b), (c) or (e) commence or are made at the same time, as elected by the Participant under Section 7.01, provided that all payments commence (or are made) by the latest benefit commencement date permitted under Section 7.01;

(g) Any other payment option elected by the Participant and agreed to by the Employer and Administrator.

A Participant's selection of a payment option under Subsections (a), (c), or (g) above may include the selection of an automatic annual cost-of living increase. Such increase will be based on the rise in the Consumer Price Index for All Urban Consumers (CPI-U) from the third quarter of the last year in which a cost-of-living increase was provided to the third quarter of the current year. Any increase will be made in periodic payment checks beginning the following January.

7.03 **Limitation on Options.** A Participant may not select a payment option under subsections 7.02(a) or (c) if the amount of any such periodic payment is less than $100. No payment option may be selected by a Participant under Sections 7.02 or 7.04 unless it satisfies the requirements of Sections 401(a)(9) and 457(d)(2) of the Code, including the requirement that payments commencing before the death of the Participant shall satisfy the incidental death benefit requirements under Section 401(a)(9)(G) of the Code.

7.04 **Minimum Required Distributions.** Notwithstanding any provision of the Plan to the contrary, the Plan shall comply with the minimum required distribution rules set forth in Sections 457(d)(2) and 401(a)(9) of the

Code, including the incidental death benefit requirements of Section 401(a)(9)(G) of the Code.

(a) *Application of Minimum Distribution Requirements:* The minimum distribution requirements of Section 401(a)(9) of the Code shall only apply to the Plan to the extent that such requirements are applicable by law for a year. Pursuant to the Worker, Retiree, and Employer Recovery Act of 2008 ("WRERA"), required minimum distributions were suspended for 2009.

(b) *Special Rule for Scheduled Installment Payments:* All installment payments scheduled to be distributed to a Participant prior to the effective date of a suspension of the required minimum distribution provisions of Code Section 401(a)(9) shall be distributed as scheduled unless the Participant affirmatively elects to have the payments stopped. Notwithstanding the foregoing, for purposes of this Section 7.04(b), the effective date of the suspension of the required minimum distribution provisions for 2009 shall be deemed January 6, 2009.

7.05 Time and Manner of Distribution.

(a) *Automatic Distribution Date.* The Automatic Distribution Date is April 1 of the year that follows the later of (1) the calendar year the Participant attains age 70½ or (2) retires due to a Severance Event. If the Participant postpones the required distribution due in the calendar year he or she attains age 70½ or severs employment, to the Automatic Distribution Date, the second required minimum distribution must be taken by the end of that year. The Participant's Account will be distributed, or begin to be distributed to the Participant no later than the Participant's Automatic Distribution Date.

(b) *Death of Participant Before Distributions Begin*. Except as otherwise permitted by Section 401(a)(9) of the Code, if the Participant dies before distributions begin, the Participant's Account will be distributed, or begin to be distributed, no later than as follows:

(1) If the Participant's surviving spouse is the Participant's sole Designated Beneficiary, then, distributions to the surviving spouse will begin by December 31 of the calendar year immediately following the calendar year in which the Participant died, or by December 31 of the calendar year in which the Participant would have attained age 70½, if later.

(2) If the Participant's surviving spouse is not the Participant's sole Designated Beneficiary, then distributions to the Designated Beneficiary will begin by December 31 of the calendar year immediately following the calendar year in which the Participant died.

(3) If there is no Designated Beneficiary as of September 30 of the year following the year of the Participant's death, the Participant's Account will be distributed by December 31 of the calendar year containing the fifth anniversary of the Participant's death.

(4) If the Participant's surviving spouse is the Participant's sole Designated Beneficiary and the surviving spouse dies after the Participant but before distributions to the surviving spouse begin, this subparagraph 7.05(b), other than subsection 7.05(b)(1), will apply as if the surviving spouse were the Participant.

Distributions are considered to begin on the Participant's Automatic Distribution Date for purposes of this Section 7.05 and Section 7.07, unless Section 7.05(b)(4) applies. If Section 7.05(b)(4) applies, distributions are considered to begin on the date distributions are required to begin to the surviving spouse under Section 7.05(b)(1). If distributions under an annuity purchased from an insurance company irrevocably commence to the Participant before the Participant's Automatic Distribution Date (or to the Participant's surviving spouse before the date distributions are required to begin to the surviving spouse under Section 7.05(b)(1)), the date distributions are considered to begin is the date distributions actually commence.

(c) *Death of Participant On or After Distributions Begin*. Except as otherwise permitted by Section 401(a)(9) of the Code, if the Participant dies on or after distributions begin and before depleting his or her Account, distributions must commence to the Designated Beneficiary by December 31 of the calendar year immediately following the calendar year in which the Participant died.

(d) *Forms of Distribution.* Unless the Participant's Account is distributed in the form of an annuity purchased from an insurance company or in a single-sum on or before the Automatic Distribution Date, as of the first Distribution Calendar Year, distributions will be made in accordance with Sections 7.06 and 7.07. If the Participant's Account is distributed in the form of an annuity contract purchased from an insurance company, distributions thereunder will be made in accordance with the requirements of Section 401(a)(9) of the Code and the Income Tax Regulations.

7.06 Required Minimum Distributions During Participant's Lifetime.

(a) *Amount of Required Minimum Distribution for Each Distribution Calendar Year*. During the Participant's lifetime, the minimum amount that will be distributed for each Distribution Calendar Year is the lesser of:

(1) the quotient obtained by dividing the Participant's Account Balance by the distribution period set forth in the Uniform Lifetime Table set forth in Section 1.401(a)(9)-9, Q&A-2, of the Income Tax Regulations using the Participant's age as of the Participant's birthday in the Distribution Calendar Year; or

(2) if the Participant's sole Designated Beneficiary for the Distribution Calendar Year is the Participant's spouse, the quotient obtained by dividing the Participant's Account Balance by the number in the Joint and Last Survivor Table set forth in Section 1.401(a)(9)-9, Q&A-3, of the Income Tax Regulations using the Participant's and spouse's attained ages as of the Participant's and spouse's birthdays in the Distribution Calendar Year.

(b) *Lifetime Required Minimum Distributions Continue Through Year of Participant's Death.* Required minimum distributions will be determined under this Section 7.06 beginning with the first Distribution Calendar Year and continuing up to, and including, the Distribution Calendar Year that includes the Participant's date of death.

7.07 Required Minimum Distributions After Participant's Death.

(a) *Death On or After Date Distributions Begin.*

(1) Participant Survived by Designated Beneficiary. If the Participant dies on or after the date distributions begin and there is a Designated Beneficiary, the minimum amount that will be distributed for each Distribution Calendar Year after the year of the Participant's death is the quotient obtained by dividing the Participant's Account Balance by the longer of the remaining Life Expectancy of the Participant or the remaining Life Expectancy of the Participant's Designated Beneficiary, determined as follows:

(i) The Participant's remaining Life Expectancy is calculated using the age of the Participant in the year of death, reduced by one for each subsequent year.

(ii) If the Participant's surviving spouse is the Participant's sole Designated Beneficiary, the remaining Life Expectancy of the surviving spouse is calculated for each Distribution Calendar Year after the year of the Participant's death using the surviving spouse's age as of the spouse's birthday in that year. For Distribution Calendar Years after the year of the surviving spouse's death, the remaining Life Expectancy of the surviving spouse is calculated using the age of the surviving spouse as of the spouse's birthday in the calendar year of the spouse's death, reduced by one for each subsequent calendar year.

(iii) If the Participant's surviving spouse is not the Participant's sole Designated Beneficiary, the Designated Beneficiary's remaining life expectancy is calculated using the age of the Beneficiary in the year following the year of the Participant's death, reduced by one for each subsequent year.

(2) No Designated Beneficiary. If the Participant dies on or after the date distributions begin and there is no Designated Beneficiary as of September 30 of the year after the year of the Participant's death, the minimum amount that will be distributed for each Distribution Calendar Year after the year of the Participant's death is the quotient obtained by dividing the Participant's Account Balance by the Participant's remaining Life Expectancy calculated using the age of the Participant in the year of death, reduced by one for each subsequent year.

(b) *Death Before Date Distributions Begin.*

(1) Participant Survived by Designated Beneficiary. Except as permitted by Section 401(a)(9) of the Code, if the Participant dies before the date distributions begin and there is a Designated Beneficiary, the minimum amount that will be distributed for each Distribution Calendar Year after the year of the Participant's death is the quotient obtained by dividing the Participant's Account Balance by the remaining Life Expectancy of the Participant's Designated Beneficiary, determined as provided in Section 7.07(a).

(2) No Designated Beneficiary. If the Participant dies before the date distributions begin and there is no Designated Beneficiary as of September 30 of the year following the year of the Participant's death, distribution of the Participant's entire Account will be completed by December 31 of the calendar year containing the fifth anniversary of the Participant's death.

(3) Death of Surviving Spouse Before Distributions to Surviving Spouse Are Required to Begin. If the Participant dies before the date distributions begin, the Participant's surviving spouse is the Participant's sole Designated Beneficiary, and the surviving spouse dies before distributions are required to begin to the surviving spouse under Section 7.05(b)(1), this Section 7.07(b) will apply as if the surviving spouse were the Participant.

7.08 Definitions.

(a) *Designated Beneficiary*. The individual who is a designated by the Participant (or the Participant's surviving spouse) as the Beneficiary of the Participant's interest under the Plan and who is the Designated Beneficiary under Section 401(a)(9) of the Code and Section 1.401(a)(9)-4 of the Income Tax Regulations.

(b) *Distribution Calendar Year.* A calendar year for which a minimum distribution is required. For distributions beginning before the Participant's death, the first Distribution Calendar Year is the calendar year immediately preceding the calendar year which contains the Participant's Automatic Distribution Date. For distributions beginning after the Participant's death, the first Distribution Calendar Year is the calendar year in which distributions are required to begin under Sections 7.05(b) and (c). The required minimum distribution for the Participant's first Distribution Calendar Year will be made on or before the Participant's Automatic Distribution Date. The required minimum distribution for other Distribution Calendar Years, including the required minimum distribution for the Distribution Calendar Year in which the Participant's Automatic Distribution Date occurs, will be made on or before December 31 of that Distribution Calendar Year.

(c) *Life Expectancy.* Life Expectancy as computed by use of the Single Life Table in Section 1.401(a)(9)-9, Q&A-1, of the Income Tax Regulations.

(d) *Participant's Account Balance*. The Account Balance as of the last Accounting Date in the calendar year immediately preceding the Distribution Calendar Year (valuation calendar year) increased by the amount of any contribution made and allocated or forfeitures allocated to the Account Balance as of dates in the valuation calendar year after the Accounting Date and decreased by distributions made in the valuation calendar year after the Accounting Date. The Account Balance for the valuation calendar year includes any amounts rolled over or transferred to the Plan either in the valuation calendar year or in the Distribution Calendar Year if distributed or transferred in the valuation calendar year.

7.09 Unforeseeable Emergencies.

(a) In the event an unforeseeable emergency occurs, a Participant, or a Beneficiary with a current unconditional right to all or a portion of the Participant's account balance under the Plan following the death of the Participant, may, unless otherwise elected by the Employer, apply to the Employer (or the Administrator, acting on behalf of the Employer) to receive that part of the value of his or her Account that is reasonably needed to satisfy the emergency need. If such an application is approved by the Employer (or the Administrator, acting on behalf of the Employer), the Participant or Beneficiary shall be paid only such amount as the Employer or Administrator deems necessary to meet the emergency need, but payment shall not be made to the extent that the financial hardship may be relieved through cessation of deferral under the Plan, insurance or other reimbursement, or liquidation of other assets to the extent such liquidation would not itself cause severe financial hardship.

(b) An unforeseeable emergency shall be deemed to involve only circumstances of severe financial hardship of a Participant or Beneficiary resulting from an illness or accident of the Participant or Beneficiary, the Participant's or Beneficiary's spouse, or the Participant's or Beneficiary's dependent (as defined in Section 152 of the Code, and, for taxable years beginning on or after January 1, 2005, without regard to Sections 152(b)(1), (b)(2), and (d)(1)(B) of the Code); loss of the Participant's or Beneficiary's property due to casualty (including the need to rebuild a home following damage to a home not otherwise covered by homeowner's insurance, e.g., as a result of a natural disaster); or other similar extraordinary and unforeseeable circumstances arising as a result of events beyond the control of the Participant or the Beneficiary. For example, the imminent foreclosure of or eviction from the Participant's or Beneficiary's primary residence may constitute an unforeseeable emergency. In addition, the need to pay for medical expenses, including non-refundable deductibles, as well as for the cost of prescription drug medication, may constitute an unforeseeable emergency. The need to pay for the funeral expenses of a spouse or a dependent (as defined in Section 152 of the Code, and, for taxable years beginning on or after January 1, 2005, without regard to Sections 152(b)(1), (b)(2), and (d)(1)(B) of the Code) may also constitute an unforeseeable emergency. In addition, loss of property due to theft, legal bills involving criminal charges, and lost or reduced wages of the Participant's or Beneficiary's household may constitute an unforeseeable emergency if extraordinary, unforeseeable, and arising as a result of events beyond the control of the Participant or Beneficiary and otherwise meeting the conditions described in Section 7.09(a). Except as otherwise specifically provided in this Section 7.09(b), the purchase of a home and the payment of college tuition are not unforeseeable emergencies.

(c) Unless otherwise elected by the Employer, the determination of any unforeseeable emergency will be expanded to include circumstances of severe financial hardship resulting from an illness or accident of a Primary Beneficiary or other similar extraordinary and unforeseeable circumstances of a Primary Beneficiary that result in a severe financial hardship.

7.10 In-Service Distribution of Rollover Contributions. Effective January 1, 2006, the Employer may elect to allow Participants to receive an in-service distribution of amounts attributable to rollover contributions to the Plan. If the Employer has elected to make such distributions available, a Participant that has a separate account attributable to rollover contributions to the Plan may at any time elect to receive a distribution of all or any portion of the amount held in the rollover account.

7.11 In-Service Distribution to Participants Age 70½ or Older. Unless otherwise elected by the Employer, a Participant who has reached age 70½ and has not yet had a Severance Event, may, at any time, request a distribution of all or a part of his or her Account.

7.12 Distribution of De Minimis Accounts. Notwithstanding the foregoing provisions of this Article VII:

(a) *Mandatory Distribution:* If the value of a Participant's Account is less than $1,000, the Participant's Account shall be paid to the Participant in a single lump sum distribution, provided that:

(1) No amount has been deferred under the Plan with respect to the Participant during the 2-year period ending on the date of the distribution; and

(2) There has been no prior distribution under the Plan to the Participant pursuant to this Section 7.12.

Notwithstanding any other provisions of the Plan to the contrary, if the amount of a Beneficiary's Account following notification of a Participant's death is less than $1,000, the Beneficiary's Account may be paid to the Beneficiary in a single lump sum distribution.

(b) *Voluntary Distribution:* If the value of the Participant's Account is at least $1,000 but not more than the dollar limit under Section 411(a)(11)(A) of the Code, the Participant may elect to receive his or her entire Account in a lump sum payment if:

(1) No amount has been deferred under the Plan with respect to the Participant during the 2-year period ending on the date of the distribution; and

(2) There has been no prior distribution under the Plan to the Participant pursuant to this Section 7.12.

7.13 Deemed Severance from Employment.

(a) Unless otherwise elected by the Employer, effective January 1, 2009, a Participant shall be deemed to have a severance from employment solely for purposes of eligibility to receive distributions from the Plan during any period the individual is performing service in the uniformed services (as defined in chapter 43 of title 38, United States Code) for more than thirty (30) days.

(b) If a Participant receives a distribution pursuant to Section 7.13(a), then during the six-month period beginning on the date of the distribution the Participant shall not be permitted to defer compensation.

(c) If a Participant receives a distribution which could be attributable to: (i) a deemed severance from employment described in subsection (a); or (ii) another distribution event under the Plan, then the distribution shall be considered made pursuant to the distribution event referenced in (ii), and the Participant shall not be subject to the limitation on elective deferrals or Voluntary Employee

Contributions set forth in subsection (b).

7.14 Distributions for Health and Long-Term Care Insurance for Public Safety Officers.

(a) If elected by the Employer, for Plan Years beginning after December 31, 2006, Eligible Retired Public Safety Officers may elect after separation from service to have up to $3,000 distributed tax-free annually from the Plan in order to pay for Qualified Health Insurance Premiums for an accident or health plan (including a self-insured plan) or a qualified long-term care insurance contract. The Plan shall make such distributions directly to the provider of the accident or health plan or qualified long-term care insurance contract.

(b) The term "Eligible Retired Public Safety Officer" means an individual who, by reason of disability or attainment of Normal Retirement Age, is separated from service as a Public Safety Officer with the Employer who maintains the eligible retirement plan from which distributions pursuant to this Section are made. The term "Public Safety Officer" has the same meaning given such term by Section 1204(9)(A) of the Omnibus Crime Control and Safe Streets Act of 1968.

(c) The term "Qualified Health Insurance Premiums" means premiums for coverage for the Eligible Retired Public Safety Officer, his spouse, and dependents, by an accident or health insurance plan or qualified long-term care insurance contract (as defined in Code Section 7702B).

7.15 EESA Provisions. The provisions relating to qualified disaster recovery assistance distributions for Participants affected by certain 2008 severe storms, flooding, and tornadoes and repayment thereof, and relating to repayment of prior qualified distributions for home purchases, set forth in Section 702 of the Emergency Economic Stabilization Act of 2008 ("EESA") shall apply to the Plan.

7.16 KETRA and GOZA Provisions. The provisions relating to qualified hurricane distributions and repayment thereof set forth in Section 1400Q(a) of the Code, and relating to repayment of prior qualified distributions for home purchases set forth in Code Section 1400Q(b), shall apply to the Plan. These provisions added to the Code by the Katrina Emergency Tax Relief Act of 2005 ("KETRA") and the Gulf Opportunity Zone Act of 2005 (GOZA), permit plans to allow repayments of certain prior qualified distributions for home purchases for Participants affected by Hurricanes Katrina, Rita, and Wilma.

Article VIII. Loans to Participants

8.01 Availability of Loans to Participants.

(a) If elected by the Employer, loans will be available to Participants in this Plan. A Participant may apply for a loan from the Plan subject to the limitations and other provisions of this Article.

(b) The Employer shall establish written guidelines governing the granting of loans, provided that such guidelines are approved by the Administrator and are not inconsistent with the provisions of this Article, and that loans are made available to all applicable Participants on a reasonably equivalent basis.

8.02 Terms and Conditions of Loans to Participants. Any loan by the Plan to a Participant under Section 8.01 of the Plan shall satisfy the following requirements:

(a) *Availability.* Loans shall be made available to all Participants who are active employees on a reasonably equivalent basis. Loans shall not be made available to terminated Employees, Beneficiaries, or alternate payees.

(b) *Interest Rate.* Loans must be adequately secured and bear a reasonable interest rate.

(c) *Loan Limit.* No Participant loan shall exceed the present value of the Participant's Account.

(d) *Foreclosure.* In the event of default on any installment payment, the outstanding balance of the loan shall be a deemed distribution. In such event, an actual distribution of a plan loan offset amount will not occur until a distributable event occurs in the Plan.

(e) *Reduction of Account.* Notwithstanding any other provision of this Plan, the portion of the Participant's Account balance used as a security interest held by the Plan by reason of a loan outstanding to the Participant shall be taken into account for purposes of determining the amount of the Account balance payable at the time of death or distribution, but only if the reduction is used as repayment of the loan.

(f) *Amount of Loan.* At the time the loan is made, the principal amount of the loan plus the outstanding balance (principal plus accrued interest) due on any other outstanding loans to the Participant from the Plan and from all other plans of the Employer that are either eligible deferred compensation plans described in Section 457(b) of the Code or qualified employer plans under Section 72(p)(4) of the Code shall not exceed the lesser of:

(1) $50,000, reduced by the excess (if any) of

(i) The highest outstanding balance of loans from the Plan during the one (1) year period ending on the day before the date on which the loan is made; over

(ii) The outstanding balance of loans from the Plan on the date on which such loan is made; or

(2) One-half of the value of the Participant's interest in all of his or her Accounts under this Plan.

For the purpose of the above limitation, all loans from all qualified employer plans of the Employer under Code Section 72(p)(4) are aggregated.

(g) *Application for Loan.* The Participant must give the Employer adequate written notice, as determined by the Employer, of the amount and desired time for receiving a loan. No more than one (1) loan may be made by the Plan to a Participant in any twelve-month period, unless a different period is elected by the Employer. No loan shall be approved if an existing loan from the Plan to the Participant is in default to any extent.

(h) *Length of Loan.* Any loan issued shall require the Participant to repay the loan in substantially equal installments of principal and interest, at least monthly, over a period that does not exceed five (5) years from the date of the loan; provided, however, that if the proceeds of the loan are applied by the Participant to acquire any dwelling unit that is to be used within a reasonable time (determined at the time of the loan is made) after the loan is made as the principal residence of the Participant, the five (5) year limit shall not apply. In this event, the period of repayment shall not exceed a reasonable period determined by the Employer. Principal installments and interest payments otherwise due may be suspended for up to one (1) year during an authorized leave of absence, if the promissory note so provides, but not beyond the original term permitted under this subsection (h), with a revised payment schedule (within such term) instituted at the end of such period of suspension.

(i) *Prepayment.* The Participant shall be permitted to repay the loan in whole or in part at any time prior to maturity, without penalty.

(j) *Promissory Note.* The loan shall be evidenced by a promissory note executed by the Participant and delivered to the Employer, and shall bear interest at a reasonable rate determined by the Employer.

(k) *Security.* The loan shall be secured by an assignment of the participant's right, title and interest in and to his or her Account.

(l) *Assignment or Pledge.* For the purposes of paragraphs (f) and (g), assignment or pledge of any portion of the Participant's interest in the Plan and a loan, pledge, or assignment with respect to any insurance contract purchased under the Plan, will be treated as a loan.

(m) *Other Terms and Conditions.* The Employer shall fix such other terms and conditions of the loan as it deems necessary to comply with legal requirements, to maintain the eligibility of the Plan and Trust under Section 457 of the Code, or to prevent the treatment of the loan for tax purposes as a distribution to the Participant. The Employer, in its discretion for any reason, may also fix other terms and conditions of the loan, not inconsistent with the provisions of this Article, including:

(1) the circumstances under which a loan becomes immediately due and payable, provided, however, with respect loans issued after December 31, 2012, that the loan program shall not provide that a loan becomes due and payable solely because the Participant requests or receives a partial distribution of the Participant's account balance after termination of employment;

(2) rules relating to reamortization of loans; and

(3) rules relating to refinance of loans.

8.03 Participant Loan Accounts.

(a) Upon approval of a loan to a Participant by the Employer, an amount not in excess of the loan shall be transferred from the Participant's other investment fund(s), described in Section 6.05 of the Plan, to the Participant's loan account as of the Accounting Date immediately preceding the agreed upon date on which the loan is to be made.

(b) The assets of a Participant's loan account may be invested and reinvested only in promissory notes received by the Plan from the Participant as consideration for a loan permitted by Section 8.01 of the Plan or in cash. Uninvested cash balances in a Participant's loan account shall not bear interest. Neither the Employer, the Administrator, nor any other person shall be liable for any loss, or by reason of any breach, that results from the Participant's exercise of such control.

(c) Repayment of principal and payment of interest shall be made by payroll deduction or, Automated Clearing House (ACH) transfer, or with respect to a terminated Employee solely by ACH, and shall be invested in one (1) or more other investment funds, in accordance with Section 6.05 of the Plan, as of the next Accounting Date after payment thereof to the Trust. The amount so invested shall be deducted from the Participant's loan account. A payment intended to be a prepayment or payment of the loan in full may also be made by cashier's check or money order, and shall be invested in accordance with this provision.

(d) The Employer shall have the authority to establish other reasonable rules, not inconsistent with the provisions of the Plan, governing the establishment and maintenance of Participant loan accounts.

Article IX. Roth Provisions

This Article IX has no effect unless and until the Employer affirmatively elects to offer Designated Roth Accounts.

9.01 Definitions. The following definitions shall apply for purposes of this Article I X.

(a) *Designated Roth Account.* A bookkeeping account established and maintained to record the Participant's Roth Elective Deferrals, In-Plan Roth Conversions, rollovers from designated Roth account under other eligible retirement plans, and the income gains and losses thereon. Unless specifically stated otherwise, all references in the Plan to a Participant's Account shall include a Participant's Designated Roth Account.

(b) *In-Plan Roth Conversion.* (1) A distribution from a Participant's Pre-Tax Account that is rolled over to the Participant's Designated Roth Account under the Plan, as described in Code Section 402A(c)(4)(B); or (2) A transfer from an amount in the Participant's Pre-Tax Account not otherwise distributable from the Plan to the Participant's Designated Roth Account under the Plan, as described in Code Section 402A(c)(4)(E), to the extent permitted by Section 9.05(e).

(c) *Pre-Tax Account.* A bookkeeping account established and maintained to record the portion of the Participant's Account attributable to amounts other than Roth Elective Deferrals, In-Plan Roth Conversions, rollovers from designated Roth accounts under other eligible retirement plans, and the income gains and losses thereon. Unless specifically stated otherwise, all references in the Plan to a Participant's Account shall include a Participant's Pre-Tax Account.

(d) *Qualified Roth Contribution Program.* A program described in paragraph (1) of Code Section 402A(b), under which a Participant may make Roth Elective Deferrals in lieu of all or a portion of the elective deferrals the Participant is otherwise eligible to make under the Plan.

(e) *Roth Elective Deferrals.* Deferred Includible Compensation contributed pursuant to Section 9.02 by a Participant, which amounts are:

(1) designated irrevocably by the Participant at the time of the deferral election as a Roth elective deferral that is being made in lieu of all or a portion of the pre-tax deferrals the Participant is otherwise eligible to make under the Plan; and

(2) treated by the Employer as includible in the Participant's income at the time the Participant otherwise would have received that amount as Includible Compensation.

9.02 Permitted Roth Elective Deferrals

(a) If the Employer elects to offer Designated Roth Accounts, as of the effective date of such election, a Participant shall be permitted to make Roth Elective Deferrals from his or her Includible Compensation in such amount or percentage as may be specified in the Joinder Agreement. A Participant's Roth Elective Deferrals will be allocated to a separate Designated Roth Account maintained for such deferrals as defined in Section 9.01(a) above.

(b) Unless specifically stated otherwise, Roth Elective Deferrals will be treated as Deferred Compensation for all purposes under the Plan.

9.03 Separate Accounting

(a) Contributions and withdrawals of Roth Elective Deferrals, In-Plan Roth Conversions and rollovers from a designated Roth account under an eligible retirement plan will be credited and debited to a Participant's Designated Roth Account.

(b) The Plan will maintain a record of the amount of Roth Elective Deferrals, In-Plan Roth Conversions, and rollovers from a designated Roth account under an eligible retirement plan in each Participant's Designated Roth Account.

(c) Gains, losses, and other credits or charges must be separately allocated on a reasonable and consistent basis to each Participant's Designated Roth Account and Pre-Tax Account under the Plan.

(d) No contributions other than Roth Elective Deferrals, In-Plan Roth Conversions, and rollovers from a designated Roth account under an eligible retirement plan and properly attributable earnings thereon will be credited to each Participant's Designated Roth Account.

9.04 Direct Rollovers

(a) Notwithstanding anything to the contrary in the Plan, a direct rollover of a distribution from a Designated Roth Account under the Plan shall be made only to another designated Roth account under an eligible retirement plan described in Section 402A(e)(1) of the Code or to a Roth IRA described in Section 408A of the Code, and only to the extent the rollover is permitted under the rules of Section 402(c) of the Code.

(b) Notwithstanding anything to the contrary in the Plan, unless otherwise elected by the Employer, the Plan will accept a rollover contribution to a Designated Roth Account only if it is a direct rollover from another designated Roth account under an eligible retirement plan described in Section 402A(e)(1) of the Code, or if the rollover is an In-Plan Roth Conversion defined in Section 10.05.

(c) Eligible rollover distributions from a Participant's Designated Roth Account are taken into account in determining whether the total amount of the Participant's Account balances under the Plan exceeds $1,000 for purposes of mandatory distributions from the Plan.

9.05 In-Plan Roth Conversions. Unless otherwise elected by the Employer, as of the effective date of this Article the Plan shall allow for In-Plan Roth Conversions.

(a) *Tax Treatment.* The amount of an In-Plan Roth Conversion shall be includible in the Participant's gross income, as though it were not part of a qualified rollover contribution.

(b) *Irrevocability.* Any election made by the Participant pursuant to Section 9.05(a) to do an In-Plan Roth Conversion shall be irrevocable.

(c) *Treatment of Loans.* Outstanding plan loans shall be excluded from In-Plan Roth Conversions. Notwithstanding anything herein to the contrary, an In-Plan Roth Conversion shall not accelerate or otherwise cause a Participant to default on an outstanding plan loan.

(d) *Spousal Consent.* Notwithstanding anything herein to the contrary, if the Plan requires spousal consent for a distribution, a married Participant shall not be required to obtain spousal consent in connection with an election to make an In-Plan Roth Conversion.

(e) *In-Plan Roth Conversions of Non-Distributable Amounts.* Effective January 1, 2013, a Participant may transfer, as part of an In-Plan Roth Conversion, an amount that is not otherwise distributable from the Participant's Pre-Tax Account to the Participant's Designated Roth Account. Such transfer shall be treated as a distribution which was contributed in a qualified rollover contribution within the meaning of Code Section 408A(e). Any distribution restrictions that were applicable to the amount before the In-Plan Roth Conversion shall apply to such amount (and earnings and losses thereon) in the Participant's Designated Roth Account. If the Participant's Account or a portion of the Account is subject to a vesting schedule, an In-Plan Roth Conversion is available only if the Account or portion of the Account is fully vested. The Participant may not transfer under this Section 9.05(e) any portion of the Account that is partially vested.

9.06 Availability of Loans from Designated Roth Accounts. A Participant's Designated Roth Account balance can be included to determine a Participant loan amount under Article VIII. However, unless the Employer elects otherwise, Designated Roth Accounts will not be available as a source for loans under the Plan.

Article X. Non-Assignability

10.01 General. Except as provided in Article VIII and Section 10.02, no Participant or Beneficiary shall have any right to commute, sell, assign, pledge, transfer or otherwise convey or encumber the right to receive any payments hereunder, which payments and rights are expressly declared to be non-assignable and non-transferable.

10.02 Domestic Relations Orders.

(a) *Allowance of Transfers:* To the extent required under a final judgment, decree, or order (including approval of a property settlement agreement) that (1) relates to the provision of child support, alimony payments, or marital property rights and (2) is made pursuant to a state domestic relations law, and (3) is permitted under Sections 414(p)(11) and (12) of the Code, any portion of a Participant's Account may be paid or set aside for payment to a spouse, former spouse, child, or other dependent of the Participant (an "Alternate Payee"). Where necessary to carry out the terms of such an order, a separate Account shall be established with respect to the Alternate Payee who shall be entitled to make investment selections with respect thereto in the same manner as the Participant. Any amount so set aside for an Alternate Payee shall be paid in accordance with the form and timing of payment specified in the order. Nothing in this Section shall be construed to authorize any amount to be distributed under the Plan at a time or in a form that is not permitted under Section 457(b) of the Code and is explicitly permitted under the uniform procedures described in Section 10.02(d) below. Notwithstanding the foregoing sentence, if a judgment, decree or order (including approval of a property settlement agreement) that relates to the provision of child support, alimony payments, or the marital property rights of a spouse or former spouse, child, or other dependent of a Participant is made pursuant to the domestic relations law of any State, then the amount of the Participant's Account shall be paid in the manner and to the person or persons so directed in the domestic relations order. Such payment shall be made without regard to whether the Participant is eligible for a distribution of benefits under the Plan. The Administrator shall establish reasonable procedures for determining the status of any such decree or order and for effectuating distribution pursuant to the domestic relations order. Any payment made to a person pursuant to this Section shall be reduced by any required income tax withholding. An Account maintained by the Alternate Payee shall otherwise be treated as if it were a Participant Account.

(b) *Release from Liability to Participant:* The Employer's liability to pay benefits to a Participant shall be reduced to the extent that amounts have been paid or set aside for payment to an Alternate Payee to paragraph (a) of this Section and the Participant and his or her Beneficiaries shall be deemed to have released the Employer and the Plan Administrator from any claim with respect to such amounts.

(c) *Participation in Legal Proceedings:* The Employer and Administrator shall not be obligated to defend against or set aside any judgment, decree, or order described in paragraph (a) or any legal order relating to the garnishment of a Participant's benefits, unless the full expense of such legal action is borne by the Participant. In the event that the Participant's action (or inaction) nonetheless causes the Employer or Administrator to incur such expense, the amount of the expense may be charged against the Participant's Account and thereby reduce the Employer's obligation to pay benefits to the Participant. In the course of any proceeding relating to divorce, separation, or child support, the Employer and Administrator shall be authorized to disclose information relating to the Participant's Account to the Alternate Payee (including the legal representatives of the Alternate Payee), or to a court.

(d) *Determination of Validity of Domestic Relations Orders:* The Administrator shall establish uniform procedures for determining the validity of any domestic relations order. The Administrator's determinations under such procedures shall be conclusive and binding on all parties and shall be afforded the maximum amount of deference permitted by law.

10.03 IRS Levy. Notwithstanding Section 10.01, the Administrator may pay from a Participant's or Beneficiary's Account balance the amount that the Administrator finds is lawfully demanded under a levy issued by the Internal Revenue Service with respect to that Participant or Beneficiary or is sought to be collected by the United States Government under a judgment resulting from an unpaid tax assessment against the Participant or Beneficiary.

10.04 Mistaken Contribution. To the extent permitted by applicable law, if any contribution (or any portion of a contribution) is made to the Plan by a good faith mistake of fact, then after the payment of the contribution, and upon receipt in good order of a proper request approved by the Administrator, the amount of the mistaken contribution (adjusted for any income or loss in value, if any, allocable thereto) shall be returned directly to the Participant or, to the extent required or permitted by the Administrator, to the Employer.

10.05 Payments to Minors and Incompetents. If a Participant or Beneficiary entitled to receive any benefits hereunder is a minor or is adjudged to be legally incapable if giving valid receipt and discharge for such benefits, or is deemed so by the Administrator, benefits will be paid to such persons as the Administrator may designate for the benefit of such Participant or Beneficiary. Such payments shall be considered a payment to such Participant or Beneficiary and shall, to the extent made, be deemed a complete discharge of any liability for such payments under the Plan.

10.06 Procedure When Distributee Cannot Be Located. The Administrator shall make all reasonable attempts to determine the identity and address of a Participant or a Participant's Beneficiary entitled to benefits under the Plan. For this purpose, a reasonable attempt means (a) the mailing by certified mail of a notice to the last known address shown on the Employer or Administrator's records, (b) notification sent to the Social Security Administration or the Pension Benefit Guarantee Corporation (under their program to identify payees under retirement plans), and (c) the payee has not responded within six (6) months. If the Administrator is unable to locate such a person entitled to benefits hereunder, or if there has been no claim made for such benefits, the Trust shall continue to hold the benefits due such person to the extent consistent with applicable law.

Article XI. Relationship to Other Plans and Employment Agreements

This Plan serves in addition to any other retirement, pension, or benefit plan or system presently in existence or hereinafter established for the benefit of the Employer's employees, and participation hereunder shall not affect benefits receivable under any such plan or system. Nothing contained in this Plan shall be deemed to constitute an employment contract or agreement between any Participant and the Employer or to give any Participant the right to be retained in the employ of the Employer. Nor shall anything herein be construed to modify the terms of any employment contract or agreement between a Participant and the Employer.

Article XII. Amendment or Termination of Plan

The Employer may at any time amend this Plan provided that it transmits such amendment in writing to the Administrator at least thirty (30) days prior to the effective date of the amendment. The consent of the Administrator shall not be required in order for such amendment to become effective, but the Administrator shall be under no obligation to continue acting as Administrator hereunder if it disapproves of such amendment.

The Administrator may at any time propose an amendment to the Plan by an instrument in writing transmitted to the Employer. Such amendment shall become effective unless, within the 30-day period beginning on the date the Administrator transmits such amendment, the Employer notifies the Administrator in writing that it disapproves such amendment, in which case such amendment shall not become effective. In the event of such disapproval, the Administrator shall be under no obligation to continue acting as Administrator hereunder.

The Employer may at any time terminate this Plan. In the event of termination, assets of the Plan shall be distributed to Participants and Beneficiaries as soon as administratively practicable following termination of the Plan. Alternatively, assets of the Plan may be transferred to an eligible deferred compensation plan maintained by another eligible governmental employer within the same State if (a) all assets held by the Plan are transferred; (b) the receiving plan provides for the receipt of transfers; (c) the Participants and Beneficiaries whose deferred amounts are being transferred will have an amount immediately after the transfer at least equal to the deferred amount immediately before the transfer; and (d) the Participants or Beneficiaries whose deferred amounts are being transferred are not eligible for additional annual deferrals in the receiving plan unless the Participants or Beneficiaries are performing services for the employer maintaining the receiving plan. In addition, unless otherwise prohibited by applicable law, with respect to Participants or Beneficiaries who cannot be located or who do not elect otherwise, the assets held in the accounts of such Participants or Beneficiaries may be transferred to an individual retirement plan (as defined in Section 7701(a)(37) of the Code) selected by the Employer.

Except as may be required to maintain the status of the Plan as an eligible deferred compensation plan under Section 457(b) of the Code or to comply with other applicable laws, no amendment or termination of the Plan shall divest any Participant of any rights with respect to compensation deferred before the date of the amendment or termination.

Article XIII. Applicable Law

This Plan and Trust shall be construed under the laws of the state where the Employer is located and is established with the intent that it meet the requirements of an "eligible deferred compensation plan" under Section 457(b) of the Code, as amended. The provisions of this Plan and Trust shall be interpreted wherever possible in conformity with the requirements of that Section of the Code.

In addition, notwithstanding any provision of the Plan to the contrary, the Plan shall be administered in compliance with the requirements of Section 414(u) of the Code.

Article XIV. Miscellaneous Items

14.01 **Gender and Number.** The masculine pronoun, whenever used herein, shall include the feminine pronoun, and the singular shall include the plural, except where the context requires otherwise.

14.02 **Electronic Communication and Consent.** Unless expressly required otherwise, where this Plan provides that a document, election, notification, direction, signature, or consent will be in writing, such writing may occur through an electronic medium, including but not limited to electronic mail, intranet or internet web posting and online account access, to the fullest extent permitted by applicable law.

DECLARATION OF TRUST

This Declaration of Trust (the "Group Trust Agreement") is made as of the 19th day of May, 2001, by VantageTrust Company, which declares itself to be the sole Trustee of the trust hereby created.

WHEREAS, the ICMA Retirement Trust was created as a vehicle for the commingling of the assets of governmental plans and governmental units described in Section 818(a)(6) of the Internal Revenue Code of 1986, as amended, pursuant to a Declaration of Trust dated October 4, 1982, as subsequently amended, a copy of which is attached hereto and incorporated by reference as set out below (the "ICMA Declaration"); and

WHEREAS, the trust created hereunder (the "Group Trust") is intended to meet the requirements of Revenue Ruling 81-100, 1981-1 C.B. 326, and is established as a common trust fund within the meaning of Section 391:1 of Title 35 of the New Hampshire Revised Statutes Annotated, to accept and hold for investment purposes the assets of the Deferred Compensation and Qualified Plans held by and through the ICMA Retirement Trust.

NOW, THEREFORE, the Group Trust is created by the execution of this Declaration of Trust by the Trustee and is established with respect to each Deferred Compensation and Qualified Plan by the transfer to the Trustee of such Plan's assets in the ICMA Retirement Trust, by the Trustees thereof, in accord with the following provisions:

(a) Incorporation of ICMA Declaration by Reference; ICMA By-Laws. Except as otherwise provided in this Group Trust Agreement, and to the extent not inconsistent herewith, all provisions of the ICMA Declaration are incorporated herein by reference and made a part hereof, to be read by substituting the Group Trust for the Retirement Trust and the Trustee for the Board of Trustees referenced therein. In this respect, unless the context clearly indicates otherwise, all capitalized terms used herein and defined in the ICMA Declaration have the meanings assigned to them in the ICMA Declaration. In addition, the By-Laws of the ICMA Retirement Trust, as the same may be amended from time-to-time, are adopted as the By-Laws of the Group Trust to the extent not inconsistent with the terms of this Group Trust Agreement.

Notwithstanding the foregoing, the terms of the ICMA Declaration and By-Laws are further modified with respect to the Group Trust created hereunder, as follows:

1. any reporting, distribution, or other obligation of the Group Trust vis-à-vis any Deferred Compensation Plan, Qualified Plan, Public Employer, Public Employer Trustee, or Employer Trust shall be deemed satisfied to the extent that such obligation is undertaken by the ICMA Retirement Trust (in which case the obligation of the Group Trust shall run to the ICMA Retirement Trust); and

2. all provisions dealing with the number, qualification, election, term and nomination of Trustees shall not apply, and all other provisions relating to trustees (including, but not limited to,

resignation and removal) shall be interpreted in a manner consistent with the appointment of a single corporate trustee.

(b) *Compliance with Revenue Procedure 81-100.* The requirements of Revenue Procedure 81-100 are applicable to the Group Trust as follows:

1. Pursuant to the terms of this Group Trust Agreement and Article X of the By-Laws, investment in the Group Trust is limited to assets of Deferred Compensation and Qualified Plans, investing through the ICMA Retirement Trust.

2. Pursuant to the By-Laws, the Group Trust is adopted as a part of each Qualified Plan that invests herein through the ICMA Retirement Trust.

3. In accord with the By-Laws, that part of the Group Trust's corpus or income which equitably belongs to any Deferred Compensation and Qualified Plan may not be used for or diverted to any purposes other than for the exclusive benefit of the Plan's employees or their beneficiaries who are entitled to benefits under such Plan.

4. In accord with the By-Laws, no Deferred Compensation Plan or Qualified Plan may assign any or part of its equity or interest in the Group Trust, and any purported assignment of such equity or interest shall be void.

(c) *Governing Law.* Except as otherwise required by federal, state or local law, this Declaration of Trust (including the ICMA Declaration to the extent incorporated herein) and the Group Trust created hereunder shall be construed and determined in accordance with applicable laws of the State of New Hampshire.

(d) *Judicial Proceedings.* The Trustee may at any time initiate an action or proceeding in the appropriate state or federal courts within or outside the state of New Hampshire for the settlement of its accounts or for the determination of any question of construction which may arise or for instructions.

IN WITNESS WHEREOF, the Trustee has executed this Declaration of Trust as of the day and year first above written.

VANTAGETRUST COMPANY

BUILDING PUBLIC SECTOR
RETIREMENT SECURITY

ICMA RETIREMENT CORPORATION
777 NORTH CAPITOL STREET, NE | WASHINGTON, DC 20002-4240
800-669-7400
WWW.ICMARC.ORG

BRC000-28794-0416

ADMINISTRATIVE SERVICES AGREEMENT

Between

ICMA Retirement Corporation

and

Ozarks Transportation Organization

Type: 457

Account #: 300691

ADMINISTRATIVE SERVICES AGREEMENT

This Administrative Services Agreement ("Agreement"), made as of the day of , 20____ between the International City Management Association Retirement Corporation ("ICMA-RC"), a nonprofit corporation organized and existing under the laws of the State of Delaware, and the Ozarks Transportation Organization ("Employer"), an entity organized and existing under the laws of the State of Missouri with an office at 2208 West Chesterfield Boulevard, Suite 101, Springfield, Missouri 65807.

RECITALS

Employer acts as public plan sponsor of a retirement plan ("Plan"), and in that capacity, has responsibility to obtain administrative services and investment alternatives for the Plan;

VantageTrust is a group trust established and maintained in accordance with New Hampshire Revised Statutes Annotated section 391:1 and Internal Revenue Service Revenue Ruling 81-100, 1981-1 C.B. 326, which provides for the commingled investment of retirement funds;

ICMA-RC, or its wholly owned subsidiary, acts as investment adviser to VantageTrust Company, LLC, the Trustee of VantageTrust;

ICMA-RC has designed, and VantageTrust offers, a series of separate funds (the "Funds") for the investment of plan assets as referenced in VantageTrust's principal disclosure documents, the VantageTrust Disclosure Memorandum and the Funds' Fact Sheets (together, "VT Disclosures"); and

ICMA-RC provides a range of services to public employers for the operation of employee retirement plans including, but not limited to, communications concerning investment alternatives, account maintenance, account recordkeeping, investment and tax reporting, transaction processing, and benefit disbursement.

AGREEMENTS

1. Appointment of ICMA-RC

Employer hereby appoints ICMA-RC as Administrator of the Plan to perform all nondiscretionary functions necessary for the administration of the Plan. The functions to be performed by ICMA-RC shall be those set forth in Exhibit A to this Agreement.

2. Adoption of Trust

Employer has adopted the Declaration of Trust of VantageTrust Company and agrees to the commingled investment of assets of the Plan within VantageTrust. Employer agrees that the investment, management, and distribution of amounts deposited in VantageTrust shall be subject to the Declaration of Trust, as it may be amended from time to time and shall also be subject to terms and conditions set forth in disclosure documents (such as the VT Disclosures or Employer Bulletins) as those terms and conditions may be adjusted from time to time.

3. Employer Duty to Furnish Information

Employer agrees to furnish to ICMA-RC on a timely basis such information as is necessary for ICMA-RC to carry out its responsibilities as Administrator of the Plan, including information needed to allocate individual participant accounts to Funds in VantageTrust, and information as to the employment status of participants, and participant ages, addresses, and other identifying information (including tax identification numbers). Employer also agrees that it will notify ICMA-RC in a timely manner regarding changes in staff as it relates to various roles. Such notification is to be completed through the online EZLink employer contact options. ICMA-RC shall be entitled to rely upon the accuracy of any information that is furnished to it by a responsible official of the Employer or any information relating to an individual participant or beneficiary that is furnished by such participant or beneficiary, and ICMA-RC shall not be responsible for any error arising from its reliance on such information. ICMA-RC will provide reports, statements and account information to the Employer through EZLink, the online plan administrative tool.

Employer is required to send in contributions through EZLink, the online plan administration tool provided by ICMA-RC. Alternative electronic methods may be allowed but must be approved by ICMA-RC for use. Contributions may not be sent through paper submittal documents.

To the extent Employer selects third-party funds that do not have fund profile information provided to ICMA-RC through our electronic data feeds from external sources (such as Morningstar) or third-party fund providers, the Employer is responsible for providing to ICMA-RC timely fund investment updates for disclosure to Plan participants. Such updates may be provided to ICMA-RC through the Employer's investment consultant or other designated representative.

4. ICMA-RC Representations and Warranties

ICMA-RC represents and warrants to Employer that:

(a) ICMA-RC is a non-profit corporation with full power and authority to enter into this Agreement and to perform its obligations under this Agreement. The ability of ICMA-RC, or its wholly owned subsidiary, to serve as investment adviser to VantageTrust Company is dependent upon the continued willingness of VantageTrust Company for ICMA-RC, or its wholly owned subsidiary, to serve in that capacity.

(b) ICMA-RC is an investment adviser registered as such with the U.S. Securities and Exchange Commission under the Investment Advisers Act of 1940, as amended.

(c) ICMA-RC shall maintain and administer the Plan in accordance with the requirements for eligible deferred compensation plans under Section 457 of the Internal Revenue Code and other applicable federal law; provided, however, that ICMA-RC shall not be responsible for the eligible status of the Plan in the event that the Employer directs ICMA-RC to administer the Plan or disburse assets in a manner inconsistent with the requirements of Section 457 or otherwise causes the Plan not to be carried out in accordance with its terms. Further, in the event that the Employer uses its own customized plan document, ICMA-RC shall not be responsible for the eligible status of the Plan to the extent affected by terms in the Employer's plan document that differ from those in ICMA-RC's standard plan document. ICMA-RC shall not be responsible for monitoring state or local law applicable to retirement plans or for administering the Plan in compliance with local or state requirements regarding plan administration unless Employer notifies ICMA-RC of any such local or state requirements.

5. Employer Representations and Warranties

Employer represents and warrants to ICMA-RC that:

(a) Employer is organized in the form and manner recited in the opening paragraph of this Agreement with full power and authority to enter into and perform its obligations under this Agreement and to act for the Plan and participants in the manner contemplated in this Agreement. Execution, delivery, and performance of this Agreement will not conflict with any law, rule, regulation or contract by which the Employer is bound or to which it is a party.

(b) Employer understands and agrees that ICMA-RC's sole function under this Agreement is to act as recordkeeper and to provide administrative, investment or other services at the direction of Plan participants, the

Employer, its agents or designees in accordance with the terms of this Agreement. Under the terms of this Agreement, ICMA-RC does not render investment advice, is neither the "Plan Administrator" nor "Plan Sponsor" as those terms are defined under applicable federal, state, or local law, and does not provide legal, tax or accounting advice with respect to the creation, adoption or operation of the Plan and its related trust. ICMA-RC does not perform any service under this Agreement that might cause ICMA-RC to be treated as a "fiduciary" of the Plan under applicable law, except, and only, to the extent that ICMA-RC provides investment advisory services to individual participants enrolled in Guided Pathways Advisory Services.

(c) Employer acknowledges and agrees that ICMA-RC does not assume any responsibility with respect to the selection or retention of the Plan's investment options. Employer shall have exclusive responsibility for the Plan's investment options, including the selection of the applicable mutual fund share class. Where applicable, Employer understands that the VT Retirement IncomeAdvantage Fund is an investment option for the Plan and that the fund invests in a separate account available through a group variable annuity contract. By entering into this Agreement, Employer acknowledges that it has received the Important Considerations document and the VT Disclosures and that it has read the information therein concerning the VT Retirement IncomeAdvantage Fund.

(d) Employer acknowledges that certain such services to be performed by ICMA-RC under this Agreement may be performed by an affiliate or agent of ICMA-RC pursuant to one or more other contractual arrangements or relationships, and that ICMA-RC reserves the right to change vendors with which it has contracted to provide services in connection with this Agreement without prior notice to Employer.

(e) Employer acknowledges that it has received ICMA-RC's Fee Disclosure Statement, prepared in substantial conformance with ERISA regulations regarding the disclosure of fees to plan sponsors.

(f) Employer approves the use of its Plan in ICMA-RC external media, publications and materials. Examples include press releases announcements and inclusion of the general plan information in request for proposal responses.

6. <u>Participation in Certain Proceedings</u>

The Employer hereby authorizes ICMA-RC to act as agent, to appear on its behalf, and to join the Employer as a necessary party in all legal proceedings involving the garnishment of benefits or the transfer of benefits pursuant to the divorce or separation of participants in the Plan. Unless Employer notifies ICMA-RC otherwise, Employer consents to the disbursement by ICMA-RC of benefits that have been garnished or transferred to a former spouse, current spouse, or child pursuant to a domestic relations order or child support order.

7. Compensation and Payment

(a) **Plan Administration Fee.** The amount to be paid for plan administration services under this Agreement shall be 0.55% per annum of the amount of Plan assets invested in VantageTrust. Such fee shall be computed based on average daily net Plan assets in VantageTrust.

(b) **Compensation for Management Services to VantageTrust, Compensation for Advisory and other Services to the VT III Vantagepoint Funds and Payments from Third-Party Mutual Funds**. Employer acknowledges that, in addition to amounts payable under this Agreement, ICMA-RC, or its wholly owned subsidiary, receives fees from VantageTrust for investment advisory services and plan and participant services furnished to VantageTrust. Employer further acknowledges that ICMA-RC, including certain of its wholly owned subsidiaries, receives compensation for advisory and other services furnished to the VT III Vantagepoint Funds, which serve as the underlying portfolios of a number of Funds offered through VantageTrust. For a VantageTrust Fund that invests substantially all of its assets in a third-party mutual fund not affiliated with ICMA-RC, ICMA-RC or its wholly owned subsidiary receives payments from the third-party mutual fund families or their service providers in the form of 12b-1 fees, service fees, compensation for sub-accounting and other services provided based on assets in the underlying third-party mutual fund. These fees are described in the VT Disclosures and ICMA-RC's fee disclosure statement. In addition, to the extent that third party mutual funds are included in the investment line-up for the Plan, ICMA-RC receives administrative fees from its third party mutual fund settlement and clearing agent for providing administrative and other services based on assets invested in third party mutual funds; such administrative fees come from payments made by third party mutual funds to the settlement and clearing agent.

(c) **Redemption Fees**. Redemption fees imposed by outside mutual funds in which Plan assets are invested are collected and paid to the mutual fund by ICMA-RC. ICMA-RC remits 100% of redemption fees back to the specific mutual fund to which redemption fees apply. These redemption fees and the individual mutual fund's policy with respect to redemption fees are specified in the prospectus for the individual mutual fund and referenced in the VT Disclosures.

(d) **Payment Procedures**. All payments to ICMA-RC pursuant to this Section 7 shall be made from Plan assets held by VantageTrust or received from third-party mutual funds or their service providers in connection with Plan assets invested in such third-party mutual funds, to the extent not paid by the Employer. The amount of Plan assets administered by ICMA-RC shall be adjusted as required to reflect any such payments as are made from the Plan. In the event that the Employer agrees to pay amounts owed pursuant to this Section 7 directly, any amounts unpaid and outstanding after 30 days of invoice to the Employer shall be withdrawn from Plan assets.

The compensation and payment set forth in this Section 7 are contingent upon the Employer's use of ICMA-RC's EZLink system for contribution processing and submitting contribution funds by ACH or wire transfer on a consistent basis over the term of this Agreement.

8. Contribution Remittance

Employer understands that amounts invested in the Plan are to be remitted directly to Vantagepoint Transfer Agents in accordance with instructions provided to Employer by ICMA-RC and are not to be remitted to ICMA-RC. In the event that any check or wire transfer is incorrectly labeled or transferred to ICMA-RC, ICMA-RC may return it to Employer with proper instructions.

9. Indemnification

ICMA-RC shall not be responsible for any acts or omissions of any person with respect to the Plan or its related trust, other than ICMA-RC in connection with the administration or operation of the Plan. Employer shall indemnify ICMA-RC against, and hold ICMA-RC harmless from, any and all loss, damage, penalty, liability, cost, and expense, including without limitation, reasonable attorney's fees, that may be incurred by, imposed upon, or asserted against ICMA-RC by reason of any claim, regulatory proceeding, or litigation arising from any act done or omitted to be done by any individual or person with respect to the Plan or its related trust, excepting only any and all loss, damage, penalty, liability, cost or expense resulting from ICMA-RC's negligence, bad faith, or willful misconduct.

10. Term

This Agreement shall be in effect and commence on the date all parties have signed and executed this Agreement ("Inception Date"). This Agreement may be terminated without penalty by either party on sixty days advance notice in writing to the other; provided however, that the Employer understands and acknowledges that, in the event the Employer terminates this Agreement (or replaces the Vantagepoint PLUS Fund, offered by VantageTrust, as an investment option in its investment line-up), ICMA-RC retains full discretion to release Plan assets invested in the Vantagepoint PLUS Fund in an orderly manner over a period of up to 12 months from the date ICMA-RC receives written notification from the Employer that it has made a final and binding selection of a replacement for ICMA-RC as administrator of the Plan (or a replacement investment option for the Vantagepoint PLUS Fund).

11. Amendments and Adjustments

(a) This Agreement may be amended by written instrument signed by the parties.

(b) ICMA-RC may modify this agreement by providing 60 days' advance written notice to the Employer prior to the effective date of such proposed modification. Such modification shall become effective unless, within the 60-day notice period, the Employer notifies ICMA-RC in writing that it objects to such modification.

(c) The parties agree that enhancements may be made to administrative services under this Agreement. The Employer will be notified of enhancements through the Employer Bulletin, quarterly statements, electronic messages or special mailings. Likewise, if there are any reductions in fees, these will be announced through the Employer Bulletin, quarterly statement, electronic messages or special mailing.

12. Notices

Unless otherwise provided in this Agreement, all notices required to be delivered under this Agreement shall be in writing and shall be delivered, mailed, e-mailed or faxed to the location of the relevant party set forth below or to such other address or to the attention of such other persons as such party may hereafter specify by notice to the other party.

ICMA-RC: Legal Department, ICMA Retirement Corporation, 777 North Capitol Street, N.E., Suite 600, Washington, D.C., 20002-4240
Facsimile; (202) 962-4601

Employer: at the office set forth in the first paragraph hereof, or to any other address, facsimile number or e-mail address designated by the Employer to receive the same by written notice similarly given.

Each such notice, request or other communication shall be effective: (i) if given by facsimile, when transmitted to the applicable facsimile number and there is appropriate confirmation of receipt; (ii) if given by mail or e-mail, upon transmission to the designated address with no indication that such address is invalid or incorrect; or (iii) if given by any other means, when actually delivered at the aforesaid address.

13. Complete Agreement

This Agreement shall constitute the complete and full understanding and sole agreement between ICMA-RC and Employer relating to the object of this Agreement and correctly sets forth the complete rights, duties and obligations of each party to the other as of its date. This Agreement supersedes all written and oral agreements, communications or negotiations among the parties. Any prior agreements, promises, negotiations or representations, verbal or otherwise, not expressly set forth in this Agreement are of no force and effect.

14. Titles

The headings of Sections of this Agreement and the headings for each of the attached schedules are for convenience only and do not define or limit the contents thereof.

15. Incorporation of Exhibits

All Exhibits (and any subsequent amendments thereto), attached hereto, and referenced herein, are hereby incorporated within this Agreement as if set forth fully herein.

16. Governing Law

This Agreement shall be governed by and construed in accordance with the laws of the State of Missouri, applicable to contracts made in that jurisdiction without reference to its conflicts of laws provisions.

In Witness Whereof, the parties hereto certify that they have read and understand this Agreement and all Schedules attached hereto and have caused this Agreement to be executed by their duly authorized officers as of the Inception Date first above written.

OZARKS TRANSPORTATION ORGANIZATION

By ______________________________
Signature/Date

By ______________________________
Name and Title (Please Print)

INTERNATIONAL CITY MANAGEMENT ASSOCIATION RETIREMENT CORPORATION

By ______________________________
Erica McFarquhar
Assistant Secretary

Please return an executed copy of the Agreement to a Delivery Address, either:

(a) Electronically to PlanAdoptionServices@icmarc.org, or

(b) In paper form to ICMA-RC
ATTN: PLAN ADOPTION SERVICES
777 North Capitol Street NE
Suite 600
Washington DC 20002-4240

Exhibit A

Administrative Services

The administrative services to be performed by ICMA-RC under this Agreement shall be as follows:

(a) Participant enrollment services, including providing a welcome package and enrollment kit containing instructions and notices necessary to implement the Plan's administration. Employees will enroll online or through a paper form. Employer can also enroll employees through EZLink.

(b) Establishment of participant accounts for each employee participating in the Plan for whom ICMA-RC receives appropriate enrollment instructions. ICMA-RC is not responsible for determining if such Plan participants are eligible under the terms of the Plan.

(c) Allocation in accordance with participant directions received in good order of individual participant accounts to investment funds offered under the Plan.

(d) Maintenance of individual accounts for participants reflecting amounts deferred, income, gain or loss credited, and amounts distributed as benefits.

(e) Maintenance of records for all participants for whom participant accounts have been established. These files shall include enrollment instructions (provided to ICMA-RC through Account Access or EZLink), beneficiary designation instructions and all other documents concerning each participant's account.

(f) Provision of periodic reports to the Employer through EZLink. Participants will have access to account information through Investor Services, Voice Response System, Account Access, and Text Access, and through quarterly statements that can be delivered electronically through Account Access or by postal service.

(g) Communication to participants of information regarding their rights and elections under the Plan.

(h) Making available Investor Services Representatives through a toll-free telephone number from 8:30 a.m. to 9:00 p.m. Eastern Time, Monday through Friday (excluding holidays and days on which the securities markets or ICMA-RC are closed for business (including emergency closings)), to assist participants.

(i) Making available access to ICMA-RC's web site, to allow participants to access certain account information and initiate certain plan transactions at any time. Account access is normally available 24 hours a day, seven days a week except during scheduled maintenance periods designed to ensure high-quality performance. The scheduled maintenance window is outlined at https://accountaccess.icmarc.org.

(j) Maintaining the security and confidentiality of client information through a system of controls including but not limited to, as appropriate: restricting plan and participant information only to those who need it to provide services, software and hardware security, access controls, data back-up and storage procedures, non-disclosure agreements, security incident response procedures, and audit reviews.

(k) Making available access to ICMA-RC's plan sponsor EZLink web site to allow plan sponsors to access certain plan information and initiate plan transactions such as enrolling participants and managing contributions at any time. EZLink is normally available 24 hours a day, seven days a week except during scheduled maintenance periods designed to ensure high-quality performance. The scheduled maintenance window is outlined at https://ezlink.icmarc.org.

(l) Distribution of benefits as agent for the Employer in accordance with terms of the Plan. Participants who have separated from service can request distributions through Account Access or via form.

(m) Upon approval by the Employer that a domestic relations order is an acceptable qualified domestic relations order under the terms of the Plan, ICMA-RC will establish a separate account record for the alternate payee and provide for the investment and distribution of assets held thereunder.

(n) Loans may be made available on the terms specified in the Loan Guidelines, if loans are adopted by the Employer. Participants can request loans through Account Access.

(o) Guided Pathways Advisory Services – ICMA-RC's participant advice service, "Fund Advice", and asset allocation service, "Asset Class Guidance" may be made available through a third-party vendor on the terms specified on ICMA-RC's website.

(p) ICMA-RC will determine appropriate delivery method (electronic and/or print) for plan sponsor/participant communications and education based on a number of factors (audience, effectiveness, etc.).

VantageTrust Funds
Fund Fee Disclosure
Data as of June 30, 2019

Fund Name	Class	Morningstar Category[1]	Gross Expense	Waiver	Net Expense	Waiver Expiration Date	Redemption Fee[2]	Trading Restriction[3]
Stable Value/Cash Management								
Vantagepoint PLUS Fund [4]	R1	Stable Value	1.36%	0.00%	1.36%	---	---	90-day Wash
VT Cash Management Fund [5]	R1	Money Market	0.98%	0.00%	0.98%	---	---	---
Bond Funds								
Vantagepoint Inflation Focus Fund [6]	R1	Inflation-Protected Bond	1.16%	0.00%	1.16%	---	---	---
Vantagepoint Core Bond Index Fund [6]	R1	Intermediate-Term Bond	0.99%	0.05%	0.94%	October 31, 2019	---	---
VT Western Assets Core Plus Bond Fund [6]	R1	Intermediate-Term Bond	1.07%	0.07%	1.00%	December 31, 2019	---	---
VT PIMCO High Yield Fund [7]	R1	High Yield Bond	1.37%	0.00%	1.37%	---	---	---
Guaranteed Lifetime Income Funds								
VT Retirement IncomeAdvantage Fund [8]	R1	N/A	2.25%	0.00%	2.25%	---	---	90-day Wash
Asset Allocation/Balanced Funds								
Vantagepoint Milestone Retirement Income Fund [9]	R1	Target-Date Retirement	1.28%	0.07%	1.21%	---	---	---
Vantagepoint Milestone 2010 Fund [9]	R1	Target-Date 2000-2010	1.30%	0.09%	1.21%	---	---	---
Vantagepoint Milestone 2015 Fund [9]	R1	Target-Date 2015	1.31%	0.06%	1.25%	---	---	---
Vantagepoint Milestone 2020 Fund [9]	R1	Target-Date 2020	1.33%	0.06%	1.27%	---	---	---
Vantagepoint Milestone 2025 Fund [9]	R1	Target-Date 2025	1.36%	0.07%	1.29%	---	---	---
Vantagepoint Milestone 2030 Fund [9]	R1	Target-Date 2030	1.38%	0.07%	1.31%	---	---	---
Vantagepoint Milestone 2035 Fund [9]	R1	Target-Date 2035	1.40%	0.08%	1.32%	---	---	---
Vantagepoint Milestone 2040 Fund [9]	R1	Target-Date 2040	1.41%	0.07%	1.34%	---	---	---
Vantagepoint Milestone 2045 Fund [9]	R1	Target-Date 2045	1.43%	0.09%	1.34%	---	---	---
Vantagepoint Milestone 2050 Fund [9]	R1	Target-Date 2050	1.43%	0.09%	1.34%	---	---	---
Vantagepoint Milestone 2055 Fund [9]	R1	Target-Date 2055	1.46%	0.12%	1.34%	---		
Vantagepoint Model Port Conser Growth Fund	R1	Allocation--30% to 50% Equity	1.33%	0.00%	1.33%	---	---	---
Vantagepoint Model Port Tradit Growth Fund	R1	Allocation--50% to 70% Equity	1.36%	0.00%	1.36%	---	---	---
Vantagepoint Model Port Long-Term Grwth Fund	R1	Allocation--70% to 85% Equity	1.37%	0.00%	1.37%	---	---	---
Vantagepoint Model Port Glbl Eqty Grwth Fund [15]	R1	World Stock	1.44%	0.00%	1.44%	---	---	---
VT Puritan® Fund [10]	R1	Allocation--50% to 70% Equity	1.09%	0.00%	1.09%	---	---	---
U.S. Stock Funds								
Vantagepoint Equity Income Fund [11]	R1	Large Value	1.26%	0.00%	1.26%	---	---	---
VT Invesco Diversified Dividend Fund [11]	R1	Large Value	1.12%	0.01%	1.11%	June 30, 2020		31 days, any $
VT MFS Value [11]	R1	Large Value	1.13%	0.00%	1.13%	---		
Vantagepoint 500 Stock Index Fund	R1	Large Blend	0.99%	0.05%	0.94%	October 31, 2019	---	---
Vantagepoint Broad Market Index Fund	R1	Large Blend	0.99%	0.05%	0.94%	October 31, 2019	---	---
VT Parnassus Core Equity Fund	R1	Large Blend	1.42%	0.00%	1.42%	---	---	---
Vantagepoint Growth & Income Fund	R1	Large Blend	1.18%	0.00%	1.18%	---	---	---
VT Invesco Oppenheimer Main Street Fund	R1	Large Blend	1.17%	0.00%	1.17%			
Vantagepoint Growth Fund [11]	R1	Large Growth	1.30%	0.00%	1.30%	---	---	---
VT ContraFund® [10,11]	R1	Large Growth	1.37%	0.00%	1.37%	---	---	---
VT T. Rowe Price® Growth Stock Fund [11,12]	R1	Large Growth	1.47%	0.00%	1.47%	---	---	30 days, any $
Vantagepoint Select Value Fund [11,13]	R1	Mid-Cap Value	1.46%	0.00%	1.46%	---	---	---
VT Victory Sycamore Established Value [11,13]	R1	Mid-Cap Value	1.17%	0.00%	1.17%	---	---	---
Vantagepoint Aggressive Opportunities Fund [11,13]	R1	Mid-Cap Growth	1.32%	0.00%	1.32%	---	---	---
VT AMG TimesSquare Mid Cap Growth Fund [11,13]	R1	Mid-Cap Growth	1.73%	0.00%	1.73%	---	---	---
VT Carillon Eagle Mid Cap Growth [11,13]	R1	Mid-Cap Growth	1.30%	0.00%	1.30%	---	---	---
VT LSV Small Cap Value [11,14]	R1	Small-Cap Value	1.61%	0.00%	1.61%	---	---	---
Vantagepoint Mid /Small Co Inx Fund [14]	R1	Small Blend	0.99%	0.05%	0.94%	October 31, 2019	---	---
Vantagepoint Discovery Fund [14]	R1	Small Blend	1.32%	0.00%	1.32%	---	---	---
VT Invesco Oppenheimer Discovery Fund [11,14]	R1	Small Growth	1.40%	0.01%	1.39%	May 28, 2021	1%, 180 days	---

VantageTrust Funds
Fund Fee Disclosure
Data as of June 30, 2019

Fund Name	Class	Morningstar Category[1]	Gross Expense	Waiver	Net Expense	Waiver Expiration Date	Redemption Fee[2]	Trading Restriction[3]
International/Global Stock Funds								
VT ClearBridge International Value [15]	R1	Foreign Large Value	1.55%	0.09%	1.46%	December 31, 2020		
Vantagepoint Overseas Index Fund [15]	R1	Foreign Large Blend	1.04%	0.05%	0.99%	October 31, 2019	---	91 days, any $
Vantagepoint International Fund [15]	R1	Foreign Large Blend	1.56%	0.00%	1.56%	---	---	91 days, any $
VT Diversified Int'l Fund [11,15]	R1	Foreign Large Growth	1.36%	0.00%	1.36%	---	1%, 30 days	---
Vantagepoint Emerging Markets [15]	R1	Diversified Emerging Mkts	1.73%	0.00%	1.73%	---	---	91 days, any $
Specialty								
VT Nuveen Real Estate Secs Fund [16]	R1	Real Estate	1.57%	0.00%	1.57%	---	---	---

Before investing, please read the applicable Fund Fact Sheet(s) and the Fund's Disclosure Memorandum carefully for a complete summary of all fees, expenses, investment objectives and strategies, and risks. This information is available when you log in at www.icmarc.org/login, or upon request by calling 800-669-7400.

ICMA-RC's identified fund line-up is not advice to the plan sponsor on the composition of the plan's fund line-up. ICMA-RC provides plan sponsors fund information to assist them in meeting their fiduciary responsibility in managing the plan. The plan sponsor retains the obligation to prudently select and monitor the investment funds it offers to plan participants. ICMA-RC may adjust fees commensurate with changes in revenue from alternative funds selected by the plan sponsor from ICMA-RC's mutual fund platform.

Fund expenses are subject to change.

[1] Morningstar places funds in certain categories based on the fund's historical portfolio holdings. Placement of a fund in a particular Morningstar category does not mean that the fund will remain in that category or that it will invest primarily in securities consistent with its Morningstar category. A fund's investment strategy and portfolio holdings are governed by its prospectus, guidelines or other governing documents, not its Morningstar category. The source for this information is Morningstar, Inc. Copyright © 2019 Morningstar, Inc.™ All Rights Reserved. The information contained herein: (1) is proprietary to Morningstar and/or its content providers; (2) may not be copied or distributed; and (3) is not warranted to be accurate, complete or timely. Neither Morningstar nor its content providers are responsible for any damages or losses arising from any use of this information. Morningstar, Inc. is a global investment research firm that is not affiliated with ICMA-RC. ICMA-RC does not independently verify Morningstar data.

[2] Funds or underlying funds may charge a redemption fee. Information about redemption fees, if any, will be contained in the fund's or underlying fund's prospectus or disclosure materials. To request a prospectus or disclosure materials, you may contact us by calling 800-669-7400, or log in at www.icmarc.org.

[3] Frequent trading rules are designed to detect and discourage trading activities that may increase costs to all investors. All funds or underlying funds are monitored for frequent trading. Certain funds or underlying funds may impose fees or restrictions to deter frequent trading. Current information about these fees or restrictions can be found in a fund's or underlying fund's prospectus or disclosure materials, and is available when you log in at www.icmarc.org or by calling 800-669-7400. You can obtain information about ICMA-RC's Frequent Trading Policy at www.icmarc.org/frequenttrading.

[4] Direct transfers from a stable value fund to competing funds are restricted. Competing funds may include, but are not limited to money market mutual funds, certificates of deposit, stable value funds, investment options that offer guarantees of principal or income, certain short-term bond funds and self-directed brokerage accounts. Certain restrictions may apply when you want to transfer money from a stable value fund to a competing fund. These restrictions generally include waiting periods before transfers can be made back into a stable value fund. For additional information on the Vantagepoint PLUS Fund restrictions on transfers to competing funds, please refer to the Fund's Fact Sheet and Funds' Disclosure Memorandum.

[5] The Fund is invested in a single registered mutual fund, the Fidelity Money Market Government Portfolio. Investments in the fund are not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. It is possible to lose money by investing in the fund.

[6] A fixed income fund is subject to credit risk and interest rate risk. Credit risk is when an issuer of a fixed income security may be unable or unwilling to make payments of principal or interest to the holders of these securities or may declare bankruptcy. Fixed income securities fluctuate in value as interest rates change. When interest rates rise, the market prices of fixed income securities will usually decrease; when interest rates fall, the market prices of fixed income securities usually will increase.

[7] Funds that invest primarily in high yield bonds (bonds that are rated below investment grade and also known as "junk bonds") are subject to additional risk as these high yield bonds are considered speculative and involve a greater risk of default than "investment grade" securities. The values of these securities are particularly sensitive to changes in interest rates, issuer creditworthiness, and economic and political conditions. The market prices of these securities may decline significantly in periods of general economic difficulty, may be harder to value, and may be less liquid than higher rated securities.

[8] Prudential Retirement Insurance and Annuity Company (Prudential), CA COA #08003, Hartford, CT. Neither Prudential nor ICMA-RC guarantees the investment performance or return on contributions to Prudential's Separate Account. You should carefully consider the objectives, risks, charges, expenses and underlying guarantee features before purchasing this product. Prudential may increase the Guarantee Fee in the future, from 1.00% up to a maximum of 1.50%. Like all variable investments, this Fund may lose value. Availability and terms may vary by jurisdiction; subject to regulatory approvals. Annuity contracts contain exclusions, limitations, reductions of benefits and terms for keeping them in force. Guarantees are based on Prudential's claims-paying ability. This annuity is issued under Contract form # GA-2020-TGWB4-0805-RC. ICMA-RC provides recordkeeping services to your Plan and is the investment manager of the underlying Prudential separate account. Prudential or its affiliates may compensate ICMA-RC for providing these and related administrative services in connection with the Fund. Variable annuities are suitable for long-term investing, particularly retirement savings. ©2019 Prudential, the Prudential logo, and the Rock symbol and Bring Your Challenges are service marks of the Prudential Insurance Company of America, Newark, NJ, and its related entities, registered in many jurisdictions worldwide. Note: Participants who are interested in the VT Retirement IncomeAdvantage Fund must first receive and read the VT Retirement IncomeAdvantage Fund Important Considerations document, before investing.

[9] The Fund is not a complete solution for all of your retirement savings needs. An investment in the Fund includes the risk of loss, including near, at or after the target date of the Fund. There is no guarantee that the Fund will provide adequate income at and through an investor's retirement. Selecting the Fund does not guarantee that you will have adequate savings for retirement.

[10] PURITAN and CONTRAFUND are registered service marks of FMR LLC. Used with permission.

[11] Certain funds may be subject to style risk, which is the possibility that the investment style of its investment adviser will trail the returns of the overall market. In the past, different types of securities have experienced cycles of outperformance and underperformance in comparison to the market in general. For example, growth stocks have performed best during the later stages of economic expansion and value stocks have performed best during periods of economic recovery. Both styles may go in and out of favor. When the investing style used by a fund is out of favor, that fund is likely to underperform other funds that use investing styles that are in favor.

[12] T. Rowe Price is a registered trademark of T. Rowe Price Group, Inc. – all rights reserved.

[13] Funds that invest primarily in mid-capitalization companies involve greater risk than is customarily associated with investments in larger, more established companies. Equity securities of mid-capitalization companies generally trade in lower volume and are generally subject to greater and less predictable price changes than the securities of larger companies.

[14] Funds that invest primarily in small-capitalization companies involve greater risk than is customarily associated with investments in larger, more established companies. Equity securities of small-capitalization companies are generally subject to greater price volatility than those of larger companies due to less certain growth prospects, the lower degree of liquidity in the markets for their securities, and the greater sensitivity of smaller companies to changing economic conditions. Also, small-capitalization companies may have more limited product lines, fewer capital resources and less experienced management than larger companies.

[15] Funds that invest in foreign securities are exposed to the risk of loss due to political, economic, legal, regulatory, and operational uncertainties; differing accounting and financial reporting standards; limited availability of information; currency fluctuations; and higher transaction costs. Investments in foreign currencies or securities denominated in foreign currencies (including derivative instruments that provide exposure to foreign currencies) may experience gains or losses solely based on changes in the exchange rate between foreign currencies and the U.S. dollar. The risk of investing in foreign securities may be greater with respect to securities of companies located in emerging market countries. The value of developing or emerging market currencies may fluctuate more than the currencies of companies with more mature markets.

[16] Sector funds tend to be riskier and more volatile than the broad market because they are generally less diversified and more volatile than other mutual funds.

VantageTrust Funds
Fund Revenue Disclosure
Data as of June 30, 2019

Fund Name	Morningstar Category[1]	Recordkeeping Revenue[2,14]	ICMA-RC Investment Management Revenue	Total ICMA-RC Revenue[2]
Stable Value/Cash Management				
Vantagepoint PLUS Fund	N/A	0.80%	0.30%	1.10%
Bond Funds				
Vantagepoint Core Bond Index Fund [3]	Intermediate-Term Bond	0.80%	0.10%	0.90%
VT Western Asset Core Plus Bond [3]	Intermediate-Term Bond	0.90%	0.00%	0.90%
Vantagepoint Inflation Focused Fund [3]	Inflation-Protected Bond	0.80%	0.20%	1.00%
VT PIMCO High Yield Fund [4]	High Yield Bond	0.80%	0.00%	0.80%
Guaranteed Lifetime Income Funds				
VT Retirement IncomeAdvantage Fund [5]	N/A	1.00%	0.05%	1.05%
Asset Allocation/Balanced Funds				
Vantagepoint Milestone Retirement Income Fund [6]	Target-Date Retirement	0.80%	0.20%	1.00%
Vantagepoint Milestone 2010 Fund [6]	Target-Date 2000-2010	0.80%	0.20%	1.00%
Vantagepoint Milestone 2015 Fund [6]	Target-Date 2015	0.80%	0.23%	1.03%
Vantagepoint Milestone 2020 Fund [6]	Target-Date 2020	0.80%	0.23%	1.03%
Vantagepoint Milestone 2025 Fund [6]	Target-Date 2025	0.80%	0.24%	1.04%
Vantagepoint Milestone 2030 Fund [6]	Target-Date 2030	0.80%	0.23%	1.03%
Vantagepoint Milestone 2035 Fund [6]	Target-Date 2035	0.80%	0.22%	1.02%
Vantagepoint Milestone 2040 Fund [6]	Target-Date 2040	0.80%	0.23%	1.03%
Vantagepoint Milestone 2045 Fund [6]	Target-Date 2045	0.80%	0.22%	1.02%
Vantagepoint Milestone 2050 Fund [6]	Target-Date 2050	0.80%	0.21%	1.01%
Vantagepoint Milestone 2055 Fund [6]	Target-Date 2055	0.80%	0.20%	1.00%
Vantagepoint Model Port Conser Growth Fund	Allocation--30% to 50% Equity	0.80%	0.30%	1.10%
Vantagepoint Model Port Tradit Growth Fund	Allocation--50% to 70% Equity	0.80%	0.29%	1.09%
Vantagepoint Model Port Long-Term Growth Fund	Allocation--70% to 85% Equity	0.80%	0.29%	1.09%
Vantagepoint Model Port Global Eqty Growth Fund	World Large Stock	0.80%	0.30%	1.10%
VT Puritan® Fund [13]	Allocation--50% to 70% Equity	0.80%	0.00%	0.80%
U.S. Stock Funds				
Vantagepoint Equity Income Fund [7]	Large Value	0.80%	0.20%	1.00%
VT Invesco Diversified Dividend Fund [7]	Large Value	0.80%	0.00%	0.80%
VT MFS Value [7]	Large Value	0.80%	0.00%	0.80%
Vantagepoint 500 Stock Index Fund	Large Blend	0.80%	0.10%	0.90%
Vantagepoint Broad Market Index Fund	Large Blend	0.80%	0.10%	0.90%

VantageTrust Funds
Fund Revenue Disclosure
Data as of June 30, 2019

Fund Name	Morningstar Category[1]	Recordkeeping Revenue[2,14]	ICMA-RC Investment Management Revenue	Total ICMA-RC Revenue[2]
VT Parnassus Core Equity Fund	Large Blend	0.80%	0.00%	0.80%
Vantagepoint Growth & Income Fund	Large Blend	0.80%	0.20%	1.00%
VT Invesco Oppenheimer Main Street Fund	Large Blend	0.80%	0.00%	0.80%
Vantagepoint Growth Fund [7]	Large Growth	0.80%	0.20%	1.00%
VT Contrafund® [7,13]	Large Growth	0.80%	0.00%	0.80%
VT T. Rowe Price® Growth Stock Fund [7,8]	Large Growth	0.95%	0.00%	0.95%
Vantagepoint Select Value Fund [7,9]	Mid-Cap Value	0.80%	0.20%	1.00%
VT Victory Sycamore Established Value Fund [7,9]	Mid-Cap Value	0.80%	0.00%	0.80%
VT Vantagepoint Aggressive Opportunities Fund [7,9]	Mid-Cap Growth	0.80%	0.20%	1.00%
VT AMG TimesSquare Mid Cap Growth Fund [7,9]	Mid-Cap Growth	0.80%	0.00%	0.80%
VT Carillon Eagle Mid Cap Growth [7,9]	Mid-Cap Growth	0.80%	0.00%	0.80%
VT LSV Small Cap Value [7,10]	Small-Cap Value	0.80%	0.00%	0.80%
Vantagepoint Mid /Small Co Inx Fund [10]	Small Blend	0.80%	0.10%	0.90%
Vantagepoint Discovery Fund [10]	Small Blend	0.80%	0.20%	1.00%
VT Invesco Oppenheimer Discovery Fund [7,10]	Small Growth	0.80%	0.00%	0.80%
International/Global Stock Funds				
VT ClearBridge International Value [7,11]	Foreign Large Value	0.95%	0.00%	0.95%
Vantagepoint International Fund [11]	Foreign Large Blend	0.80%	0.20%	1.00%
Vantagepoint Overseas Index Fund [11]	Foreign Large Blend	0.80%	0.10%	0.90%
VT Diversified Int'l Fund [7,11]	Foreign Large Growth	0.80%	0.00%	0.80%
Vantagepoint Emerging Markets [11]	Diversified Emerging Markets	0.80%	0.28%	1.08%
Specialty Funds				
VT Nuveen Real Estate Secs Fund [12]	Real Estate	0.80%	0.00%	0.80%

Before investing, please read the applicable Fund Fact Sheet(s) and the Fund's Disclosure Memorandum carefully for a complete summary of all fees, expenses, investment objectives and strategies, and risks. This information is available when you log in at www.icmarc.org/login, or upon request by calling 800-669-7400.

ICMA-RC's identified fund line-up is not advice to the plan sponsor on the composition of the plan's fund line-up. ICMA-RC provides plan sponsors fund information to assist them in meeting their fiduciary responsibility in managing the plan. The plan sponsor retains the obligation to prudently select and monitor the investment funds it offers to plan participants. ICMA-RC may adjust fees commensurate with changes in revenue from alternative funds selected by the plan sponsor from ICMA-RC's mutual fund platform.

Fund expenses are subject to change.

VantageTrust Funds
Fund Revenue Disclosure
Data as of June 30, 2019

[1] Morningstar places funds in certain categories based on the fund's historical portfolio holdings. Placement of a fund in a particular Morningstar category does not mean that the fund will remain in that category or that it will invest primarily in securities consistent with its Morningstar category. A fund's investment strategy and portfolio holdings are governed by its prospectus, guidelines or other governing documents, not its Morningstar category.
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[3] A fixed income fund is subject to credit risk and interest rate risk. Credit risk is when an issuer of a fixed income security may be unable or unwilling to make payments of principal or interest to the holders of these securities or may declare bankruptcy. Fixed income securities fluctuate in value as interest rates change. When interest rates rise, the market prices of fixed income securities will usually decrease; when interest rates fall, the market prices of fixed income securities usually will increase.

[4] Funds that invest primarily In high yield bonds (bonds that are rated below investment grade and also known as "junk bonds") are subject to additional risk as these high yield bonds are considered speculative and involve a greater risk of default than “investment grade” securities. The values of these securities are particularly sensitive to changes in interest rates, issuer creditworthiness, and economic and political conditions. The market prices of these securities may decline significantly in periods of general economic difficulty, may be harder to value, and may be less liquid than higher rated securities.

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[6] The Fund is not a complete solution for all of your retirement savings needs. An investment in the Fund includes the risk of loss, including near, at or after the target date of the Fund. There is no guarantee that the Fund will provide adequate income at and through an investor’s retirement. Selecting the Fund does not guarantee that you will have adequate savings for retirement.

[7] Certain funds may be subject to style risk, which is the possibility that the investment style of its investment adviser will trail the returns of the overall market. In the past, different types of securities have experienced cycles of outperformance and underperformance in comparison to the market in general. For example, growth stocks have performed best during the later stages of economic expansion and value stocks have performed best during periods of economic recovery. Both styles may go in and out of favor. When the investing style used by a fund is out of favor, that fund is likely to underperform other funds that use investing styles that are in favor.

[8] T. Rowe Price is a registered trademark of T. Rowe Price Group, Inc. – all rights reserved.

[9] Funds that invest primarily in mid-capitalization companies involve greater risk than is customarily associated with investments in larger, more established companies. Equity securities of mid-capitalization companies generally trade in lower volume and are generally subject to greater and less predictable price changes than the securities of larger companies.

[10] Funds that invest primarily in small-capitalization companies involve greater risk than is customarily associated with investments in larger, more established companies. Equity securities of small-capitalization companies are generally subject to greater price volatility than those of larger companies due to less certain growth prospects, the lower degree of liquidity in the markets for their securities, and the greater sensitivity of smaller companies to changing economic conditions. Also, small-capitalization companies may have more limited product lines, fewer capital resources and less experienced management than larger companies.

[11] Funds that invest in foreign securities are exposed to the risk of loss due to political, economic, legal, regulatory, and operational uncertainties; differing accounting and financial reporting standards; limited availability of information; currency fluctuations; and higher transaction costs. Investments in foreign currencies or securities denominated in foreign currencies (including derivative instruments that provide exposure to foreign currencies) may experience gains or losses solely based on changes in the exchange rate between foreign currencies and the U.S. dollar. The risk of investing in foreign securities may be greater with respect to securities of companies located in emerging market countries. The value of developing or emerging market currencies may fluctuate more than the currencies of companies with more mature markets.

[12] Sector funds tend to be riskier and more volatile than the broad market because they are generally less diversified and more volatile than other mutual funds.

[13] PURITAN and CONTRAFUND are registered service marks of FMR LLC. Used with permission.
[14] Includes a 0.55% ICMA-RC plan administration fee.

TAB 9

10/04/2019 08/23/2019 » America's Top Twelve Transportation Projects Vying for Two Prestigious Awards

(http://aashtonews.wpengine.com/)

Final Honors and $10,000 Cash Prizes to be Decided by Judges and Online Voting

(https://transportationawards.secure-platform.com/a/gallery?roundId=14)

WASHINGTON — Twelve winning transportation projects from four U.S. regional competitions will battle it out in this year's America's Transportation Awards competition, with two $10,000 cash awards for a charity or transportation-related scholarship of the winners' choosing at stake. The broad scope of the projects in the final round include one credited with using drone technology to get transportation systems back up and operating after a devastating hurricane as well as others that endeavor to incorporate citizen feedback and involvement in project design and development.

Sponsored by the American Association of State Highway and Transportation Officials, AAA, and the U.S. Chamber of Commerce, the competition evaluates projects in three categories: Quality of Life/Community Development; Best Use of Technology & Innovation; and Operations Excellence. The projects are also divided into three sizes: small (less than $25 million); medium ($25 million to $200 million); and large (more than $200 million).

The 12th America's Transportation Awards competition attracted 81 project nominations from 39 state DOTs this year. The three highest-scoring projects from each of four regional contests earned a place in the "Top 12" national finals, competing for the national Grand Prize and the People's Choice Award. Both prizes come with the aforementioned $10,000 cash awards.

"These final projects are just a small sampling of the many ways in which state DOTs are making communities safer and supporting economic development," said Jim Tymon, AASHTO executive director. "Whether deploying innovations to save time and money or exploring strategies to move more people and goods, state DOTs are delivering projects and programs that create a more efficient transportation system for the movement of goods and services."

An independent panel of transportation industry experts will select the Grand Prize winner, while the general public will decide the People's Choice Award winner through online voting. Online votes will be weighted to each state's population, allowing for greater competition between states with larger and smaller populations. The winners will be announced at the AASHTO Annual Meeting in St. Louis on October 8th.

Online voting begins today and ends at 11:59 p.m. eastern time on Sunday, Oct. 6. Cast your vote at http://AmericasTransportationAwards.org (http://AmericasTransportationAwards.org). Individuals can cast no more than one vote per day.

The Top 12 projects in alphabetical order are:

California Department of Transportation – Highway 1/Mud Creek Emergency Restoration (https://americastransportationawards.org/2019-projects/2019-washto-projects/caltrans-highway-1-emergency-restoration/) – Best Use of Technology & Innovation, Medium category.

Connecticut Department of Transportation – I-84 Waterbury Widening Project (https://americastransportationawards.org/connecticut-department-of-transportation-i-84-waterbury-widening-project/) – Operations Excellence, Large category.

Florida Department of Transportation – SunRail Southern Expansion (https://americastransportationawards.org/florida-department-of-transportation-sunrail-southern-expansion/) – Quality of Life/Community Development, Large category.

Georgia Department of Transportation – Northwest Corridor Express Lanes (https://americastransportationawards.org/georgia-department-of-transportation-northwest-corridor-express-lanes/) – Operations Excellence, Large category.

Maryland Department of Transportation – Dover Bridge Project (https://americastransportationawards.org/maryland-department-of-transportation-dover-bridge-project/) – Quality of Life/Community Development, Medium category.

Missouri and Illinois Departments of Transportation – Improvements for Downtown City of St. Louis (https://americastransportationawards.org/missouri-and-illinois-departments-of-transportation-missouri-and-illinois-infrastructure-improvements-for-downtown-city-of-st-louis/) – Quality of Life/Community Development, Large category.

North Carolina Department of Transportation – UAS Hurricane Florence Response (https://americastransportationawards.org/north-carolina-department-of-transportation-uas-hurricane-florence-response/) – Best Use of Technology & Innovation, Small category.

Ohio Department of Transportation – I-71 & Martin Luther King Jr. Interchange (https://americastransportationawards.org/ohio-department-of-transportation-i-71-martin-luther-king-jr-interchange/) – Quality of Life/Community Development, Medium category.

Pennsylvania Department of Transportation – PennDOT Connects/Connecting Communities (https://americastransportationawards.org/pennsylvania-department-of-transportation-penndot-connects-connecting-communities/) – Quality of Life/Community Development, Small category.

Texas Department of Transportation – US 290 Reconstruction from I-610 to Beltway 8 (https://americastransportationawards.org/2019-projects/2019-washto-projects/texas-department-of-transportation-us-290-reconstruction-from-i-610-to-beltway-8/) – Quality of Life/Community Development, Large category.

Washington State Department of Transportation – I-90 Snoqualmie Pass East Project, Phases 1 and 2A (https://americastransportationawards.org/2019-projects/2019-washto-projects/washington-state-department-of-transportation-i-90-snoqualmie-pass-east-project-phases-1-and-2a/) – Best Use of Technology & Innovation, Large category.

Wisconsin Department of Transportation – Zoo Interchange Core and Adjacent Arterials (https://americastransportationawards.org/wisconsin-department-of-transportation-zoo-interchange-core-and-adjacent-arterials/) – Best Use of Technology & Innovation, Large category.

Learn more about the America’s Transportation Awards and vote for your favorite Top 12 projects at www.AmericasTransportationAwards.org (http://www.AmericasTransportationAwards.org).

AAA (HTTP://AASHTONEWS.WPENGINE.COM/TAG/AAA/)

AMERICA'S TRANSPORTATION AWARDS (HTTP://AASHTONEWS.WPENGINE.COM/TAG/AMERICAS-TRANSPORTATION-AWARDS/)

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NORTH CAROLINA DOT (HTTP://AASHTONEWS.WPENGINE.COM/TAG/NORTH-CAROLINA-DOT/)

Buckle Up Phone Down reaches milestone

Sept. 18, 2019—For immediate release

JEFFERSON CITY— More than 10,000 individuals and businesses have accepted the Buckle Up Phone Down Challenge according to the Missouri Department of Transportation and Missouri Coalition for Roadway Safety. The milestone announcement follows quickly on the heels of the successful Missouri Highway Safety and Traffic Blueprint Conference held in Columbia Sept. 9-11.

The Buckle Up-Phone Down campaign was created in 2017 to address the two most impactful actions a driver can take to prevent crashes—or survive if one occurs.

“Distracted driving is a major cause of crashes, not only in Missouri but in the entire United States,” said Jon Nelson, chair of the Missouri Coalition for Roadway Safety. “Drivers using cellphones in Missouri were involved in 2,580 crashes in 2017 alone.”

Sometimes even the most attentive drivers are involved in a crash caused by other drivers. That’s when wearing a seat belt every time you get in a vehicle becomes the best defense against injury and death.

In 2018, there were 391 known unbelted fatalities in Missouri traffic crashes. “More than 1,000 other individuals were involved in these same crashes and survived at a much higher rate—77% compared to 38% for those who were unbuckled,” Nelson said.

Nelson said that while it is impossible to know for sure if a seat belt would have saved the lives of every unbuckled individual lost in these crashes, statistically speaking, if all 391 people had been buckled and survived at the same rate, 244 of them would still be alive today.

Missouri currently has no primary safety belt law, meaning law enforcement cannot ticket an individual for being unbuckled unless first stopped for another traffic infraction. And even then, the fine is only $10. In addition, cellphone use while driving is restricted only to those 21 or younger and only for texting.

The Buckle Up Phone Down Challenge is working to get individuals thinking about safety for themselves and everyone in their motor vehicles, as well as getting private industry to openly support employee safety, either through internal safety campaigns or changing policy to ban cellphone use in company vehicles and make seat belts mandatory. The campaign features YouTube videos and Facebook, Twitter and Instagram posts to get the message out. A dedicated website features both individual citizens and employees of participating businesses giving the “thumbs up-thumbs down” sign to show their support of the effort.

“We’d like to make it 20 by 20—20,000 pledges by 2020,” said Nicole Hood, state highway safety and traffic engineer. “If you haven’t taken the challenge, you can do so by going to modot.org/BuckleUpPhoneDown/. If you’ve already signed up, urge your family, friends—even your employer—to accept the challenge to save lives in Missouri.”

The third annual Buckle Up Phone Down day will be held Oct. 29 at the Stotler Lounge on the campus of the University of Missouri. The event includes a roundtable discussion on distracted driving with a panel of experts to be moderated by National Transportation Safety Board Vice Chairman Bruce Landsberg. Other activities are planned throughout the state.

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For more information, call MoDOT at 888-ASK-MODOT (275-6636) or visit www.modot.org. To receive the latest statewide news and text alerts, signup for e-updates.

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Districts Involved

STATEWIDE

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Missouri Department of Transportation

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Jefferson City, MO 65102
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1-866-831-6277 (Motor Carrier Services)

Transport Topics

Eugene Mulero | Staff Reporter

October 3, 2019 3:45 PM, EDT

In Search of Bipartisanship, Congressional Leaders Point to Transportation Bill

"We're hoping that we can renew our conversations about infrastructure; building the infrastructure of America," Speaker Nancy Pelosi (D-Calif.) told reporters Oct. 2. (J. Scott Applewhite/Associated Press)

[Stay on top of transportation news: Get TTNews in your inbox.]

The ongoing impeachment inquiry of President Donald Trump in the U.S. House of Representatives threatens to halt the legislative agenda for the rest of the year and possibly longer, but a comprehensive transportation policy bill is still on the table as the issue remains one of the few vestiges of bipartisanship on Capitol Hill.

"We're hoping that we can renew our conversations about infrastructure; building the infrastructure of America," Speaker Nancy Pelosi (D-Calif.) told reporters Oct. 2 on Capitol Hill before giving an update about the inquiry.

Days earlier, Senate Majority Leader Mitch McConnell (R-Ky.) had offered a similar view.

"We're going to do a transportation bill, maybe later this year," the leader said during a television interview, emphasizing the legislation would likely authorize transportation programs for four or five years. "It probably won't be as bold as the president was talking about, because it would inevitably, if it were that bold, involve a whopping gasoline tax increase, which is very regressive. It hits medium- and low-income people very hard."

Trump, whose team has not proposed an infrastructure plan this year, told reporters at the White House soon after Pelosi's comments that the speaker "should worry about infrastructure," a reference to the congressional agenda.

A committee in the Senate this summer reported a five-year bill designed to reauthorize a five-year highway law that expires September 2020. The measure would boost infrastructure resilience policy while aiming to further streamline the environmental permitting process.

The bill, however, does not propose a fix for a federal highway account's looming funding crisis. The congressional tax-writing committees have jurisdiction over that account.

Last month, Rep. Peter DeFazio (D-Ore.), chairman of the transportation committee, told Transport Topics he intends to consider a highway reauthorization bill by early next year.


DeFazio

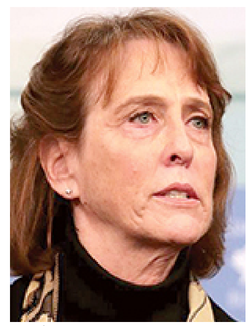
This recent emphasis on transportation from the key players in Congress drew a mix of reactions from industry stakeholders, funding advocates, analysts and observers who, for the most part, call on leaders to legislate on infrastructure policy.


McConnell by Al Drago/Bloomberg News

American Trucking Associations has proposed the Build America Fund, which consists of adopting a 20-cents-per-gallon fee on motor fuels collected at the wholesale rack. That revenue would be phased in over four years at 5 cents annually. ATA estimates that if enacted, the fund would generate $340 billion in about a decade.

Various reports have identified thousands of structurally deficient bridges, growing congestion along freight corridors and inoperable transit systems.

Marcia Hale, president of the Building America's Future advocacy group, told Transport Topics that she believes members of Congress would welcome a robust debate on ways to improve connectivity across transportation networks, as well as proposals for modernization projects. Yet, the unresolved matter of identifying a sustainable source of funding for such efforts remains the main impediment. "It would be very difficult to get the House bill done but not because of impeachment. Just because it's hard," she said. "The more plausible thing to expect is that there will be a series of extensions like we've been through before. But, I don't think it's impossible to get this done."


Hale

Jeff Davis, senior fellow at the Eno Center for Transportation, expects Congress to, at a minimum, work on federal funding legislation meant to avoid a shutdown of federal agencies. Notwithstanding the impeachment inquiry, he had low expectations that lawmakers would advance infrastructure measures, or other major policy bills. "Even if this weren't happening in the House, I didn't have any high hopes for anything happening this fall, anyway," he told TT.

Davis (left) is interviewed by Mulero. (Transport Topics via YouTube)

Lawmakers return Oct. 15 from their fall recess. Federal funding authority for the government expires in late November.

The U.S. Chamber of Commerce endorses a fuel tax increase as a way to resolve the looming insolvency of the Highway Trust Fund. The account relies on revenue from the federal tax on diesel (24.4 cents per gallon) and gas (18.4 cents), neither of which has increased in years. But McConnell's negative remarks about increasing the fuel tax were a disappointment to Ed Mortimer, vice president of transportation infrastructure at the Chamber. "If Leader McConnell and others come up with a way that is long-term and sustainable that doesn't add to the deficit, we're willing to work with them to come up with that solution. We haven't seen that solution yet. But we're very open to the leader in the sense that he continues to want to address the infrastructure issue."

He added, "At the end of the day, we're going to work with the leader and the other senators on a bipartisan basis to get something that can get enacted into law."

If Congress were to proceed with temporary extensions of the federal highway law, Mortimer said it would amount to "terrible public policy" with the potential of halting big-ticket projects in states.

"There's always things going on in Washington. There's always headwinds," Mortimer added. "But there is no reason why the Congress cannot act on this issue of infrastructure."

October 4, 2019 1:11 pm » PennDOT Nears Completion of Rapid Bridge Replacement Project

AASHTO JOURNAL (https://aashtojournal.org/)

A September 25 hearing held by the House of Representatives Committee on the Budget examined the need for increased across-the-board infrastructure funding – including more money for roads and bridges – while also touching on the need to beef up revenue flows into the Highway Trust Fund and repeal the $7.6 billion rescission (https://aashtojournal.org/2019/09/13/coalition-letter-continues-to-urge-repeal-of-rescission/) in Federal-aid highway contract authority schedule for July 1 next year.

Carol Ellinger Haddock, director of the city of Houston's public works division, testified

Carol Ellinger Haddock

(https://budget.house.gov/sites/democrats.budget.house.gov/files/documents/Haddock_Testimony.pdf) on behalf of the American Society of Civil Engineers and emphasized the need to increase motor fuel taxes as a way to boost surface transportation funding for the future.

"We need to fix the Highway Trust Fund; the federal government has always been a leader in strengthening our nation's surface transportation system and ASCE is on record supporting a 25-cent increase in the federal motor fuel tax increase," she said. "We also face a looming crisis with the FAST [Fixing America's Surface Transportation] Act rescission – a $7.6 billion annual reduction that will affect all 50 states."

Rep. Seth Moulton

The American Association of State Highway and Transportation added in written testimony submitted by Rep. Seth Moulton, D-Mass., into the hearing's record that "the planned FAST Act rescission next summer is already starting to impact project construction, which will delay mobility, quality of life, and economic benefits provided by these projects if unaddressed soon."

AASHTO said that if the rescission is not repealed, "it will reduce the baseline investment level for highway contract authority programs by $75.7 billion over the next 10 years."

Rep. John Yarmuth, D-Ky., chairman of the House Budget Committee, stressed in his opening statement (https://budget.house.gov/news/in-the-news/chairman-yarmuth-opening-statement-hearing-examining-americas-infrastructure) that more funding is necessary to improve the nation's infrastructure as a whole.

"If we, as a Congress, want to prepare our economy and our nation for a rapidly changing future, we must dramatically improve and modernize our infrastructure," he said. "A strong economy depends on strong infrastructure to function effectively. Unfortunately, according to the ASCE's 2017

Infrastructure Report Card, our overall infrastructure grade is a D+, meaning that it's in poor condition and at risk."

Rep. John Yarmuth

Rep. Yarmuth added that, from 2016 to 2025, American households are expected to lose, on average, $3,400 in income every year due to infrastructure deficiencies.

"Despite all these costs, federal infrastructure spending has been on the decline and has failed to come anywhere close to meeting growing needs," he said. "If we want American businesses and workers to succeed, we need to start investing in bold structural changes that will strengthen our economy and prepare us for the future."

Rep. Steve Wommack

Ranking Member Rep. Steve Wommack, R-Ark., added that "infrastructure is part of the core foundation of the America we all know, vital to mobility of families, businesses and our economy. It is vital that infrastructure keeps pace with the nation it supports."

But he added that "we have to rethink how we plan, fund, and build infrastructure," noting that "government red tape and burdensome permitting regulations have also throttled progress. While it is important to ensure we protect the environment, we must do so in a way that makes these projects more feasible."

Professor Richard Geddes, director of the Cornell Program in Infrastructure Policy at Cornell University added in his testimony (https://budget.house.gov/sites/democrats.budget.house.gov/files/documents/Geddes_Testimony_.pdf) that "there is widespread agreement that the United States is spending too little on its infrastructure."

He noted that the Congressional Budget Office estimated that combined federal, state and local spending on infrastructure was – calculated in current 2019 dollars – $441 billion as of 2017, which is "well below estimates of the spending needed to keep infrastructure in a state of good repair."

He also argued that delivering projects would be enhanced through a streamlining of the National Environmental Policy Act or NEPA process.

Richard Geddes

[*Editor's note: AASHTO recently endorsed (https://aashtojournal.org/2019/09/20/aashto-endorses-nepa-paperwork-reduction-goals-outlined-by-usdot/) NEPA paperwork reduction goals outlined by the USDOT*.]

"There is widespread agreement that the process requires reform," Geddes said. "For example, a highway project may require 10 different federal agencies considering 16 separate permitting decisions to obtain approval. State and local governments often have their own permitting requirements."

Photo by SCDOT

He added that the "One Federal Decision" approach to permitting – an approach included in the Senate Environment and Public Works Committee's surface transportation funding reauthorization bill adopted (https://aashtojournal.org/2019/08/02/senate-epw-committee-unanimously-advances-five-year-surface-transportation-bill/) by the panel in July – could help speed up the completion of critical transportation projects.

"Our analysis of data on 1,269 projects indicates that the time from Notice of Intent or NOI, the formal announcement of intent to prepare an Environmental Impact Statement, or EIS, to Record of Decision or ROD – the official recording of a Federal agency's decision concerning the proposed action – has increased from about four years in 2010 to over five [years] presently," Geddes explained. "Over 7 percent of the projects in our data set were delayed for more than 10 years [and] two projects were even delayed for more than 20 years."

That is why he believes the "One Federal Decision" approach "would help reduce the substantial uncertainties – and thus costs – currently surrounding NEPA project approvals."

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With both fairness and revenue at stake, Missouri lags in collecting online sales tax

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FILE- In this Dec. 14, 2017, file photo, packages travel on a conveyor belt for sorting at the main post office in Omaha, Neb. States were able to force shoppers to pay sales tax when they make online purchases under a Supreme Court decision Thursday, June 21, 2018, that will leave shoppers with lighter wallets but is a big win for states. (AP Photo/Nati Harnik, File)

It’s been more than a year since the U.S. Supreme Court said states could force online retailers to collect sales tax, but the money isn’t yet flowing into Missouri’s coffers.

Among 45 states that levy a sales tax, only Missouri and Florida have not enacted a law or regulation requiring e-commerce companies to collect from customers.

The cash-strapped Show-Me State is missing out on a lot of money: Officials estimated in February that taxing online shopping could bring in at least $93 million for the state next year, and as much as $142.5 million. In addition, local governments could collect between $90.7 million and $138.6 million.

Traditional store owners are among the loudest voices urging state officials to take advantage of the June 2018 Supreme Court ruling. “We need fairness,” said David Overfelt, president of the Missouri Retailers Association. “We don’t see why they can’t do this like the rest of the nation and give us the equity we need.”

Sen. Andrew Koenig, R-Manchester, sponsored a bill this year to begin collecting tax on internet sales, but it died in the waning hours of the legislative session. His bill copied some provisions of a South Dakota law that was upheld by the Supreme Court, including an exemption for businesses with less than $100,000 of sales in the state.

Koenig understands retailers’ sense of urgency. “We’re basically incentivizing people to make purchases outside the state, and hurting our local shops,” he said.

Koenig said he thought his bill had widespread support, but ultimately couldn’t overcome opposition from a few conservative lawmakers who saw it as a tax increase. The final version of his bill would have cut income tax rates to offset the increase in state sales-tax collections, but would still have allowed local governments to increase their revenue.

Michael Mazerov, who follows state fiscal issues for the Center on Budget and Policy Priorities in Washington, argues that the e-commerce issue shouldn't be viewed as a tax increase.

"It's clearly not a new tax; it's always been owed," he said. Missouri consumers are supposed to pay a use tax, equal to the sales tax, when they shop online. Few people do that, and the state has no effective way to make them pay.

Amazon, the leading online retailer, began collecting sales tax in Missouri in 2017 but doesn't collect for vendors that sell on its online marketplace. Koenig's bill would have required platform companies like Amazon and Ebay to collect taxes on marketplace sales.

Missouri certainly could use the taxes it's been missing as e-commerce has grown. Amy Blouin, president of the Missouri Budget Project, points out that the state's revenue grew just 1% last fiscal year, compared with 7.7% growth in Illinois, 6.6% in Iowa and 6.3% in Kansas.

"It's got to be connected with our tax structure, because our economy is not that different from the other states," Blouin said.

Koenig vows to keep pushing his online sales tax bill, but he's not sure some members of his party are ready to let it pass. "I'm not sure," he said when asked about chances of passage next year. "It might take two years from now after we have new elections."

Missouri shouldn't wait that long to collect revenue that will be flowing in most states by the end of this year. Just as importantly, Missouri retailers shouldn't have to wait for a level playing field against their online competitors.

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